

Non-Linear Adventures in Taking Things Apart: Fragmentation of Nuclei and Molecules

NOLPA2011



Sept 6, 2011
W. Bauer

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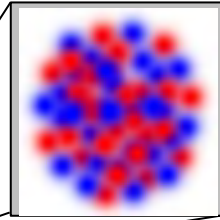


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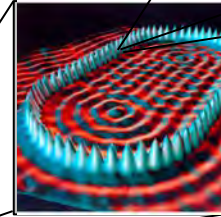


Power of 100,000

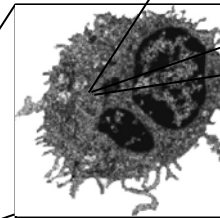
10^{-15} m scale: Nuclei



10^{-10} m scale: Atoms



10^{-5} m scale: Cells



10^1 m scale: People



10^5 m scale: City



10^{10} m scale: Earth



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Nuclear Physics: the micro-nano science

- Nuclear size $\sim 10^{-14}$ m (10,000 times too small for direct observation)
- System of $\sim 10^2$ fermions \Rightarrow finite size effects extremely important, just like quantum dots (only smaller ...)
- Mesoscopic physics
- Low-energy excitations (rotation, vibration): gamma emission, keV
- Other nuclear decays: beta, alpha, fission
- Higher energies: disintegration of entire nucleus
- Time scales $\sim 10^{-22}$ s (1,000,000 times too fast for direct measurements)
- Energy scales 10-100 MeV

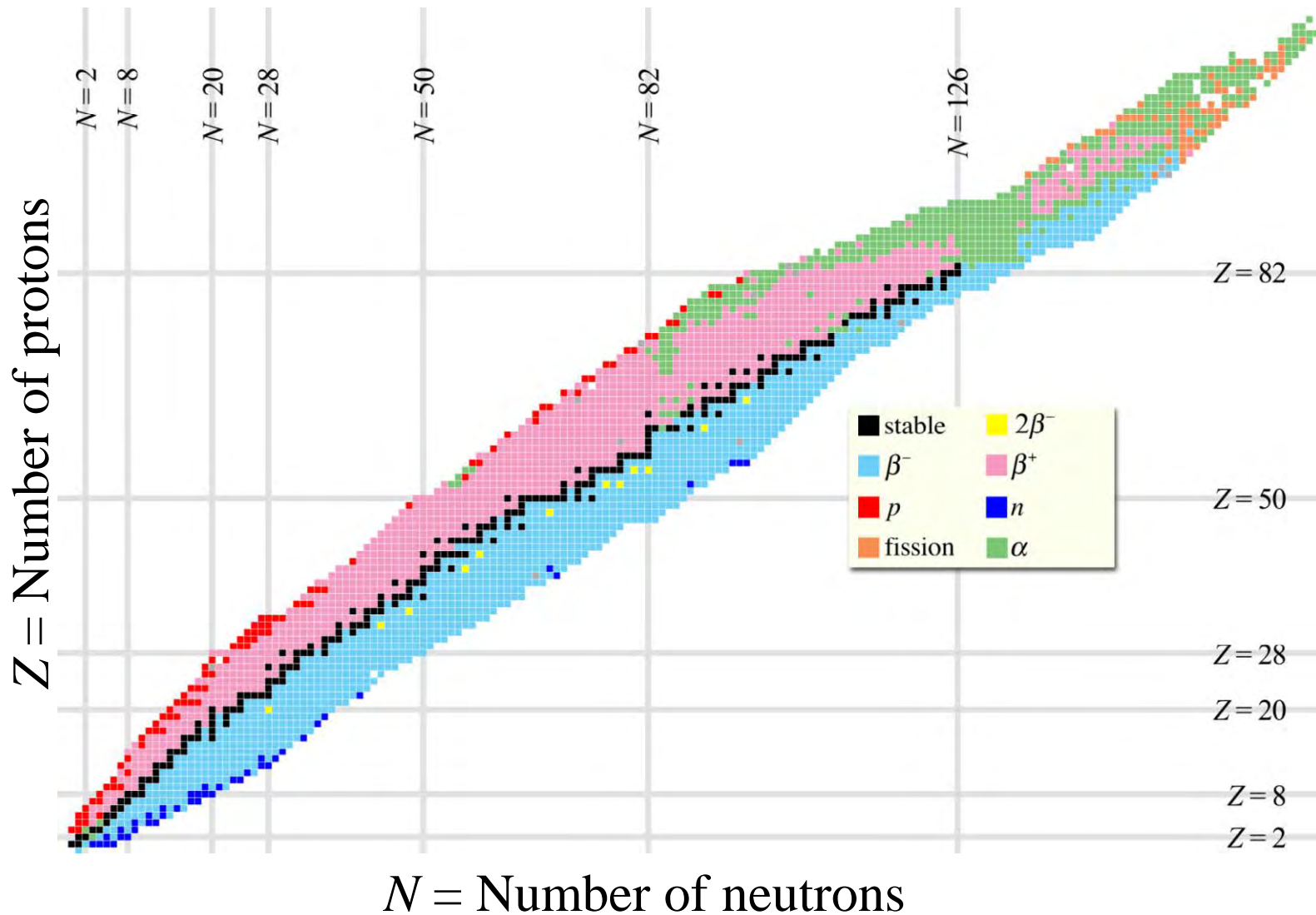
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Favorite Isotope Decays



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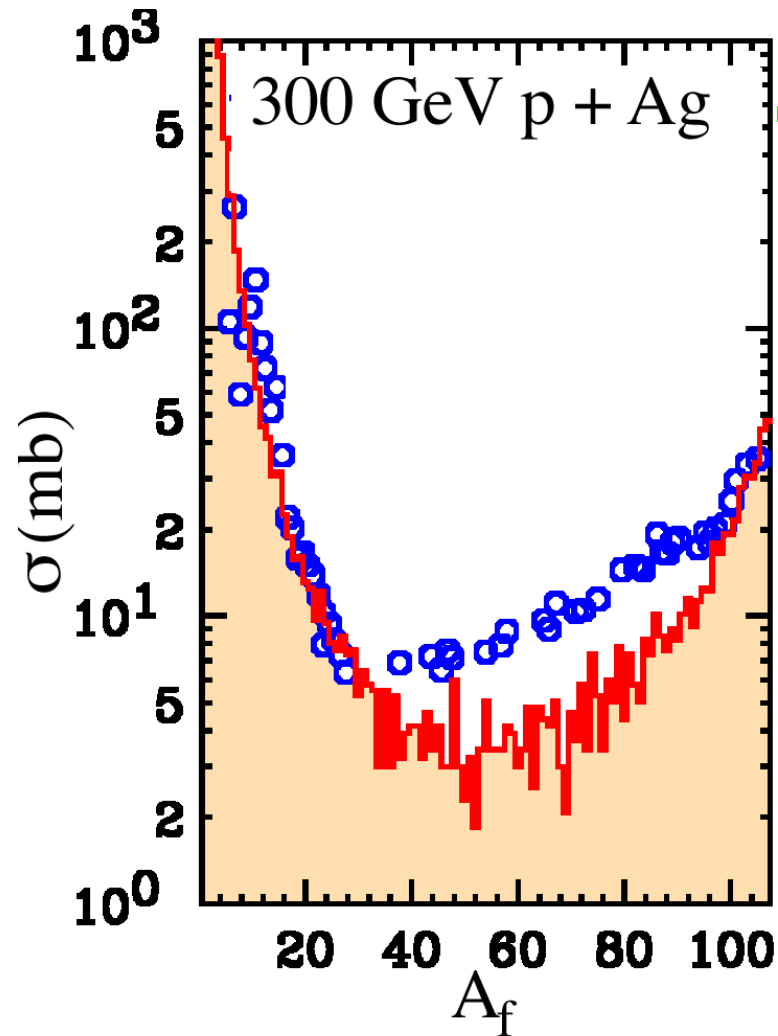
Nuclear Fragmentation

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What is an “equation of state”?

- State variables: pressure, temperature, density (internal energy, chemical potential, strangeness, ...)
- Equation of state: relationship between state variables, $f(p, T, \rho) = 0$.
 - § *Thermodynamic equation describing state of matter under given physical conditions*
 - § *Example: Ideal gas: $pV = nRT$*
 - § *Example: Ultra-relativistic fluid: $p = c_s^2 \varepsilon$*
 - § *More realistic equations of state need to contain phase transitions, coexistence regions, critical points, ...*

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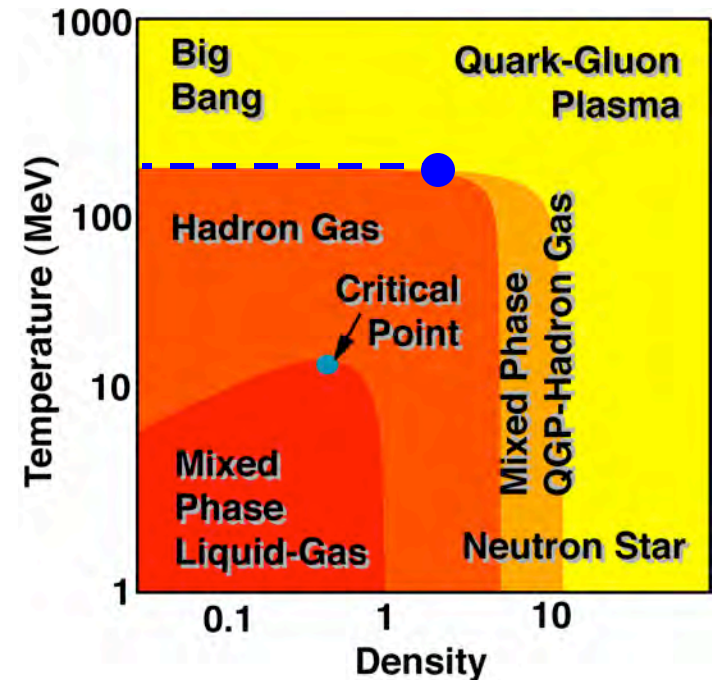


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Nuclear Matter Phase Diagram

- Two (at least) phase transitions in nuclear matter:
 - ☞ “Liquid Gas”
 - ☞ Hadron gas \rightarrow QGP / chiral restoration
- Problems/ Opportunities:
 - ☞ Finite size effects (finite size scaling! ☐)
 - ☞ Is there equilibrium? (☐)
 - ☞ Measurement of state variables (ρ , T , S , p , ... ☐)
 - ☞ Migration of nuclear system through phase diagram (non-equilibrium processes)
 - ☞ Near critical point(s): Critical slowing down! Not sufficient time for equilibrium phase transition!



Source: NUCLEAR SCIENCE, A Teacher's Guide to the Nuclear Science Wall Chart, Figure 9-2

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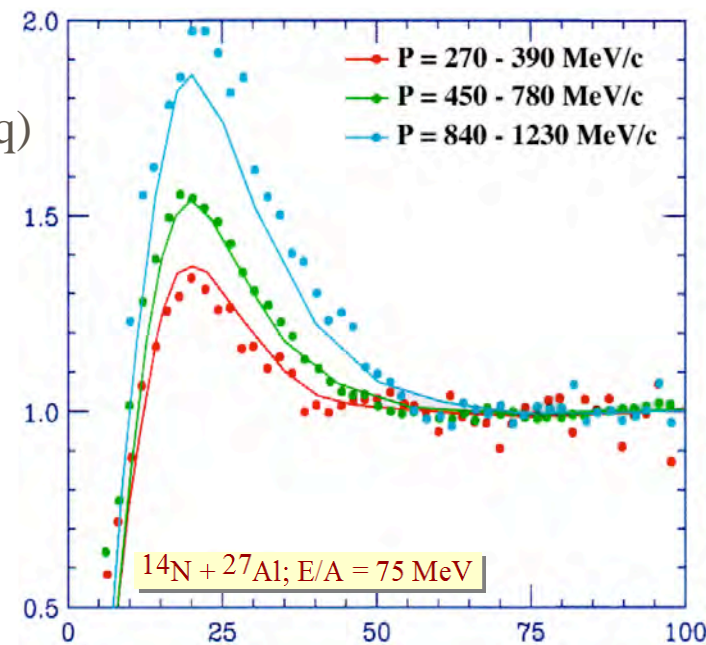
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Volume

- Information from interferometry:
- Two-particle correlation are sensitive to space-time extension of emitting source

$$C(P,q) = \int d^3x F_p(r) |\phi(r,q)|^2$$

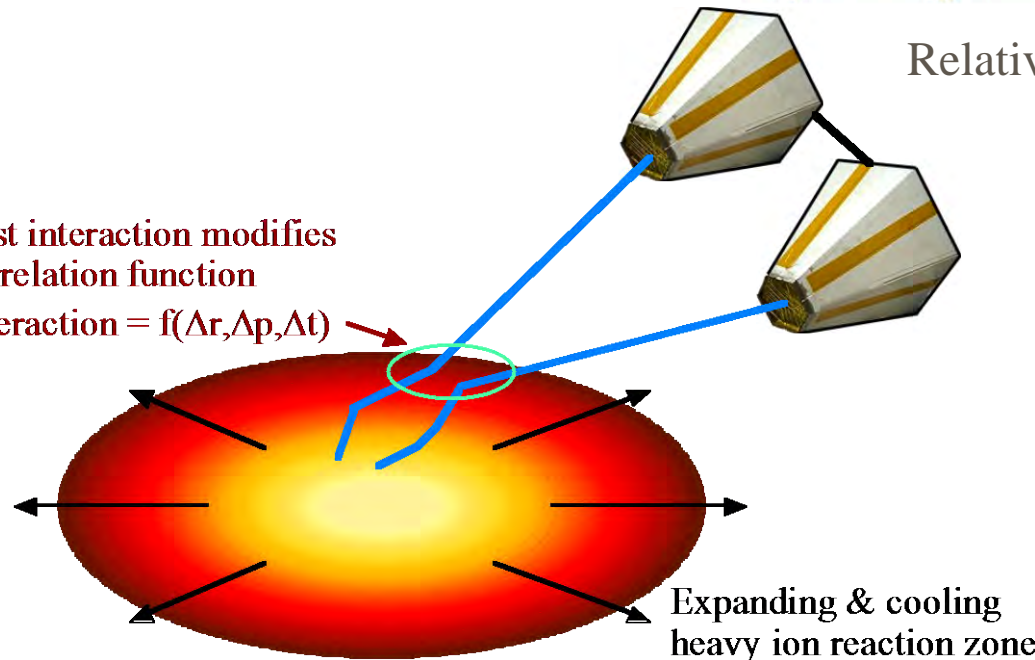
$C(q)$



Relative Momentum, q

Last interaction modifies correlation function

Interaction = $f(\Delta r, \Delta p, \Delta t)$



Expanding & cooling heavy ion reaction zone

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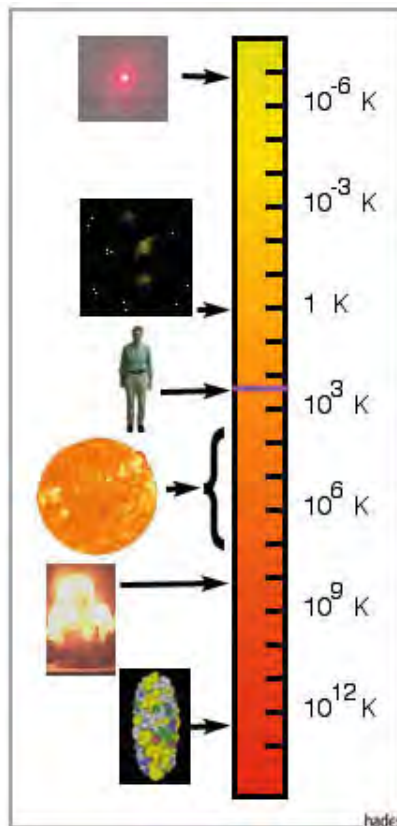


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Temperature

- Measure nuclear temperature indirectly via:



☞ Slopes of charged particle spectra

☞ Bound-state populations

☞ Unbound states

☞ Fragment isotopic yields

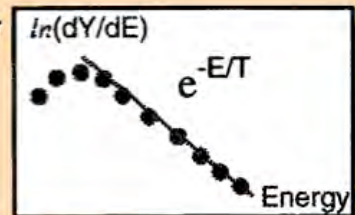
“He-Li” thermometer

Albergo et al., Nuovo C. A89

slope parameter

p, d, t, α, \dots

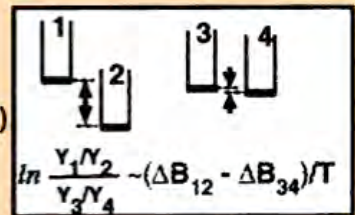
π, K, p, \dots



isotopic composition

$(^3\text{He}/^4\text{He})/(^6\text{Li}/^7\text{Li})$

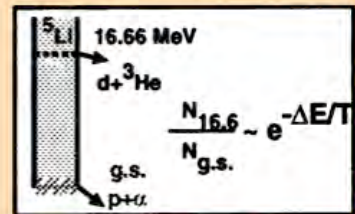
$(\pi^-/K^-)/(\Sigma^-/\Xi^-)$



internal excitation

$^5\text{Li}_{16.66} / ^5\text{Li}_{\text{gs}}$

Δ/N



$$T = 13.3 \text{ MeV} / \ln \left(2.18 \frac{Y(^6\text{Li}) Y(^4\text{He})}{Y(^7\text{Li}) Y(^3\text{He})} \right)$$

Central question: At which **time** do we measure the temperature with each thermometer?



Temperature from Fragment Spectra

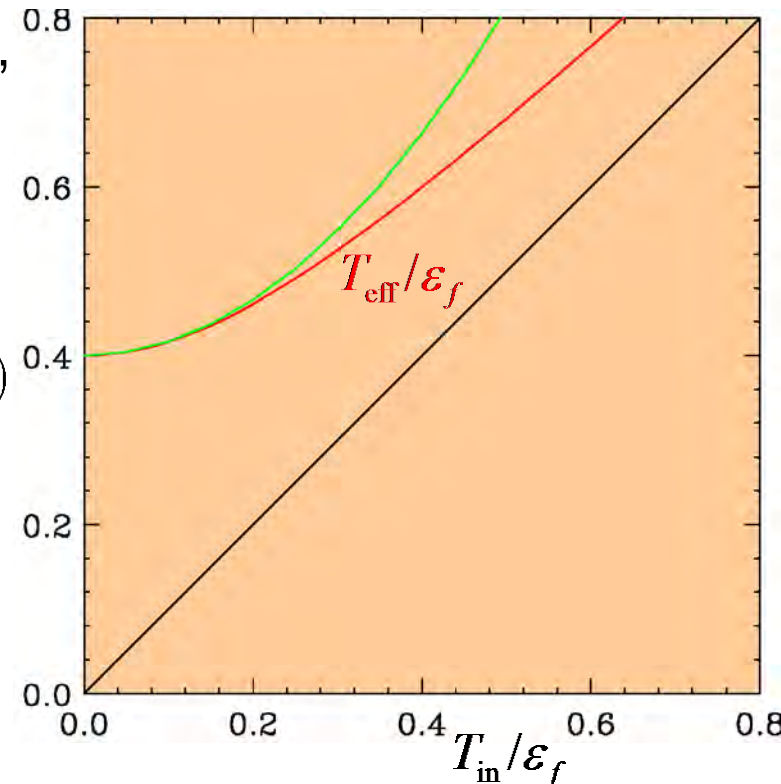
- o Nucleon momentum distribution at temp. T :
$$\rho(p) = \frac{1}{1 + \exp[(p^2/2m - \mu)/T_{\text{in}}]}$$
- o Fragment momentum = sum of momenta of nucleons in it
- o Problem equivalent to solving Pearson random walk in momentum space
- o Limiting distribution:
$$\rho(E_f) = 2 \sqrt{\frac{E_f}{\pi T_{\text{eff}}^3}} \exp\left(-\frac{E_f}{T_{\text{eff}}}\right)$$

(Boltzmann with $T_{\text{eff}} = \sigma^2/M_N$)

- o Fragment slope “temperature”, T_{eff} , is not equal to T , but is a monotonous function of it
→ Nuclear Thermometer
- o Approximation:

$$T_{\text{eff}} \approx (2/5)\epsilon_f (1 + 5\pi^2 T^2/\epsilon_f^2 + \dots)$$

WB, Phys. Rev. C 51



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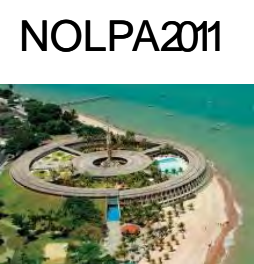
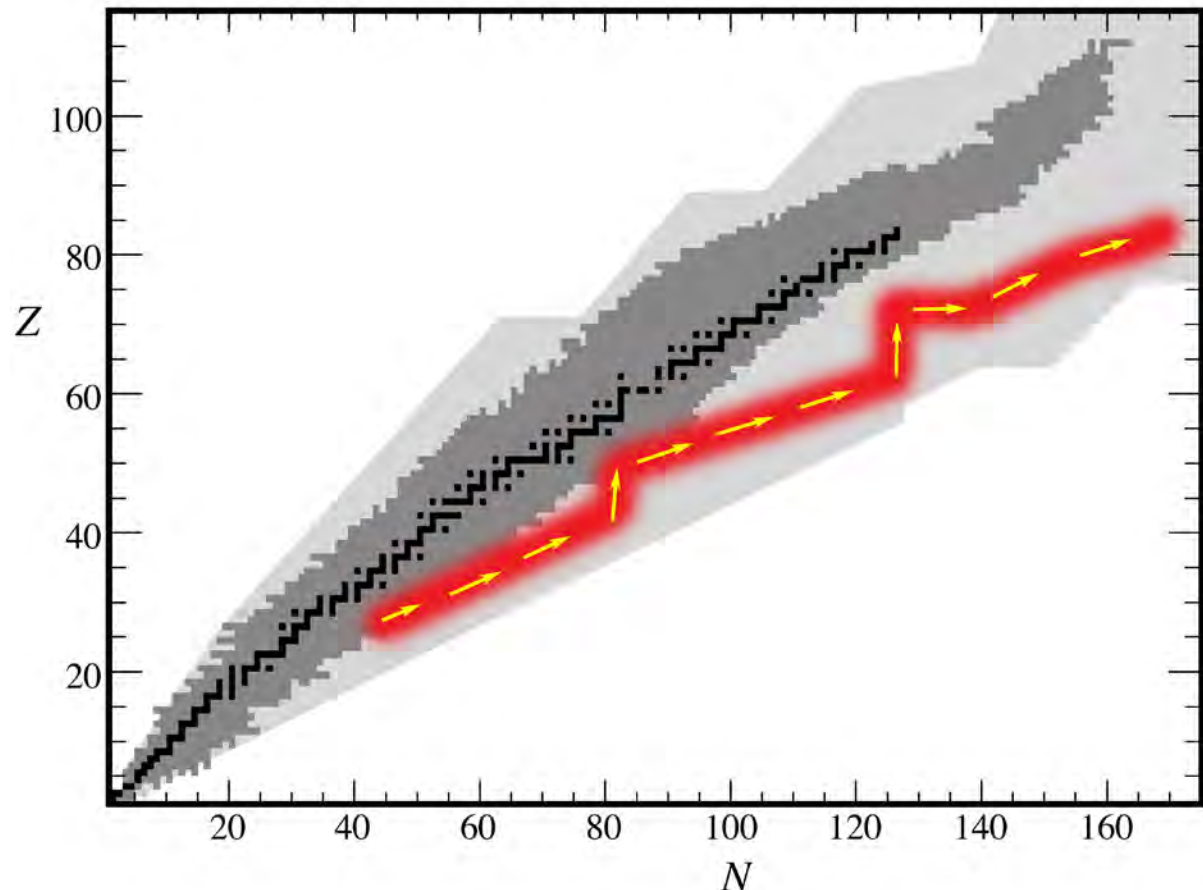


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Isospin: FRIB Reaction Physics

- Exploration of the drip lines below charge ~ 40 via projectile fragmentation reactions
- Determination of the isospin degree of freedom in the nuclear equation of state
- Astrophysical relevance (origin of heavy elements!)
- Review: [Li, Ko, WB, Int. J. Mod. Phys. E 7](#)



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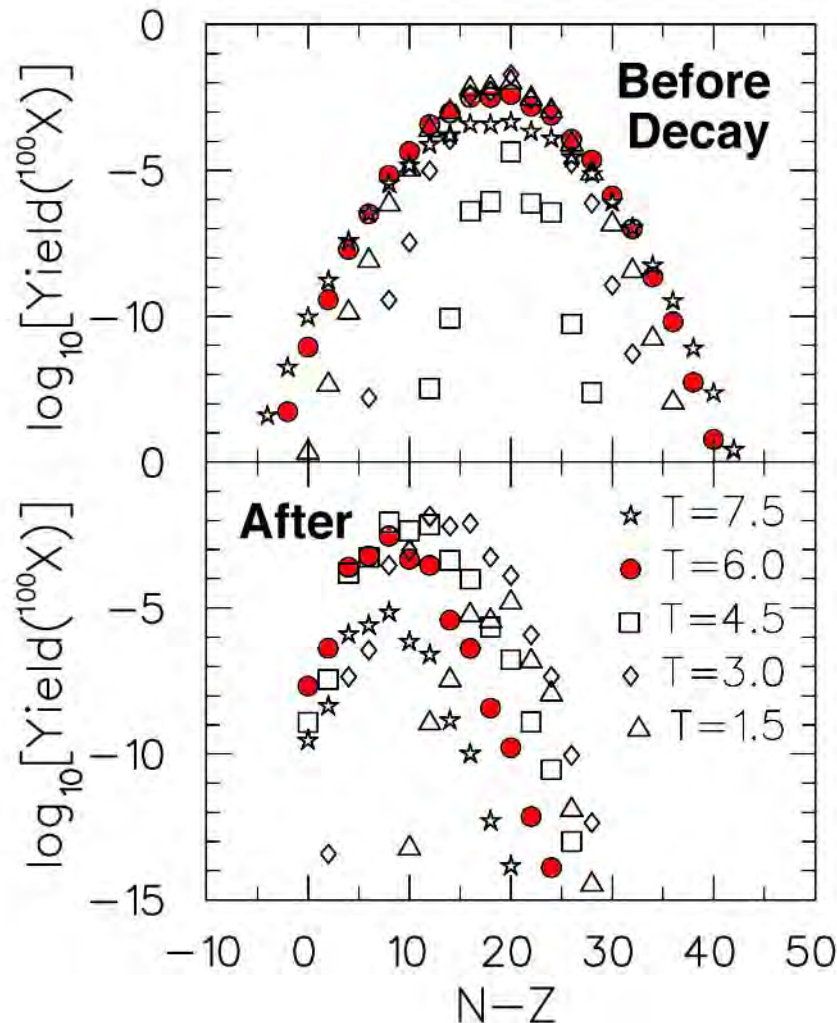
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Width of Isotope Distribution, Sequential Decays

- Predictions for width of isotope distribution are sensitive to isospin term in nuclear EoS
- Complication: Sequential decay almost totally dominates experimentally observable fragment yields

Pratt, WB, Morling, Underhill, PRC 63



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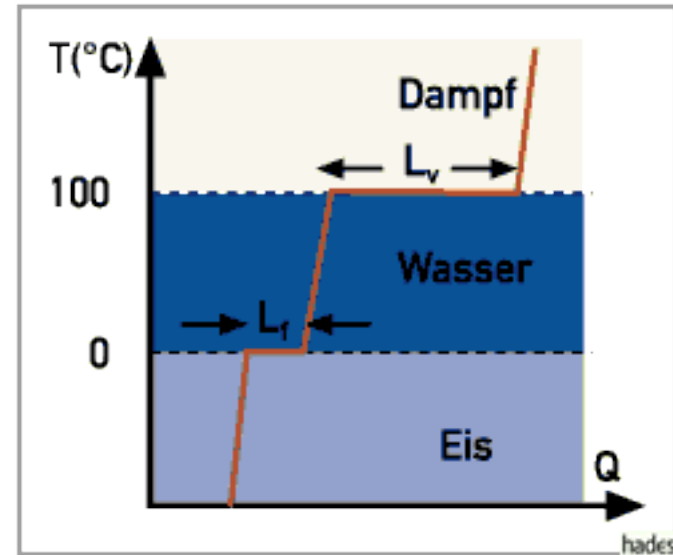


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First-Order Phase Transition

- Coexistence of two phases (e.g. ice+water, water+steam)
- Addition of heat does not change temperature
Latent heat
(H_2O : $L_f = 80 \text{ kcal/kg}$,
 $L_v = 540 \text{ kcal/kg}$)
- Different *specific heat capacities* in the different phases \rightarrow different slopes T vs. Q
- *Pressure kept constant!*



Bauer
Benenson
Westfall

cliXX
Physik

Verlag Harri Deutsch,
Frankfurt 1999

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Observation of First-Order Phase Transition?

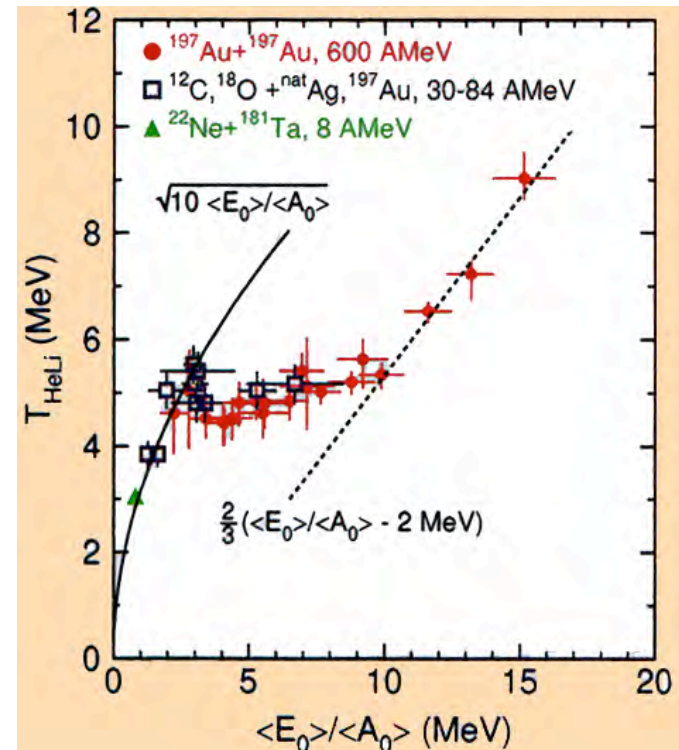
- Low E^* : Liquid-like
 $T \sim E^{*1/2}$
- High E^* : Gas-like
 $T \sim E^*$
- 1st order transition:
Liquid-gas coexistence
Temperature does not
change in phase
mixture while liquid is
converted to vapor.
- Analogy: Boiling of
water
- But what about
“constant pressure”?

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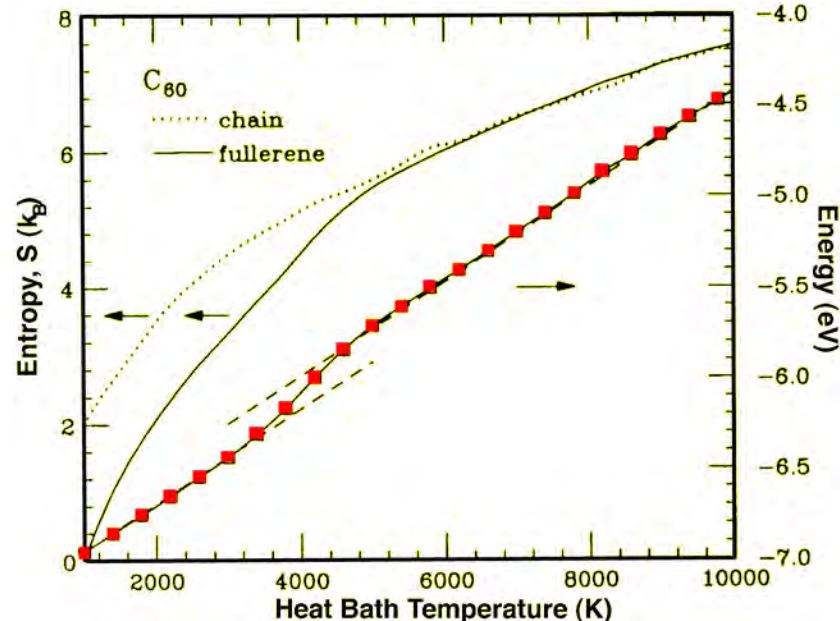
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J. Pochodzalla et al. (ALADIN),
PRL 75

Buckyballs-Melting

- C_{60} Cluster
- Soccer Ball Geometry

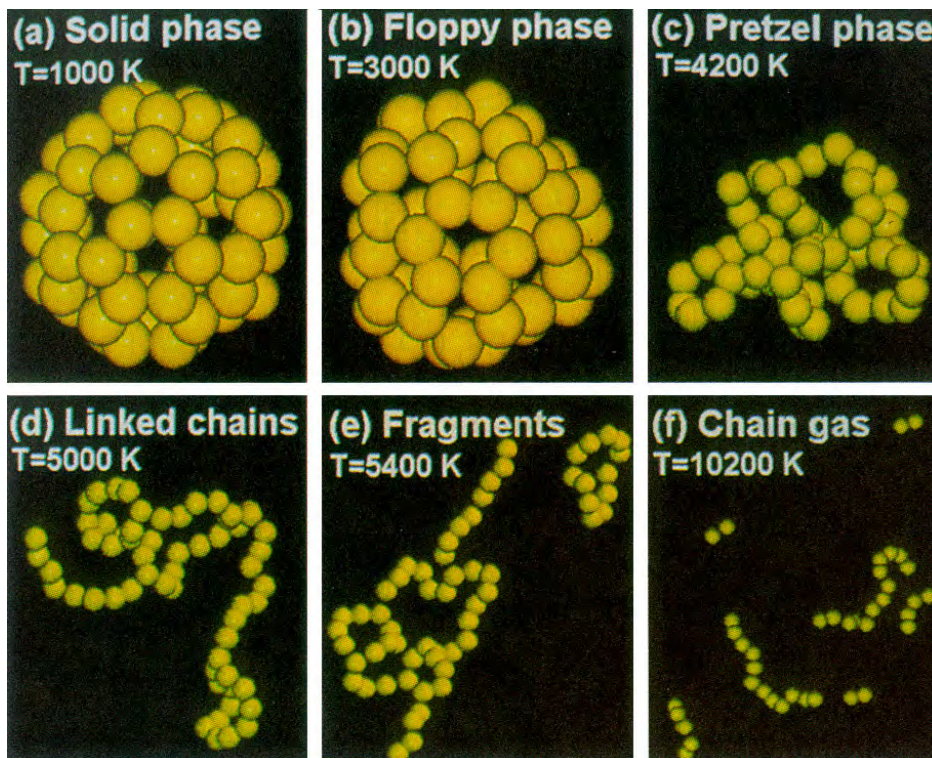


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- Molecular dynamics calculations
- Hoover-Nose heat bath

S.G. Kim & D. Tomanek,
PRL 72

Continuous Phase Transition

- Near critical point, we expect scaling behavior: all physical quantities have power-law dependencies on the control parameter
- No characteristic scales in observables
- Critical exponents of power-laws are main quantities of interest

☞ τ , Cluster size: $n_s(T_c) \sim s^{-\tau}$

☞ β , Order parameter: $P \sim (T - T_c)^\beta$

☞ γ , Divergence of s : $\sim |T - T_c|^\gamma$

- Hyper-scaling assumption

$$2 - \alpha = \frac{\tau - 1}{\sigma} = 2\beta + \gamma$$

(Determine 2 critical exponents sufficient)

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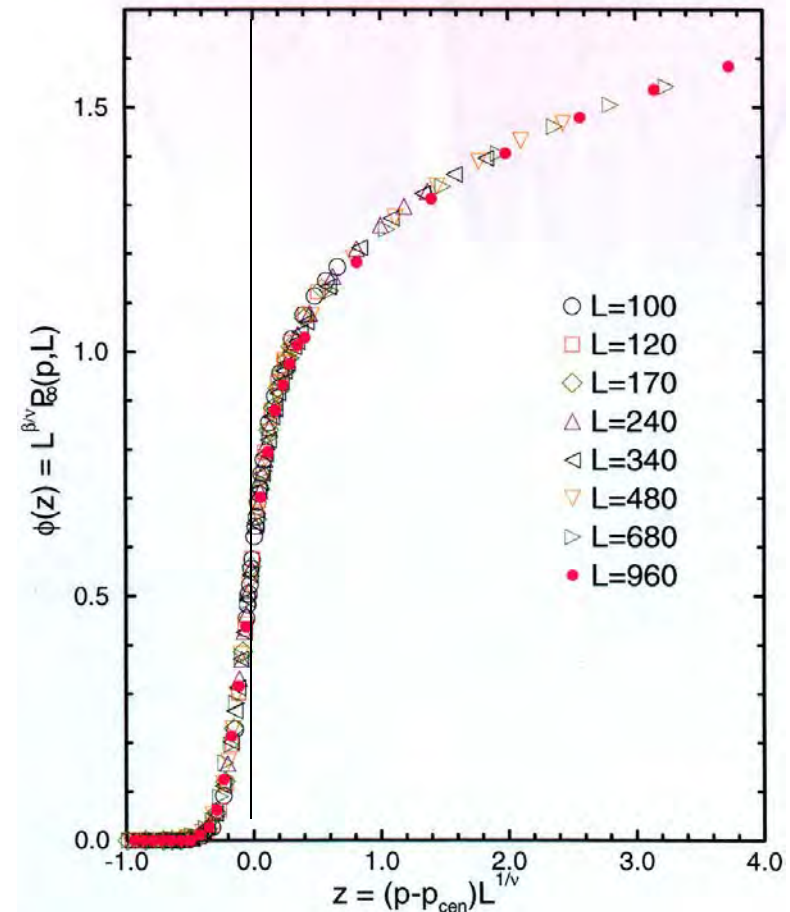
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Finite Size Scaling

M. Thorpe, MSU

- Phase transitions strictly only defined for (almost) **infinite** systems
- Lattice calculations work on finite lattices and extrapolate to infinite lattices (**hardest part!**)
- Finite size scaling exponent, ν
 - Modify control parameter by $L^{1/\nu}$
 - Modify order parameter by $L^{\beta/\nu}$
- Opportunity for nuclear physics: **Learn about extreme finite size scaling in real systems**

Scaling of the order parameter
generic bond diluted triangular lattice



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Self-Organized Criticality

- How to achieve scale-invariance?
 - ϕ Vicinity of critical point: power-laws
 - ϕ Very careful tuning of control parameter(s) required
- Another possibility: **SOC**
 - ϕ Sequence of avalanches between metastable states
 - ϕ Continually driven to criticality
 - ϕ No external tuning required
 - ϕ Example: Bak's sand pile

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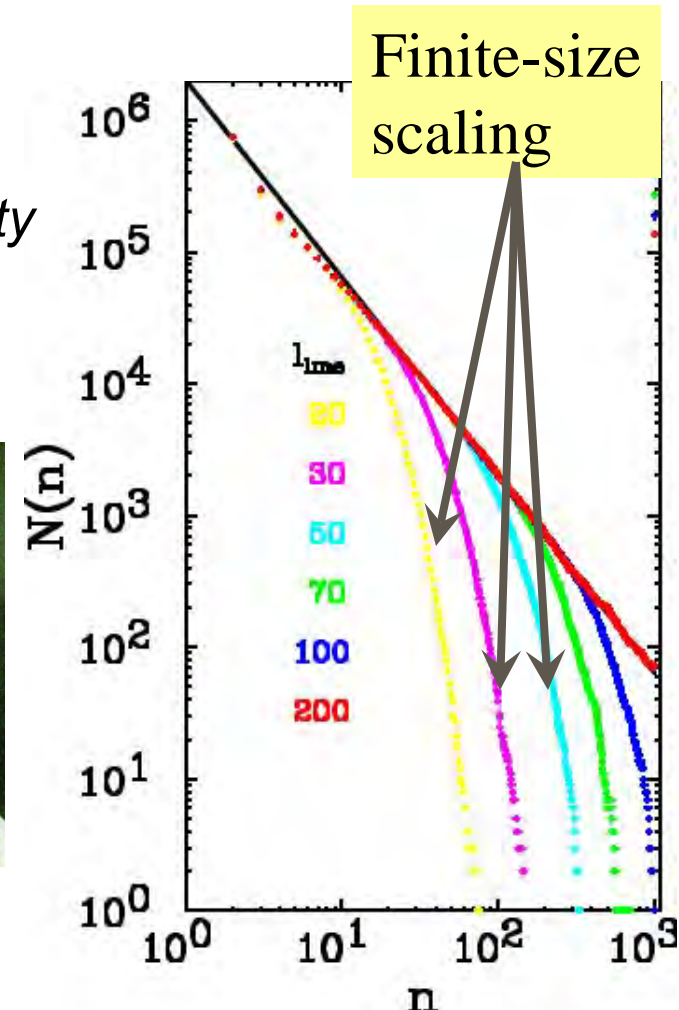


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Held et al., PRL 65



Critical Slowing Down

- Near critical point, $|T/T_c| \ll 1$, it takes longer and longer to re-establish equilibrium after changing the temperature

- Example: Ising Model,

$$M(t) \sim \exp(-t/t_r),$$

with

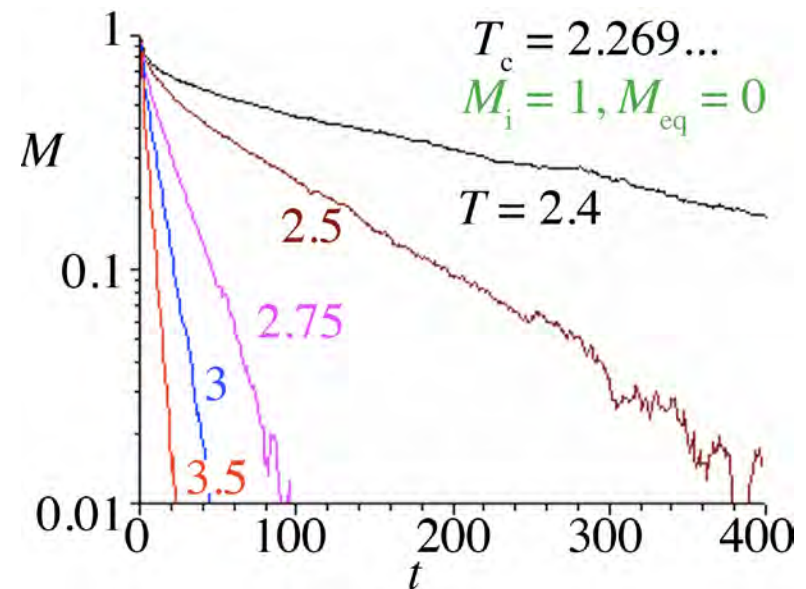
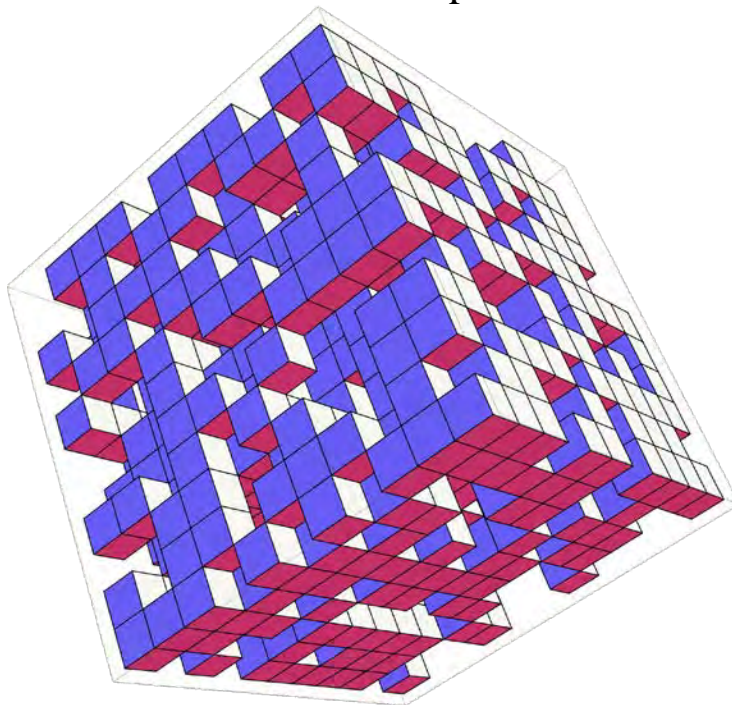
$$t_r = 4.5 (T - T_c)^{-1.85}, \text{ for } T > T_c.$$

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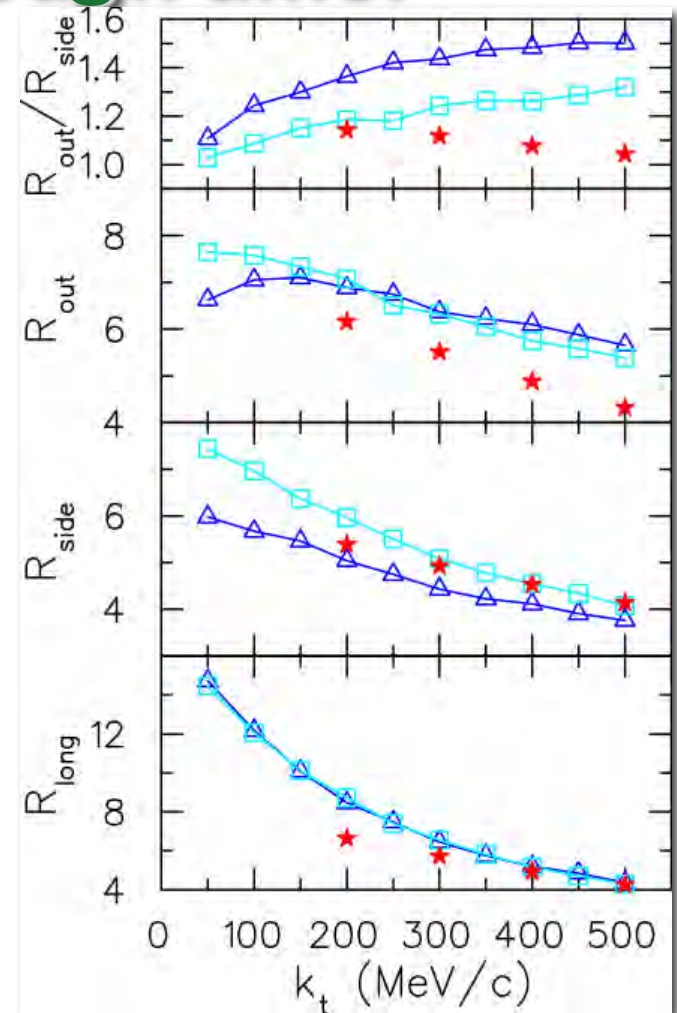
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... but there is not enough time!

- HBT puzzle
- Theoretical expectation
 - ☞ *Change of # of degrees of freedom in transition from quarks and gluons to hadrons*
 - ☞ *Large time delay*
 - ☞ *Expect $R_{out} \gg R_{side}$*
- Not seen by experiment!



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- Equilibrium thermodynamic phase transition may not be possible
 - ☞ *... but percolation-type transition not excluded!*

Dynamics

- Thermal equilibrium assumptions not (always) valid
- Need transport theory
- Various event class averages (event vs. thermal!)
- Connections to underlying phase diagram poorly understood
- Transient formation of non-compact structures

§ *Sheet instabilities*

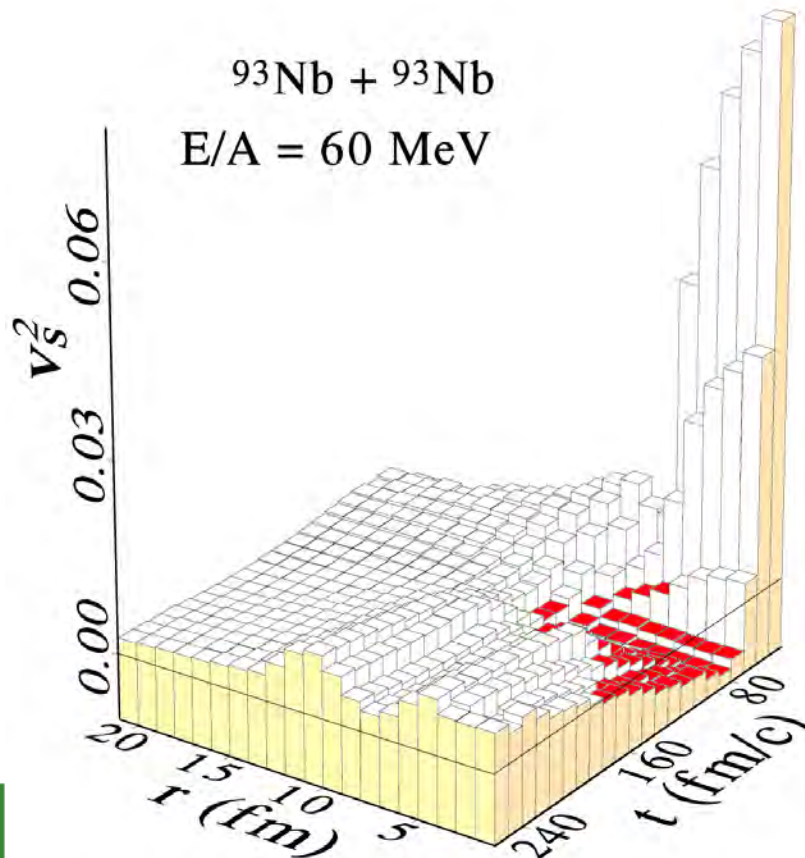
Moretto et al., PRL 69

§ *Bubble and ring formation*

WB, Schulz, Bertsch, PRL 69

§ *Imaginary sound velocity causes exponential growth in fluctuations; non-equilibrium in origin*

§ *Similar effect now postulated for RHIC collisions (*Pratt 2008*)*



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Non-Equilibrium Phase Transitions

- Conventional thermodynamics
 - ☞ *Write down partition function from (known) Hamiltonian*
$$Z = \text{tr}(e^{-\beta H})$$
 - ☞ *Take partial derivatives to obtain state variables*
 - ☞ *Static solution; equilibrium; no changes in time*
- Non-equilibrium Phase Transition
 - ☞ *Dynamics; time dependence*
 - ☞ *No thermal averages*
 - ☞ *Transitions between un/meta/bi-stable states*
- Are similar universality classes possible?
 - ☞ *Critical exponents can be obtained*

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Multi-Component Systems

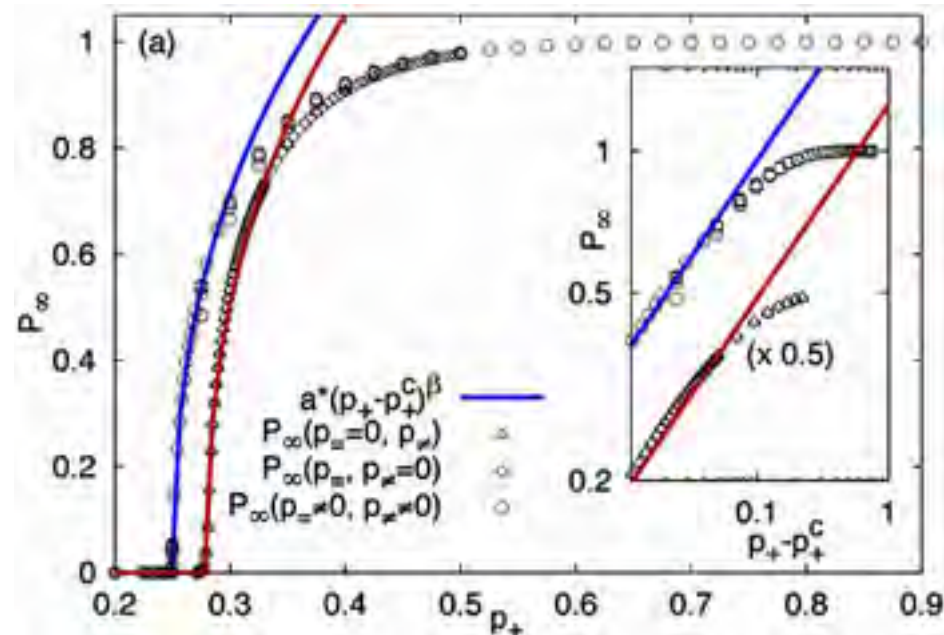
- What happens when physically different components are in the system undergoing phase transition (protons+neutrons, different flavor quark&gluons, ...)?
- Possible:

⌘ *Change of character of phase transition*

Müller&Serot, PRC 52

⌘ *Shift in critical value of control parameter, same critical exponents*

Harreis&WB, PRB 62



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Event-by-Event

- Near critical point, information on fluctuations is essential; averaging destroys it
- Promising candidates: E-by-E moment analyses

$$M_k(e) = \sum_i n_e(i) i^k$$

e = event, $n_e(i)$ = # of times i is contained in e

- E-by-E for different observables can generate N -dimensional scatter plots
- Big question: **How to sort events into classes?**
- Natural choice: If you know control parameter, use it!
(easy for theory, impossible for experiment)
- Closest choice: observable that is \sim linear in control parameter.
- Attempt: use charged particle multiplicity, m .

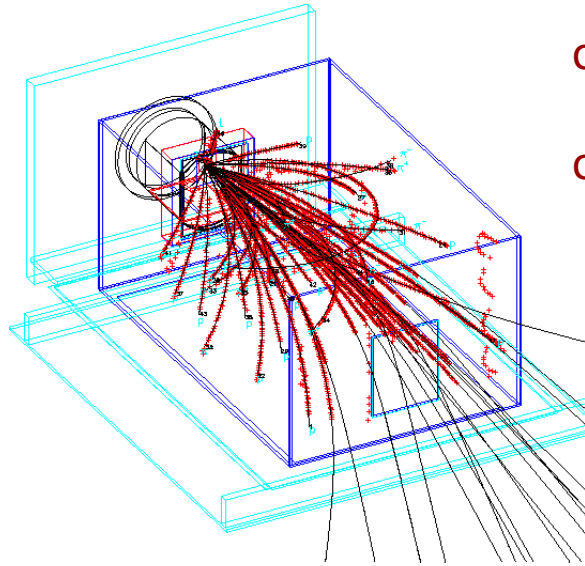
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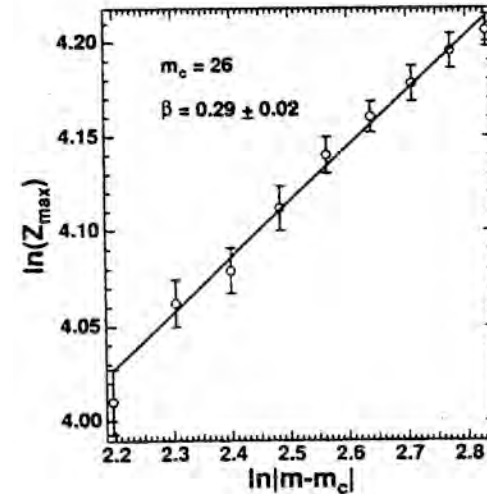
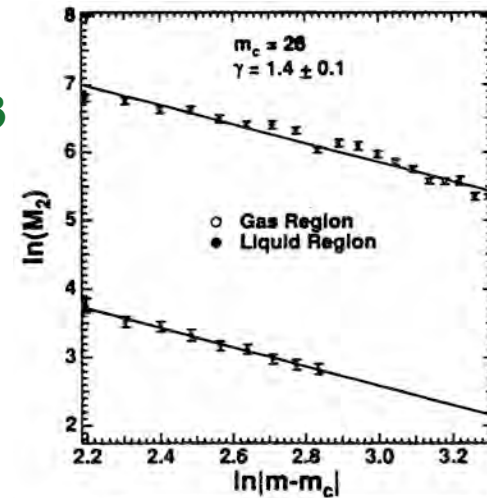
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Determining Critical Exponents?



- EOS-TPC:
Gilkes *et al.*, PRL 73
- Complete reconstruction of events: all charges recovered



- Assume charged-particle multiplicity is proportional to control parameter
- Find critical value, m_c ; extract critical exponents β and γ :

$$\gamma = 1.4, \quad \beta = 0.29$$

- Assuming validity of hyper-scaling: universality class of transition is completely determined



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Interesting data; incorrect interpretation

Percolation

WB *et al.*, PLB **150**, 53 (1985)
WB *et al.*, NPA **452**, 699 (1986)
X.Campi, JPA **19**, L917 (1986)
T. Biro *et al.*, NPA **459**, 692 (1986)
J. Nemeth *et al.*, ZPA **325**, 347 (1986)
...

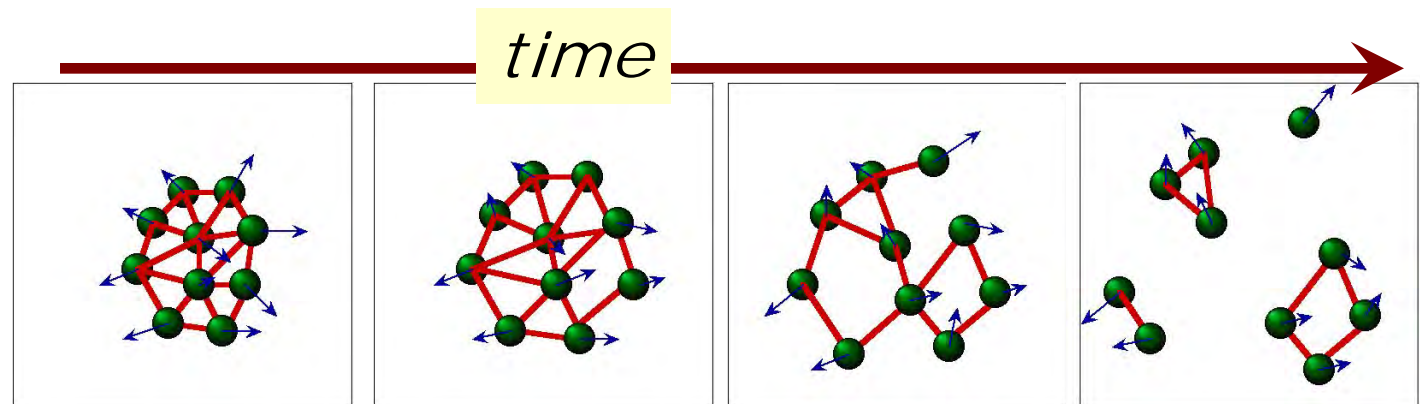
- Short-range NN force: nucleons in contact with nearest neighbors
- Expansion (thermal, compression driven, dynamical, ...)
- Bonds between nucleons rupture
- Remaining bonds bind nucleons into fragments
- One **control parameter**: bond breaking probability

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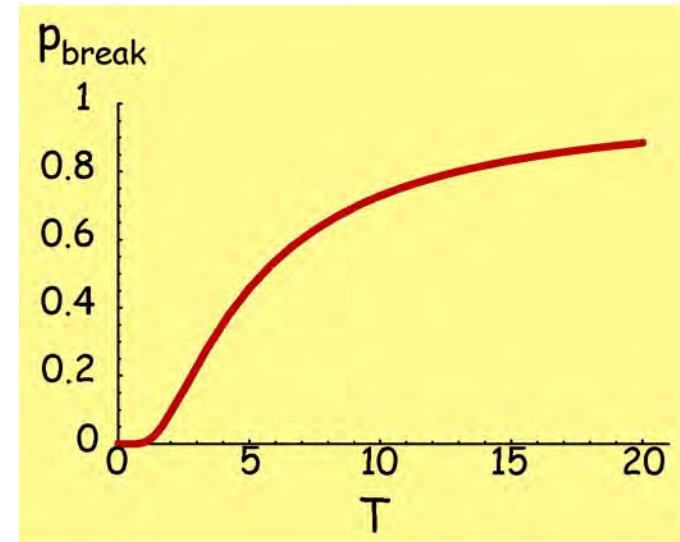
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Breaking Probability

- Determined by the excitation energy deposited
- Infinite simple cubic lattice:
 - ☞ 3 bonds/nucleon
 - ☞ It takes 5.25 MeV to break a bond



- p, π -induced: Glauber theory
 - ☞ p_{break} proportional to path length through matter
- General relation between p_{break} and T :

$$p_{\text{break}} = 1 - \frac{2}{\sqrt{\pi}} \Gamma \left[\frac{3}{2}, 0, \frac{B}{T} \right]$$

Γ = generalized incomplete gamma function, B = binding energy per nucleon
 T. Li et al., PRL 70
 (generalization of Coniglio-Klein for Fermi systems)

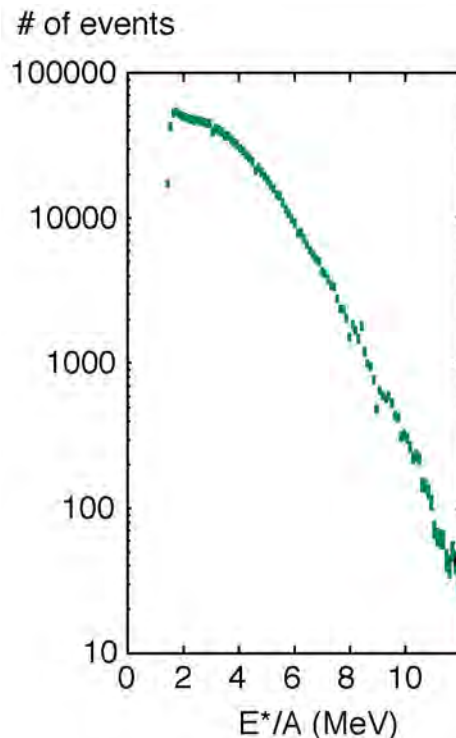
- Obtain E^* or T from other model or directly from experiment

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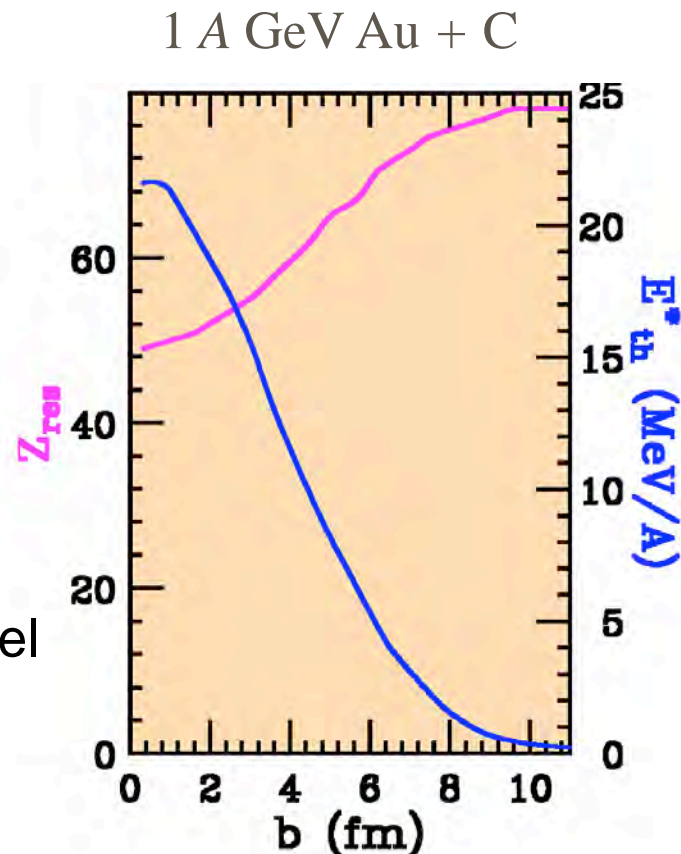


AA Collisions: Hybrid Model

- o **First stage:** Intra-nuclear cascade (or other transport model)
 - ç Produces distribution of residue sizes and E^*
 - ç Convert E^* into temperature and percolation breaking probability

$$p_{\text{break}} = 1 - \frac{2}{\sqrt{\pi}} \Gamma \left[\frac{3}{2}, 0, \frac{B}{T} \right]$$

- o **Second stage:** Percolation model with lattice size = charge of residue
 - ç Produces fragments
- o Total multiplicity = INC pre-equilibrium + percolation output



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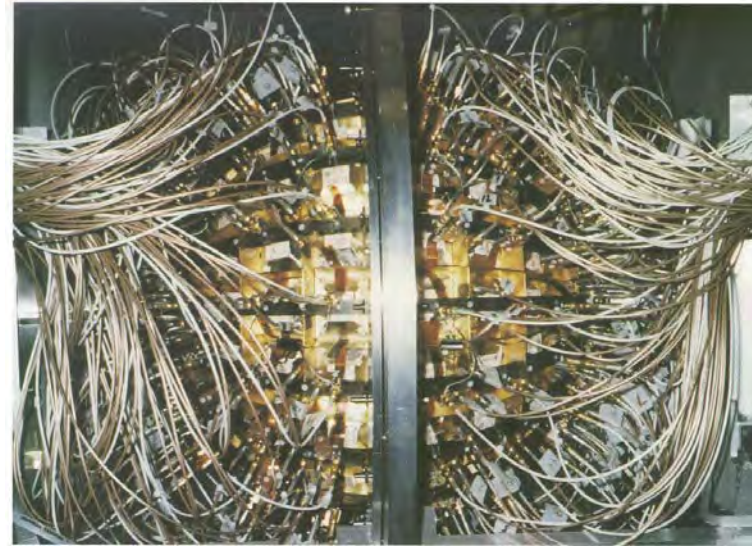


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ISiS BNL Experiment

- 10.8 GeV p or π + Au
- Indiana Silicon Strip Array
- Experiment performed at AGS accelerator of Brookhaven National Laboratory



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ISIS Data Analysis

- Reaction:
 $p, \pi + \text{Au}$ @AGS
- Very good statistics
($\sim 10^6$ complete events)
- Philosophy: Don't deal
with energy deposition
models, but take this
information from experiment!
- Detector acceptance effects crucial
 - ⌘ *filtered calculations, instead of corrected data*
- **Parameter-free** calculations

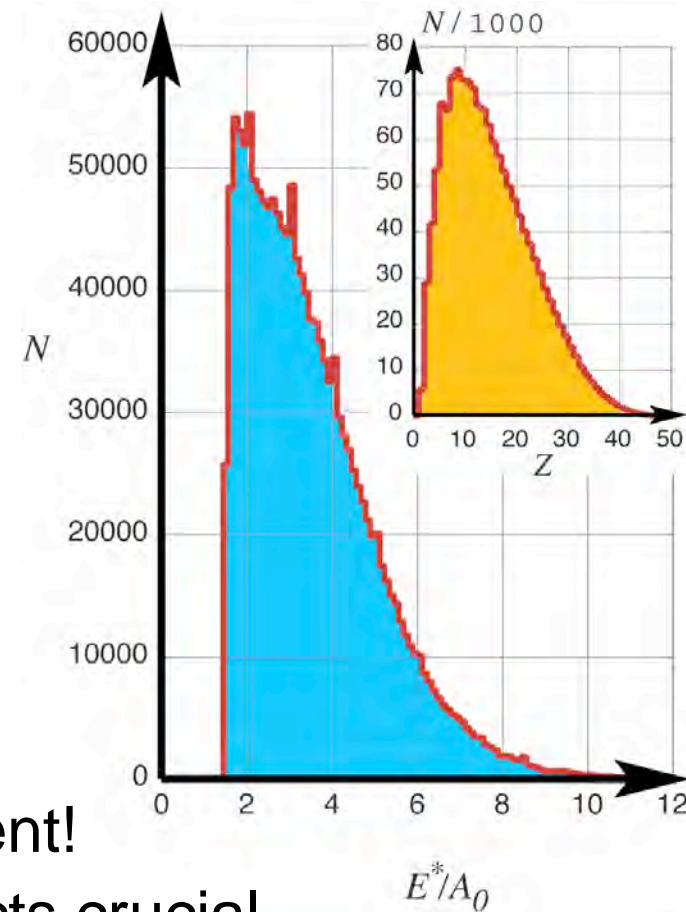
$p, \pi + Au @ AGS$

- o Philosophy: Don't deal with energy deposition models, but take this information from experiment!

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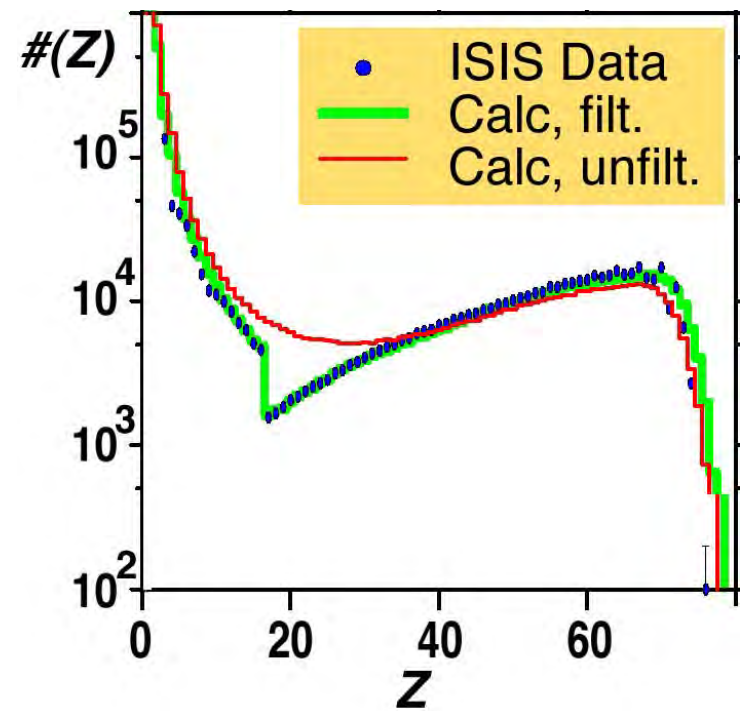
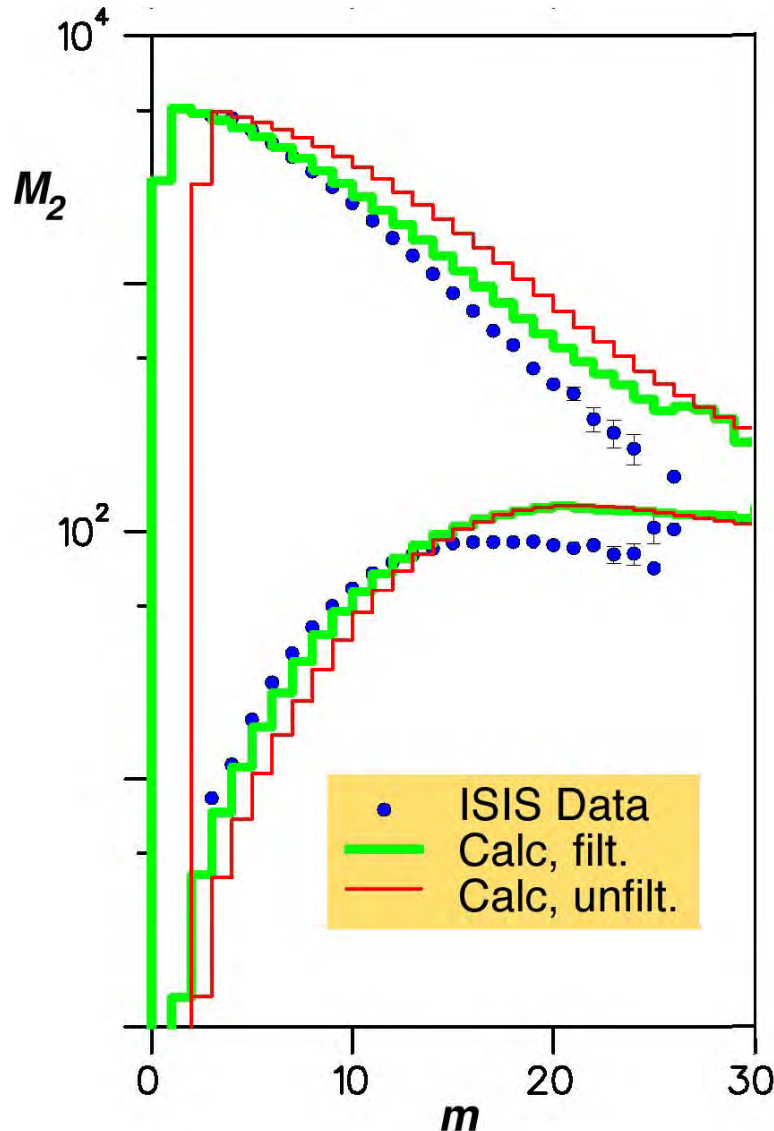


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Comparison



- Charge yield spectrum
- Second moments
- **Very good agreement** between theory and data

ϕ *Filter very important*
 ϕ *Sequential decay corrections huge*

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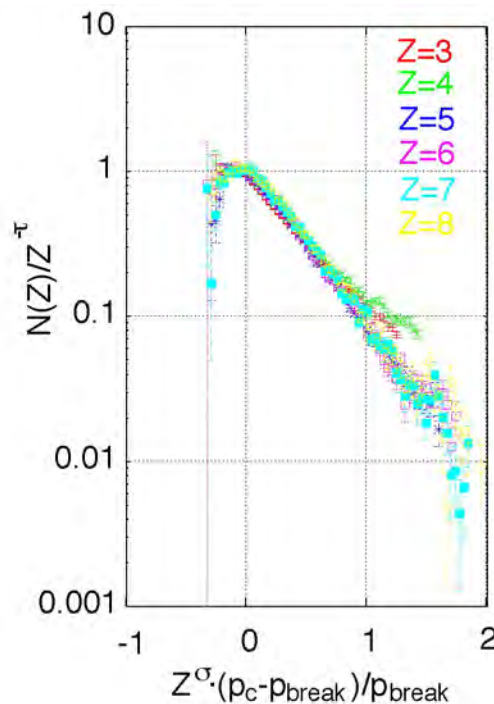
Scaling Analysis

- o Idea (Elliott et al.): If data follow scaling function

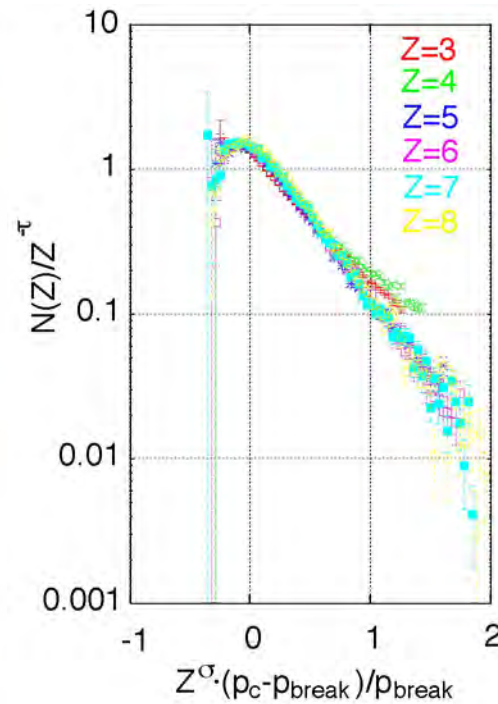
$$N(Z, T) = Z^{-\tau} f \left[\frac{T - T_c}{T_c} Z^\sigma \right]$$

with $f(0) = 1$ (think “exponential”), then we can use scaling plot to see if data cross the point $[0,1]$ -> critical events

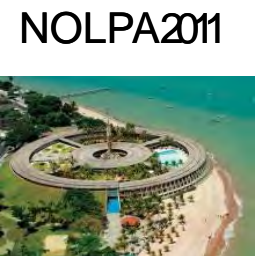
Unfiltered



Filtered



- o **Note:**
- o Critical events present, $p > p_c$
- o Critical value of p was corrected for finite size of system



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Scaling of ISIS Data

- Most important: critical region and explosive events probed in experiment
- Possibility to narrow window of critical parameters
 - τ : vertical dispersion
 - σ : horizontal dispersion
 - T_c : horizontal shift
- χ^2 Analysis to find critical exponents and temperature

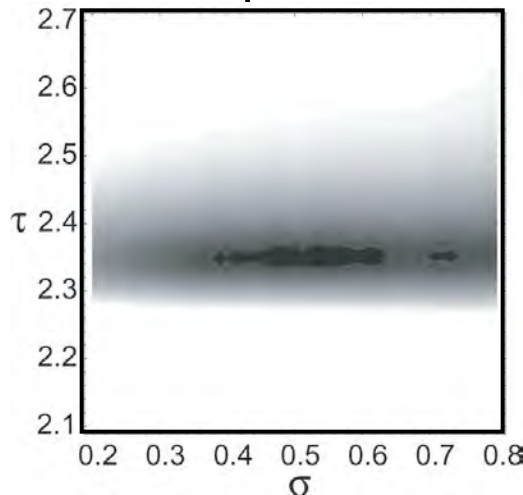
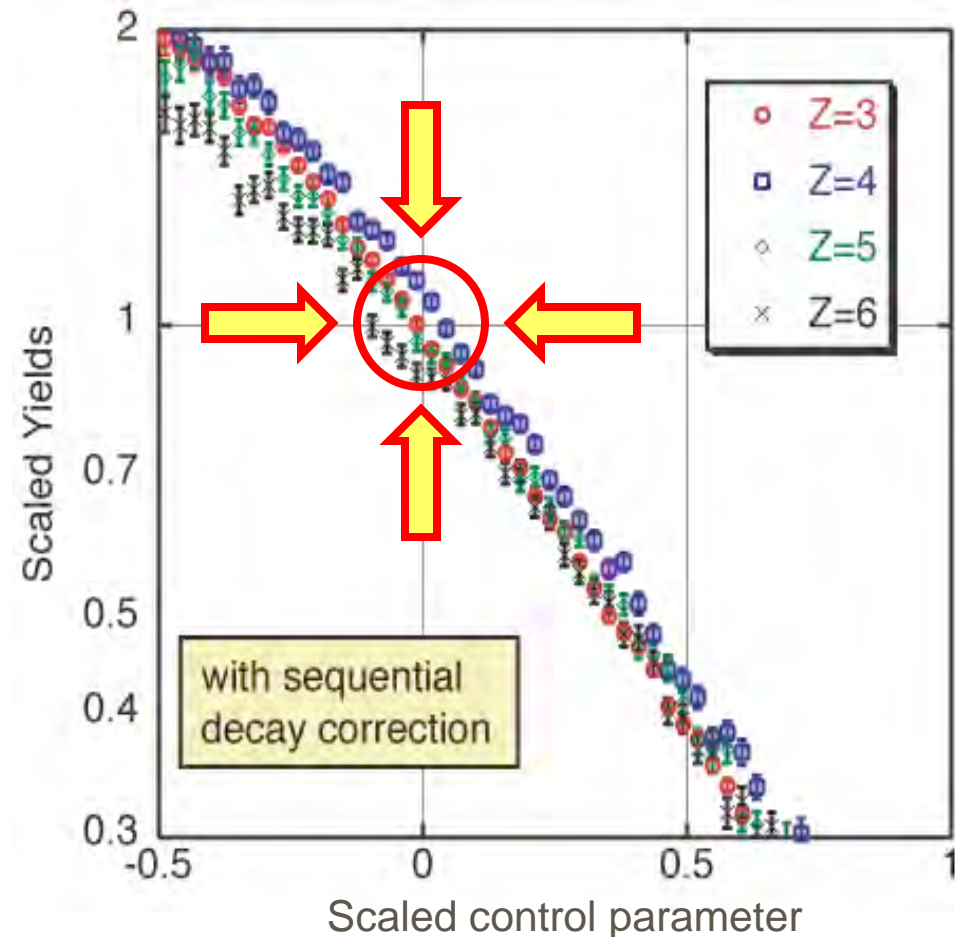
Result:

$$\sigma = 0.5 \pm 0.1$$

$$\tau = 2.35 \pm 0.05$$

$$T_c = 8.3 \pm 0.2 \text{ MeV}$$

M. Kleine Berkenbusch *et al.*, PRL 88



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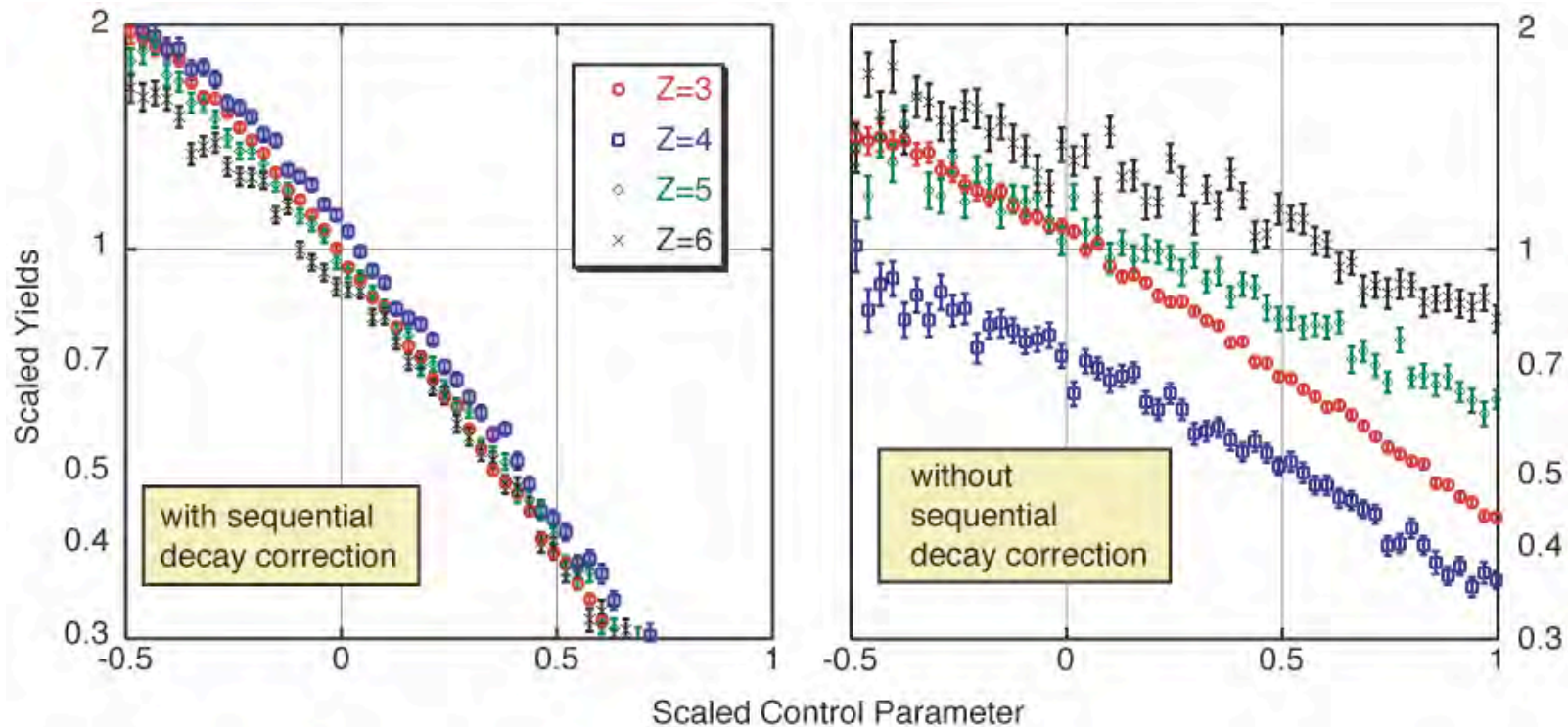


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Scaling of ISIS Data (2)

- Note: This only works because of very careful correction for sequential decays!
- Best-fit scenarios for both cases:
 - Scaling collapse only when sequential decay correction is performed
 - Technique fails without it



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Freeze-Out Density

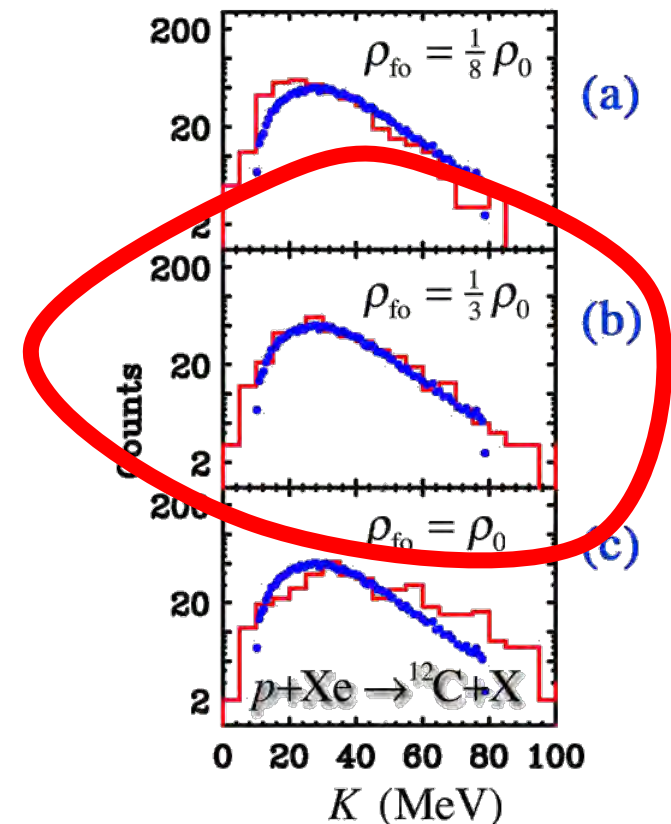
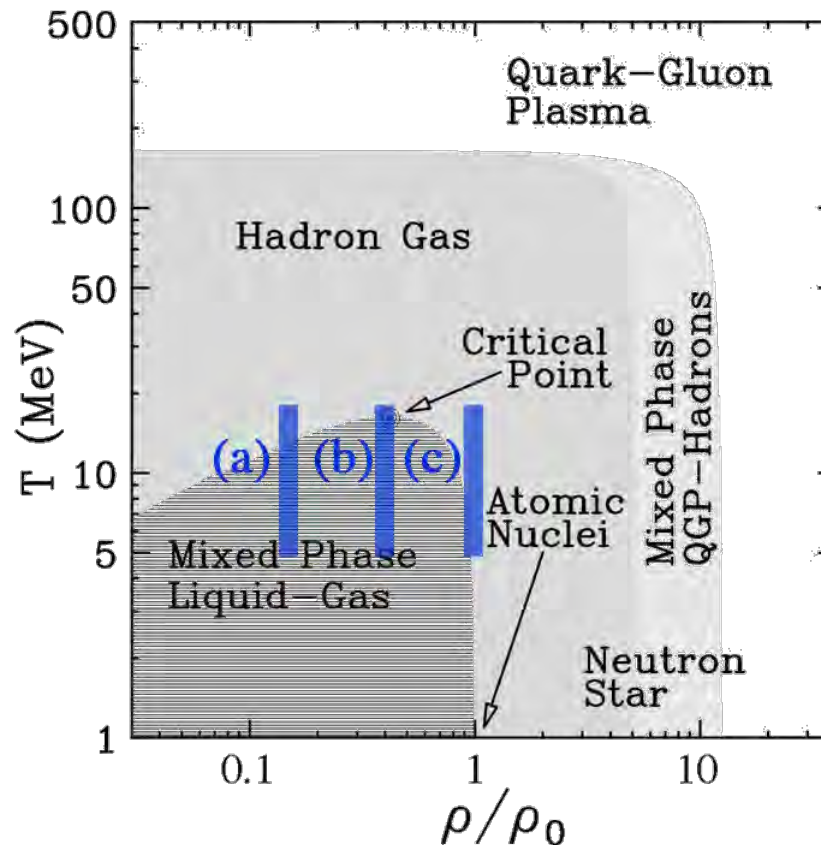
$$p_b = 1 - \frac{2}{\sqrt{\pi}} \Gamma\left(\frac{3}{2}, 0, B/T\right)$$

- Percolation model only depends on breaking probability, which can be mapped into a temperature.
- Q:** How to map a 2-dimensional phase diagram?
- A:** Density related to fragment energy spectra; Coulomb many-body expansion of pre-fragments

WB, Alleman, Pratt, AIP conf.proc.884, 327 (2007)

WB, Nucl.Phys. A787, 595c (2007)

$$\rho_c = (0.35 \pm 0.1)\rho_0$$



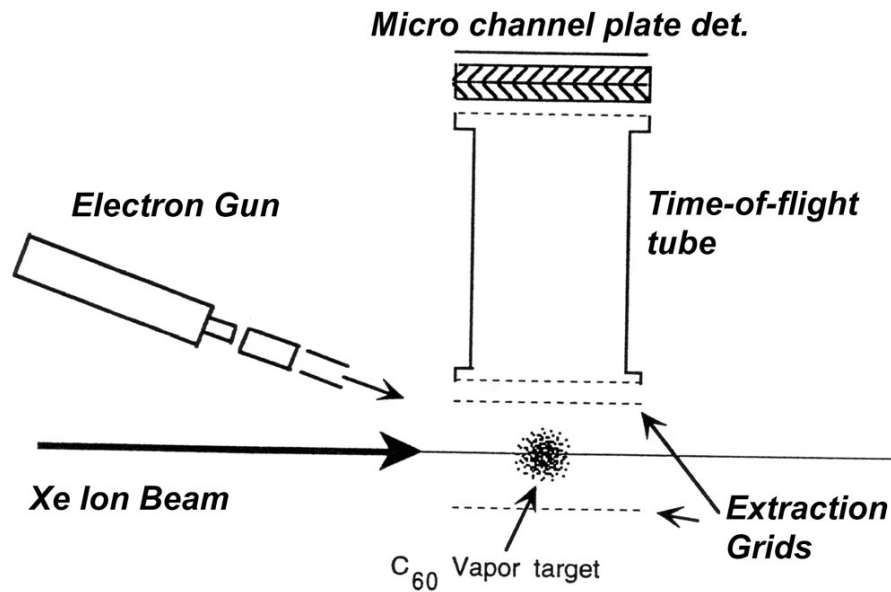
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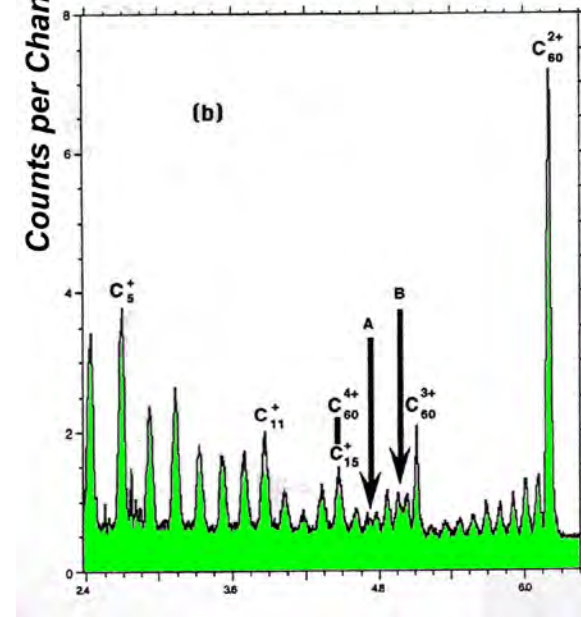
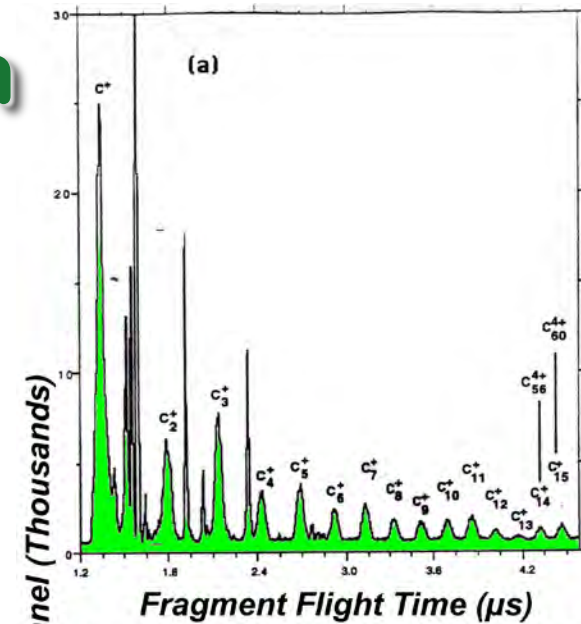
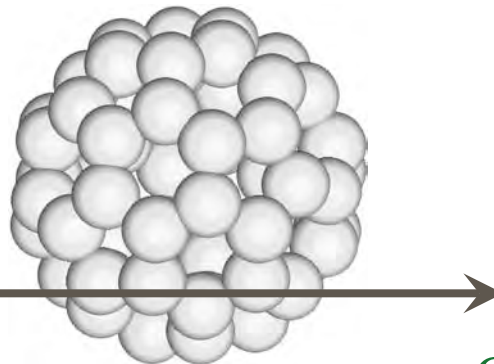
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Buckyball Fragmentation



Binding energy
of C_{60} :
420 eV

625 MeV
 Xe^{35+}



Cheng et al., PRA 54

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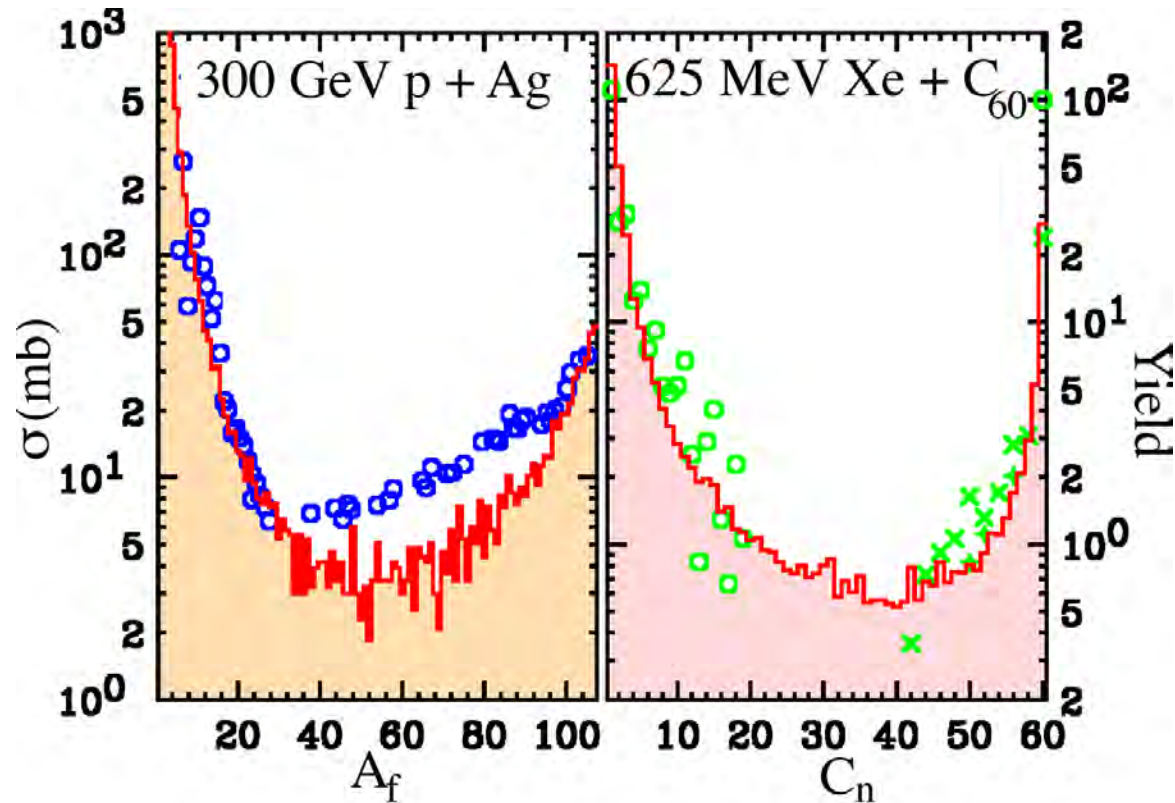
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Cross-Disciplinary Comparison

Data:
 Bujak et al., PRC 32
 LeBrun et al., PRL 72
 Calc.:
 WB, PRC 38
 Cheng et al., PRA 54

- Left: Nuclear Multifragmentation
- Right: Buckyball Fragmentation
- Histograms: Percolation Models



- Similarities:
 - ☞ *U - shape (b-integration)*
 - ☞ *Power-law for imf's (1.3 vs. 2.6)*
 - ☞ *Binding energy effects provide fine structure*

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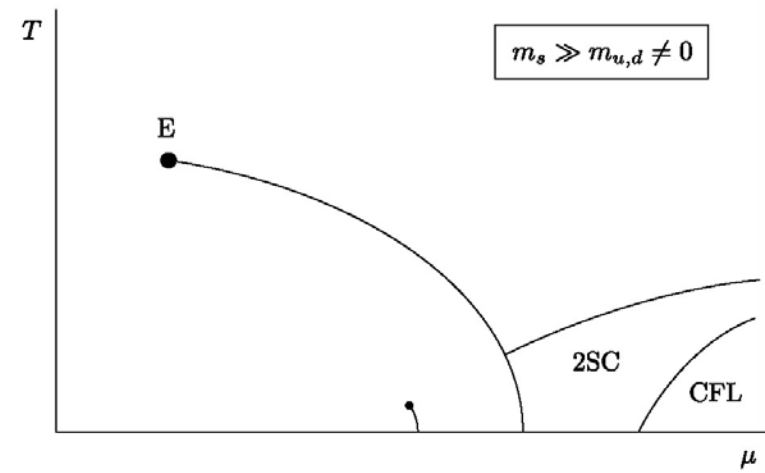
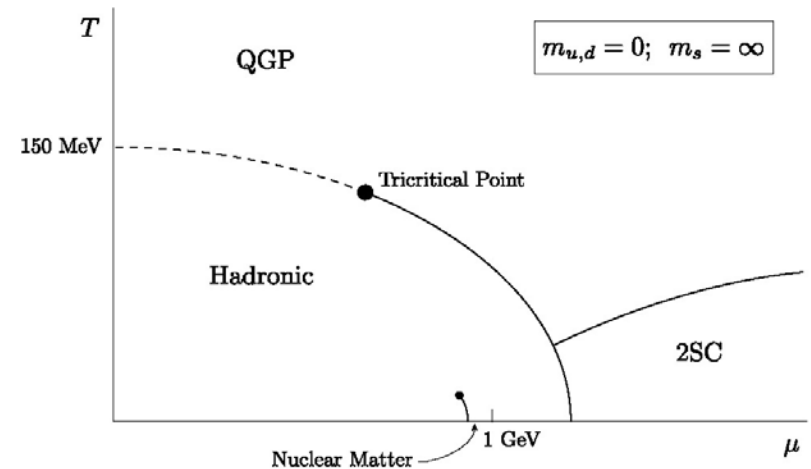


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How to find the QCD Critical Point

- There are no large fragments!
- What clusters? What fluctuates?
- What is the order parameter that can be measured experimentally?
- What should CBM look for?



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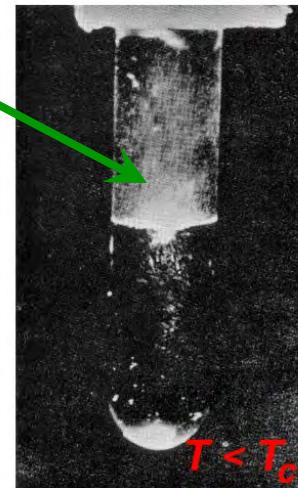
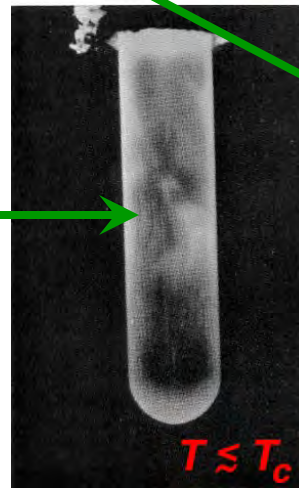
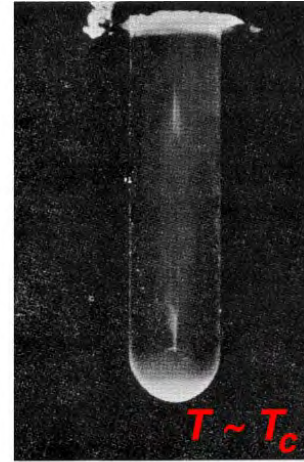
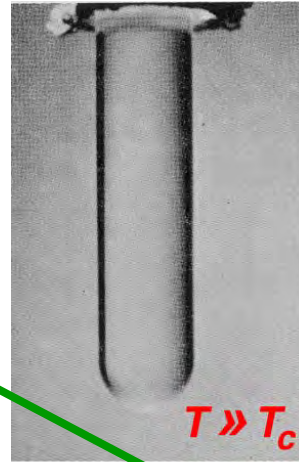
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Liquid at the Critical Point

Cyclohexane-Aniline

Liquid-vapor
coexistence

Critical
Opalescence:
Light scattering
off fluctuations



Ferrell (1968)
Stanley (1971)

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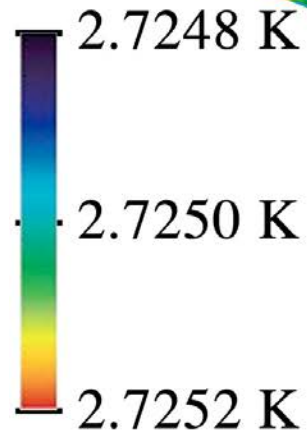
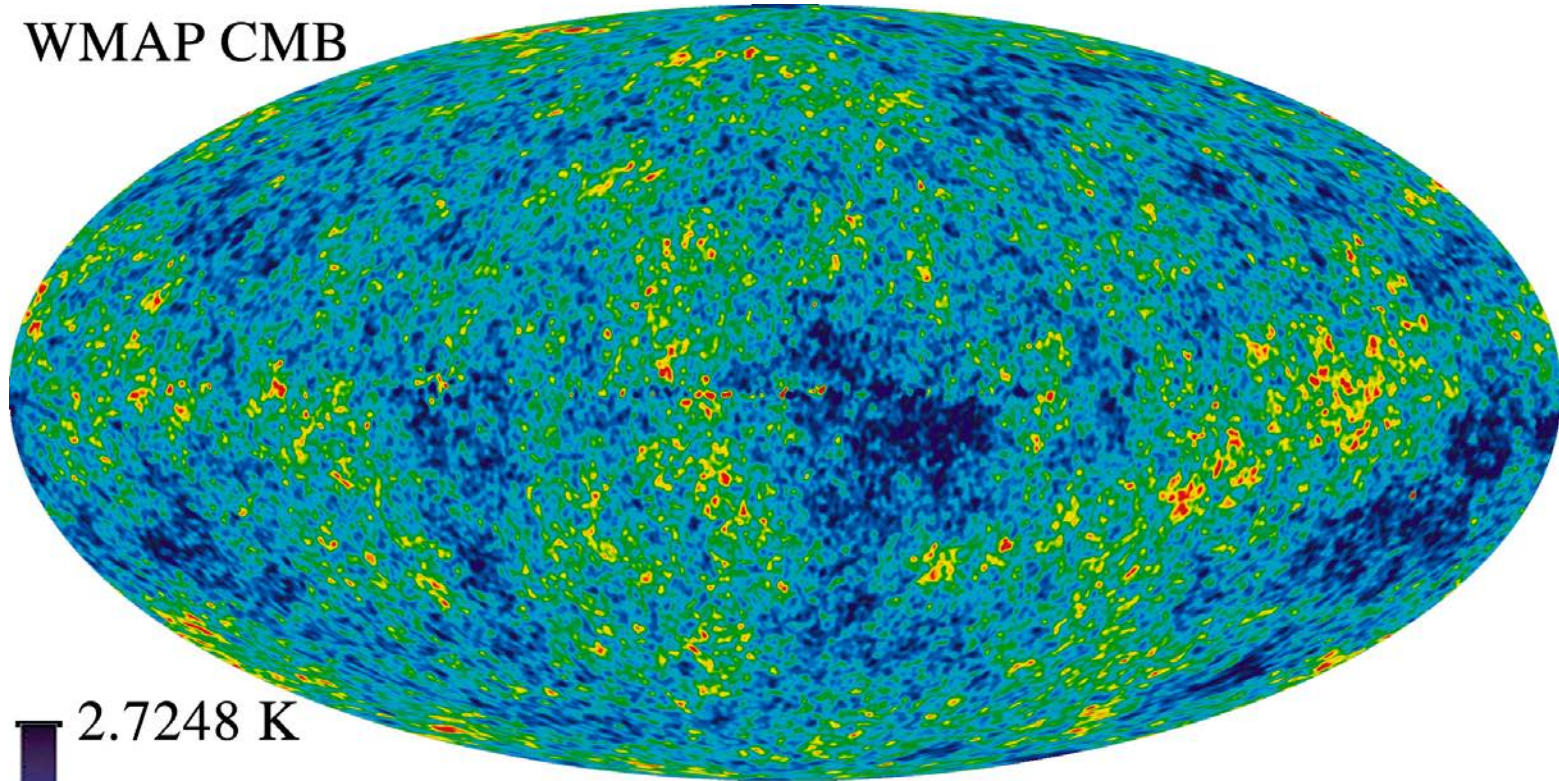


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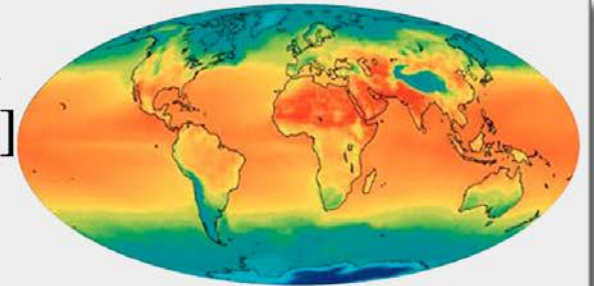
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Fluctuations

WMAP CMB



June 1992 Earth
Temperatures [K]



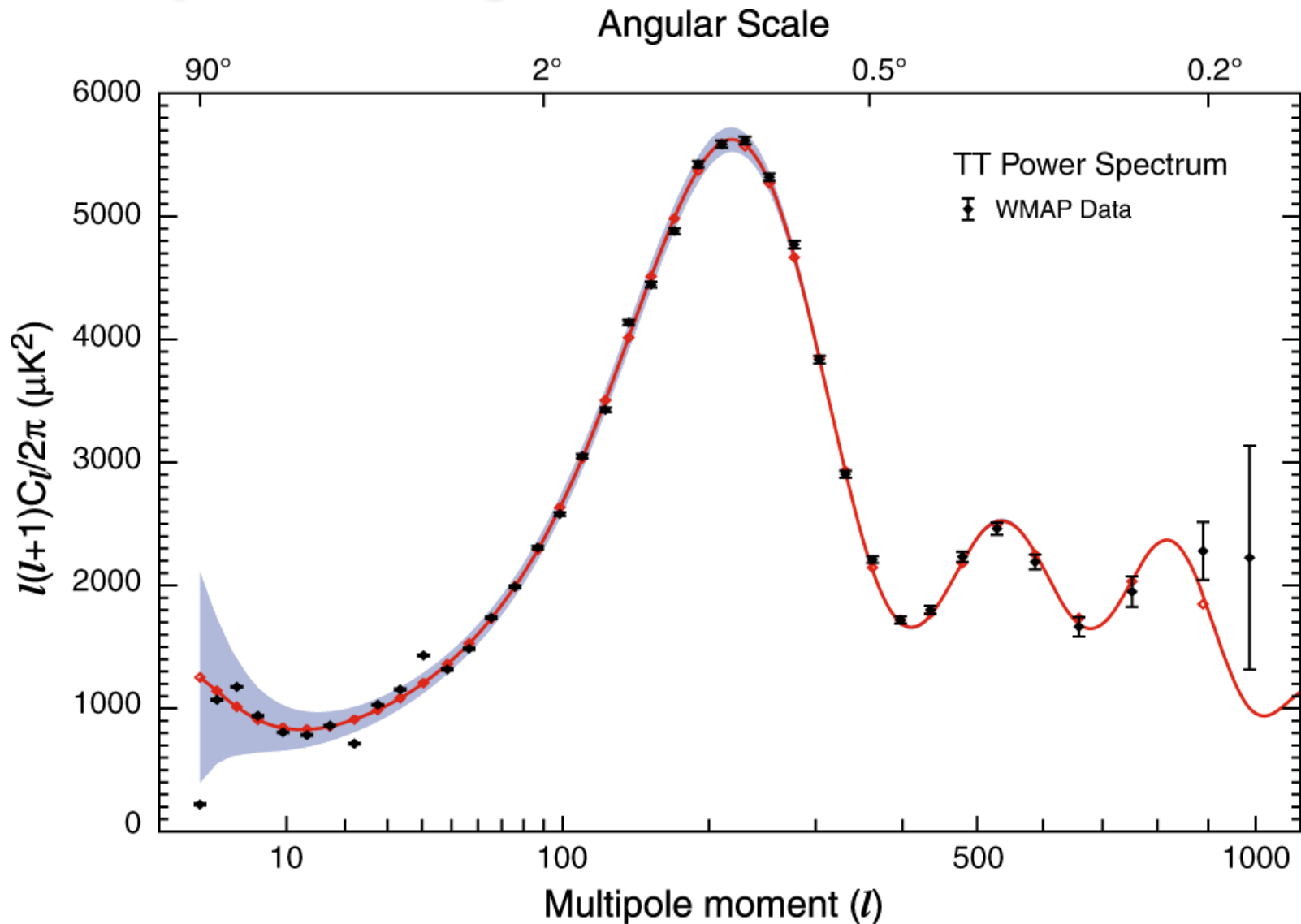
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Multipole Analysis



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The “CMB” of CBM

- Photons emitted from early collision stages scatter off the fluctuations
 - ☿ *critical opalescence*
- Similar effect for pions
 - ☿ *“critical pion opacity”*
- Cluster analysis in momentum space for pions and photons
- FAIR chance that the signal of the critical point survives the later stages of final state interaction
- Finite size constraints
 - ☿ *Do not expect a sharp peak!*
 - ☿ *A bump is all that you will get at best*
 - ☿ *Unambiguous experimental signals are hard to come by*
 - ☿ *Lots of modeling needed to interpret results*

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Zipf's Law: Probabilities (1)

- Probability that cluster of size A is the largest one = probability that at least one cluster of size A is present times probability that there are 0 clusters of size $>A$

$$P_{1st}(A) = p_{\geq 1}(A) \cdot p_0(>A) \\ = [1 - p_0(A)] \cdot p_0(>A)$$

- $N(A)$ = average yield of size A : $N(A) = aA^{-\tau}$
- $N(>A)$ = average yield of size $>A$: (V = event size)

$$N(>A) = \sum_{i=A+1}^V N(i) = \sum_{i=A+1}^V ai^{-\tau} = a\zeta(\tau, 1+A) - a\zeta(\tau, 1+V)$$

- Normalization constant a from condition: $\sum_{A=1}^V A \cdot N(A) = V$

$$a = V / \sum_{A=1}^V A^{1-\tau} = V / H_V^{(1-\tau)}$$

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Zipf's Law: Probabilities (2)

- Use Poisson statistics for individual probabilities:

$$p_n(i) = \frac{\langle N(i) \rangle^n e^{-\langle N(i) \rangle}}{n!}$$

$$p_0(i) = e^{-\langle N(i) \rangle}; p_1(i) = \langle N(i) \rangle p_0(i); p_2(i) = \frac{1}{2} \langle N(i) \rangle p_1(i) \dots$$

- Put it all together:

$$\begin{aligned} P_{1st}(A) &= [1 - p_0(A)] \cdot p_0(> A) \\ &= [1 - e^{-N(A)}] \cdot e^{-[a\zeta(\tau, 1+A) - a\zeta(\tau, 1+V)]} \end{aligned}$$

- Average size of biggest cluster

$$\langle A_{1st} \rangle = \sum_{A=1}^V A \cdot P_{1st}(A) \quad (\text{Exact expression!})$$

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Zipf's Law: Probabilities (3)

- Probability for given A to be 2nd biggest cluster:

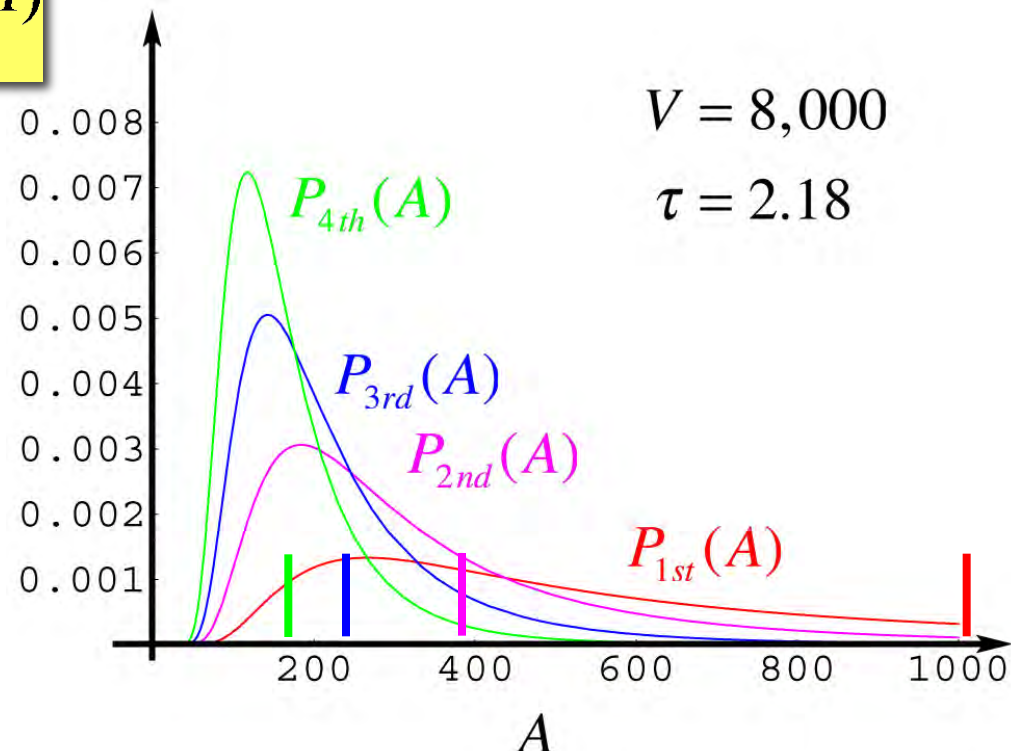
$$P_{2nd}(A) = p_{\geq 2}(A) \cdot p_0(> A) + p_{\geq 1}(A) \cdot p_1(> A)$$

$$= [1 - p_0(A) - p_1(A)] \cdot p_0(> A) + [1 - p_0(A)] \cdot p_1(> A)$$

- Average size of 2nd biggest cluster:

$$\langle A_{2nd} \rangle = \sum_{A=1}^V A \cdot P_{2nd}(A)$$

- And so on ...
- Recursion relations!



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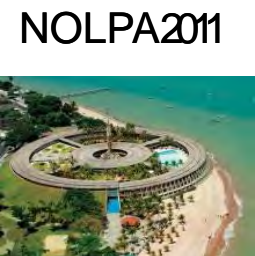
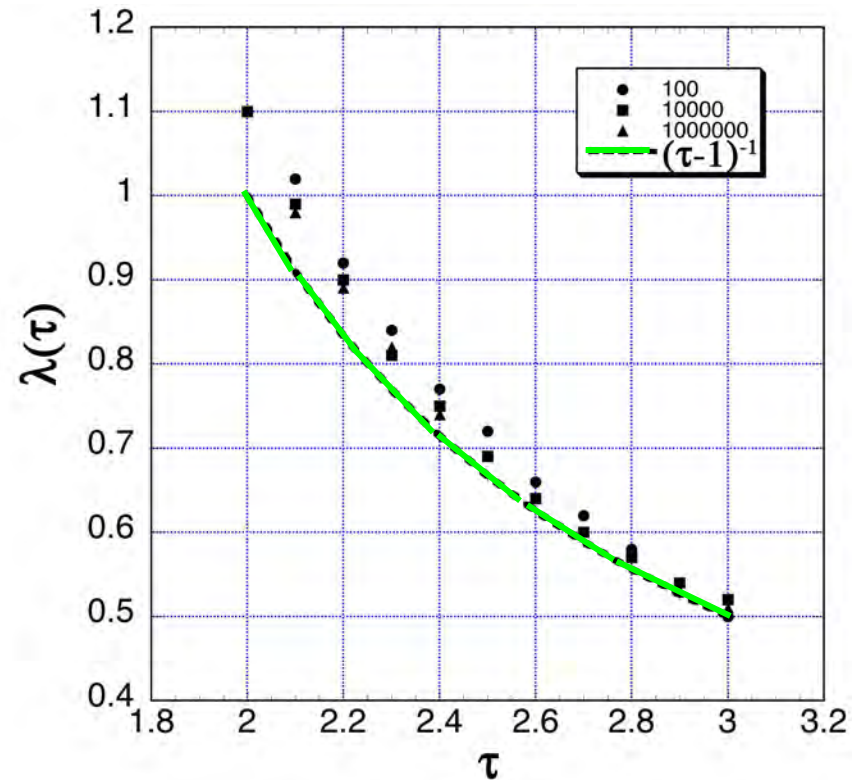
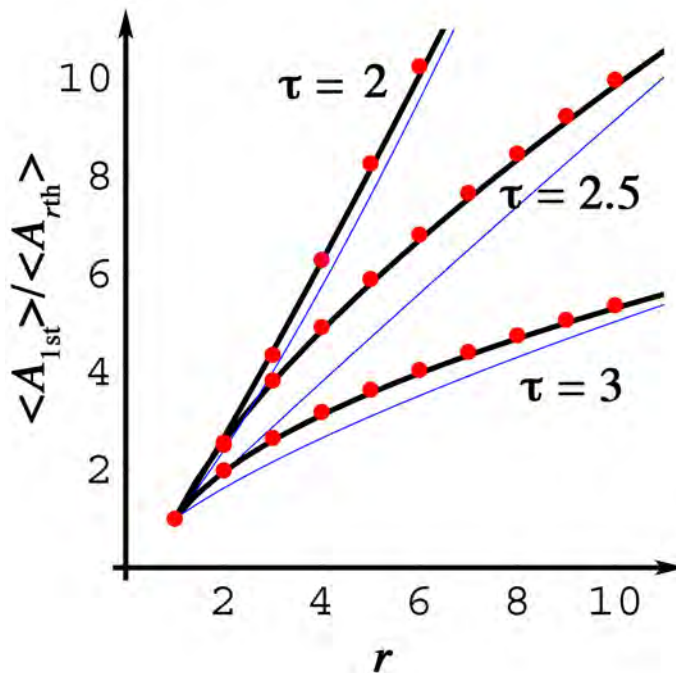
Zipf-Mandelbrot

- Limiting distributions for cluster size vs. rank

$$\langle A_{rth} \rangle = \frac{c}{(r+k)^\lambda}$$

with exponent $\lambda \sim \frac{1}{\tau-1}$

- Proof for infinite system in continuum limit with $\tau = 2$: Paech, WB, Pratt, PRC 76, 2007



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Summary

- Common threads in phase transitions of molecules, nuclei, and hadronic matter
- Non-equilibrium effects make extraction of EoS information hard
- View of multifragmentation as a **critical phenomenon** is on solid footing
- Critical slowing down not important, because it is a non-equilibrium phase transition
- Finite-size corrections can be dealt with rather effectively; opportunity for us to contribute to larger science community
- Self-organized criticality, Zipf's Law, ...: nuclear fragmentation continues to be a rich playground for testing out nonlinear physics concepts.

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Students

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Holger Harreis

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Brandon Alleman

Collaborators (Theory)

Scott Pratt

Kerstin Paech

Ulrich Mosel

Ulrich Post

Collaborators (Experiment)

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Konrad Gelbke (and MSU group)

Don Gemmel (and ANL group)

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