

# Uncertainties in Helium Burning Rates and Nucleosynthesis

The helium burning reaction rates are not well known experimentally

Triple Alpha—Rate	$R_{3\alpha}$	$\pm 12\%$	Few studies
$^{12}\text{C}(\alpha,\gamma)^{16}\text{O}$ —Rate	$R_{\alpha 12}$	$\pm 25\%$	Significant attention

Investigated sensitivity of nucleosynthesis to variations of  $\pm 2\sigma$  in  $R_{3\alpha}$  and  $R_{\alpha 12}$

For low mass ( $2 M_{\text{sun}}$ ,  $Z=0.01$ ) AGB stars

Herwig, Austin, Latanzio: Ap. J. Lett., **613**, L73 (2004); PRC **73**, 025802 (2006)

For massive (15, 20, 25  $M_{\text{sun}}$ ) stars undergoing core collapse and a SN explosion

Tur, Heger, Austin: ApJ **671**, 821(2007); **702**, 1068 (2009); submitted

The SN sensitivities are the main subject for today.



NSF PHY0606007 & PHY0822648 (JINA)



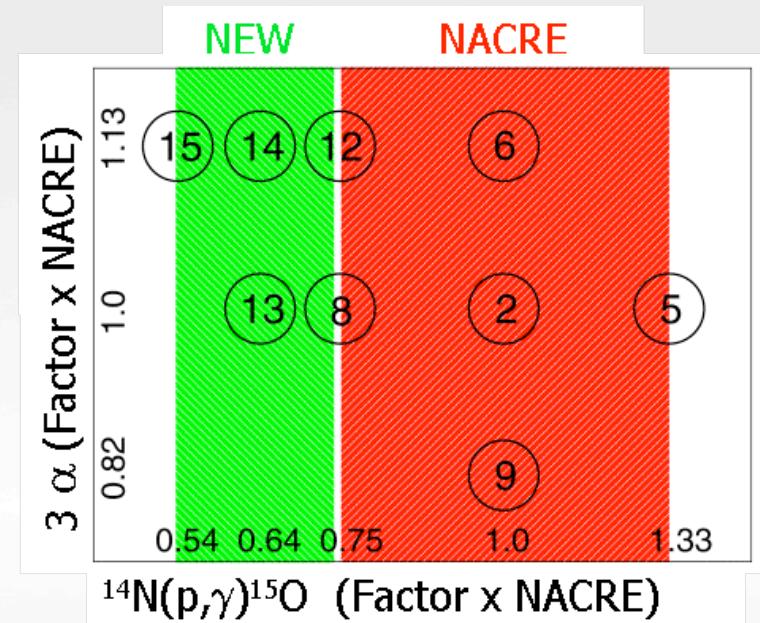
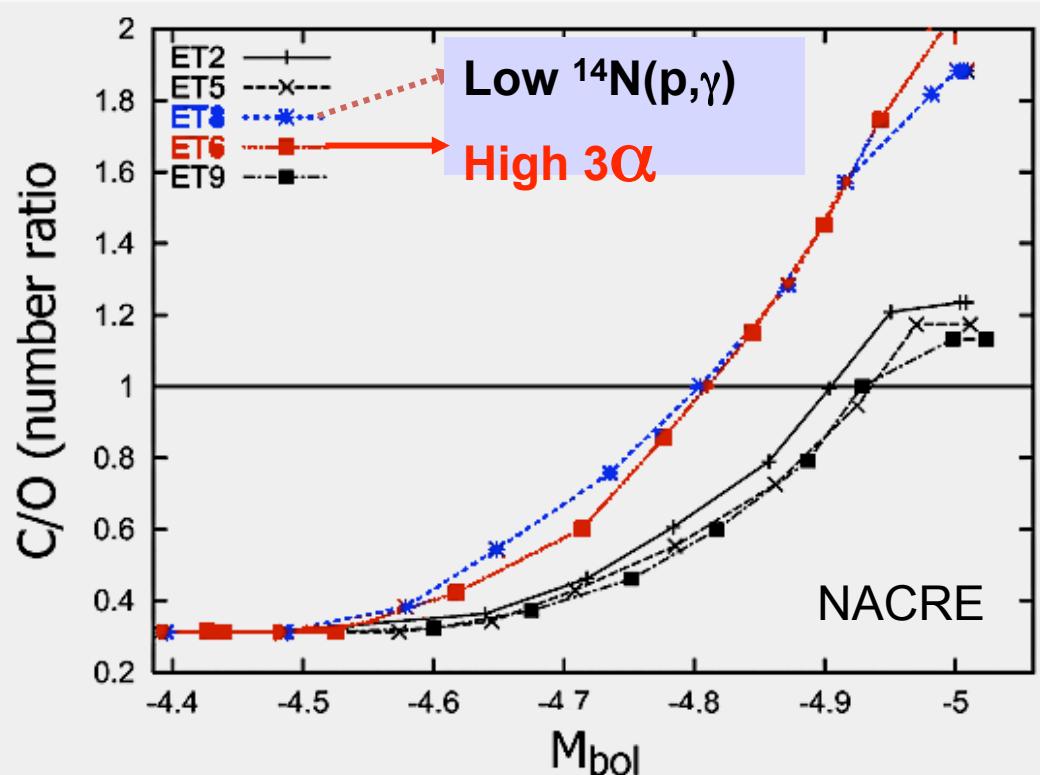
# Results for Low-Mass AGB Stars

**Motivation:** Do low mass AGB stars become Carbon Stars?

Use code EVOL

Changes in  $^{12}\text{C}(\alpha, \gamma)$  have weak effects

Rates: 2 (recommended), 5,6,8,9



Higher  $R_{3a}$  (by  $+1\sigma$ )  
increases C/O by a factor  
of two over NACRE rates.

High  $R_{3a} \rightarrow$  Carbon Star

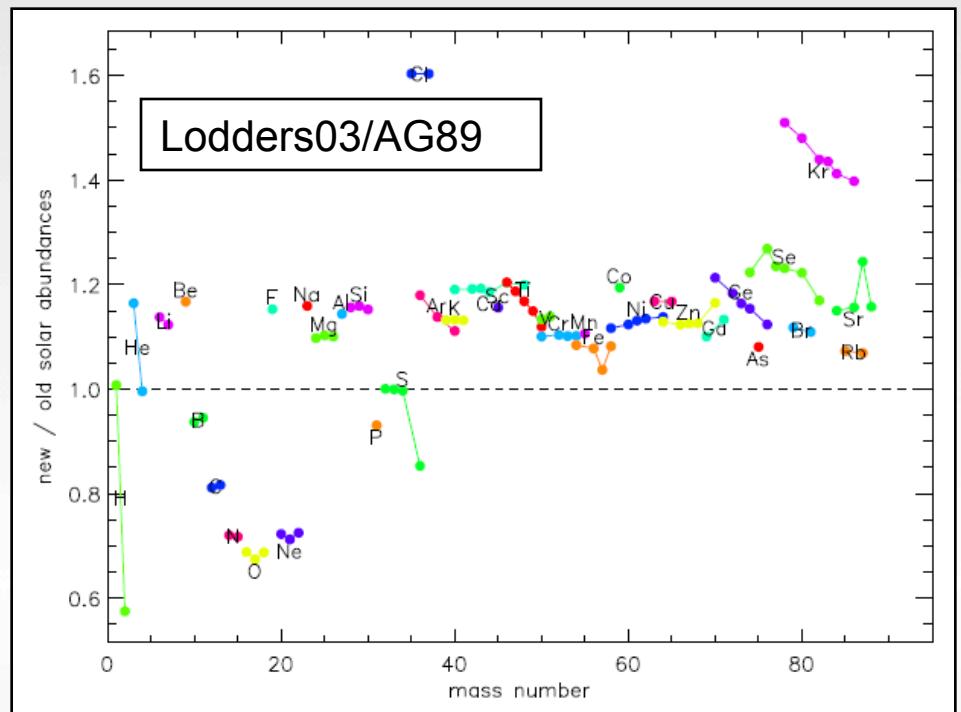
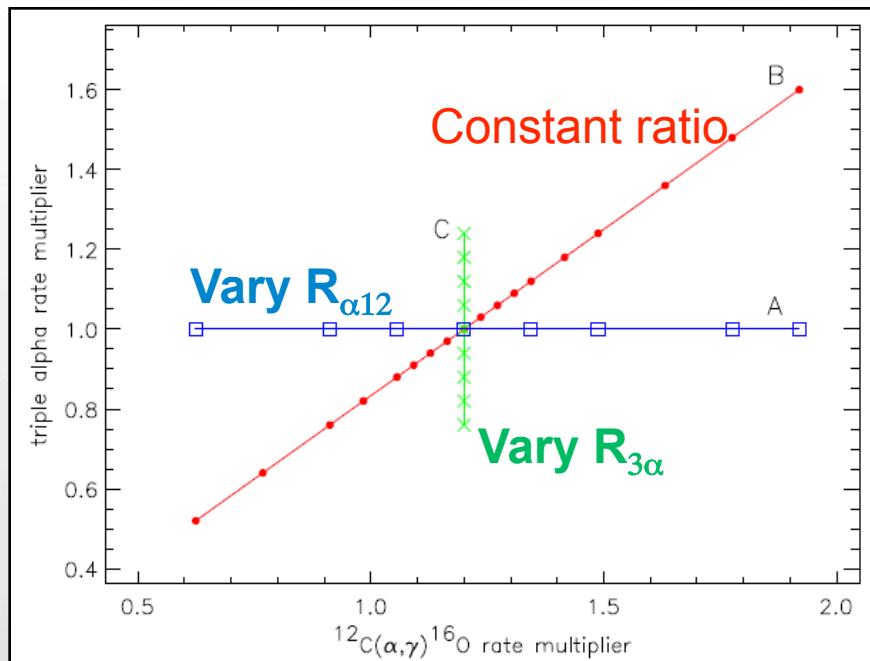
# Calculations for Massive Stars

For 15, 20, 25 M<sub>sun</sub> stars

Evolve to core collapse-KEPLER

Simulate ensuing explosion by a piston at the base of the O-burning shell ( $S=4k/\text{Byon}$ ) that imparted 1.2 Bethe to the explosion products

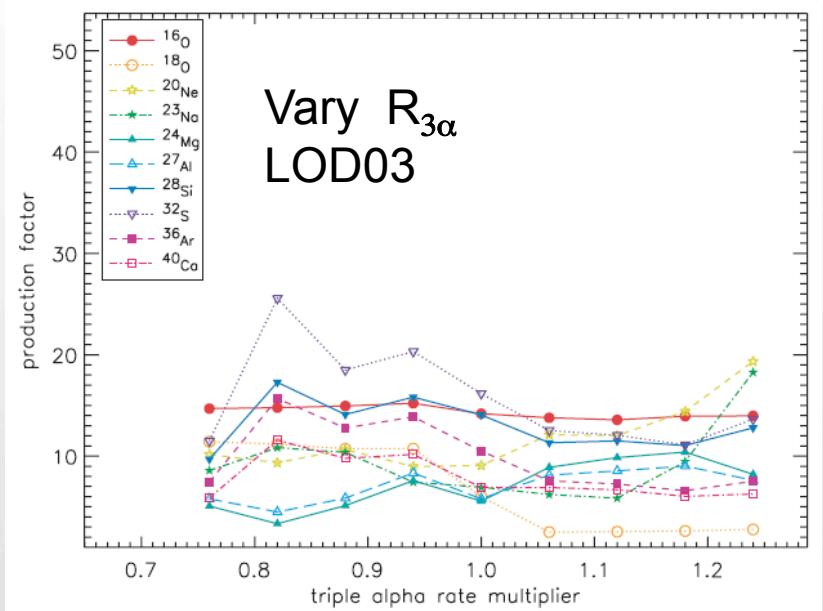
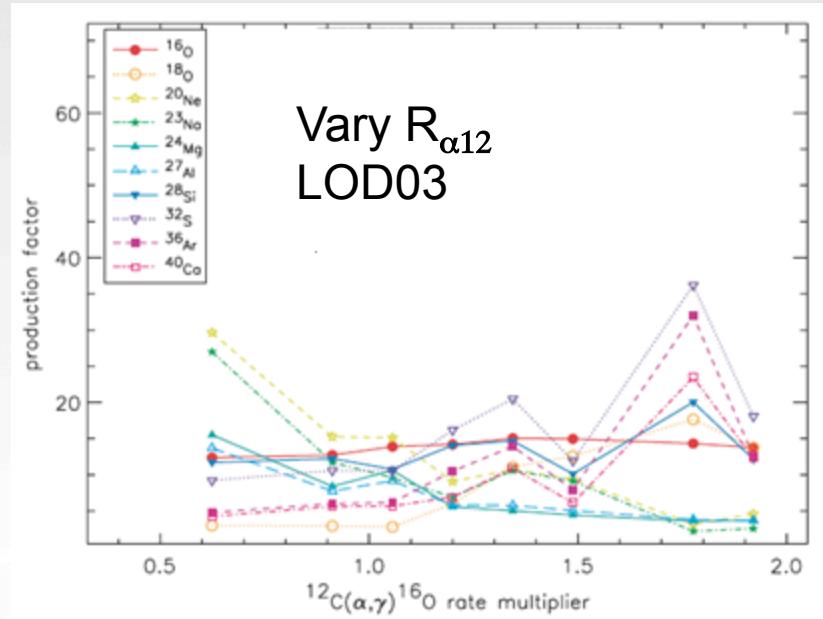
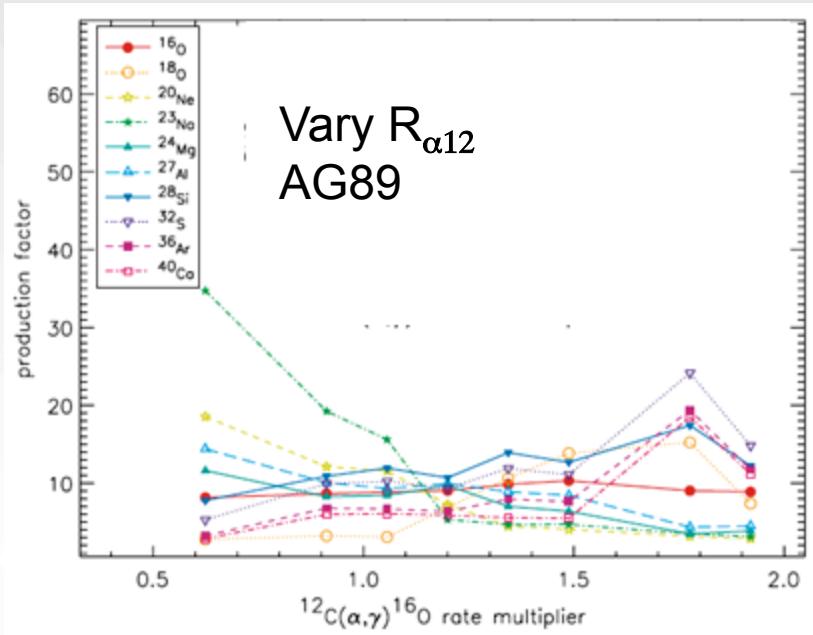
Reaction rates (Vary by  $\pm 2\sigma$ )



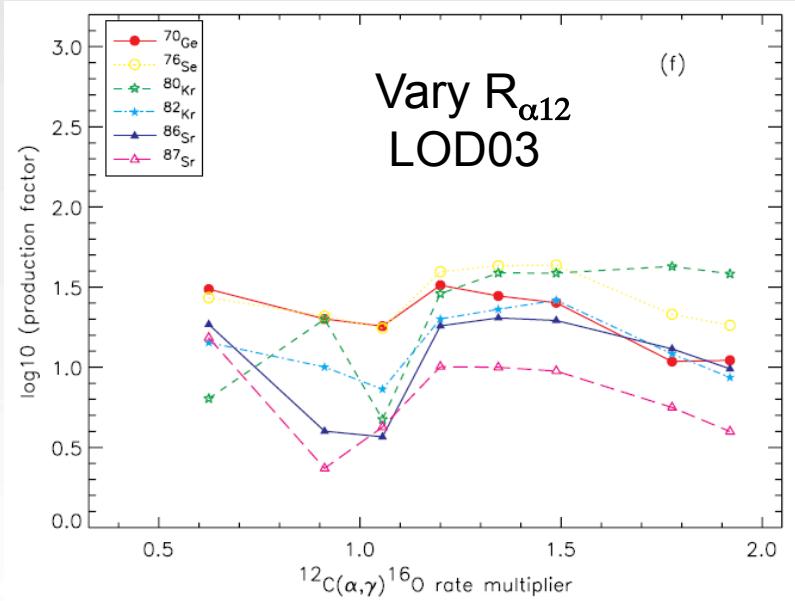
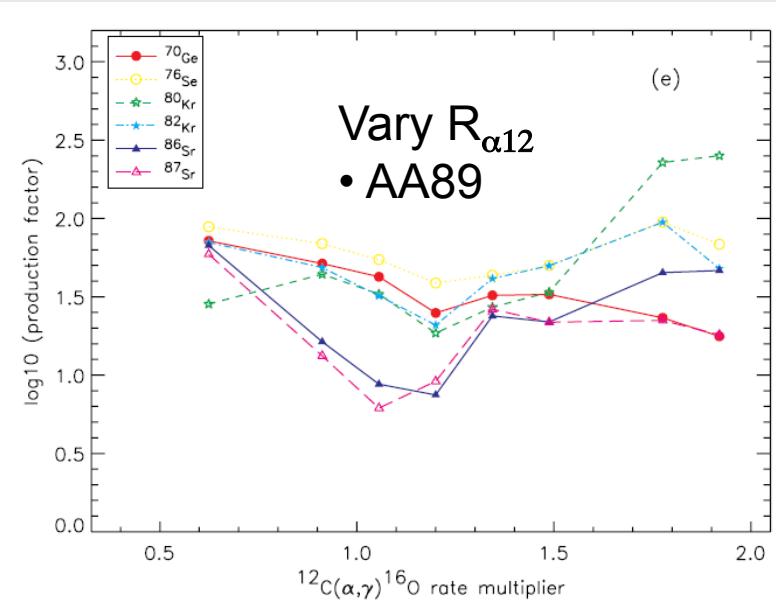
Calculate for both Ander-Grevasse (89) and Lodders(03) abundances.

Major difference: Lodders has  $\approx 30\%$  lower CNONE abundances—most other abundances are roughly 15% higher

# Nucleosynthesis for Massive Stars



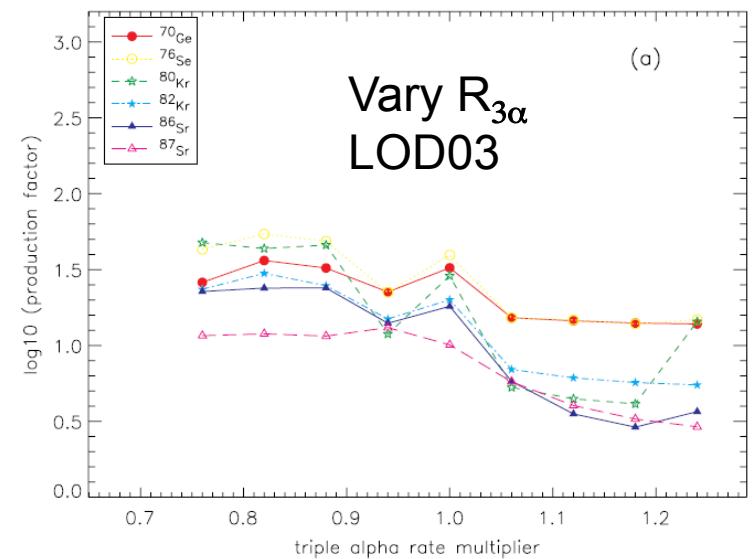
# More nucleosynthesis—S-Only Nuclei



Note Log scale. Again significant variations for different abundances and for  $R_{3\alpha}$  and  $R_{\alpha 12}$

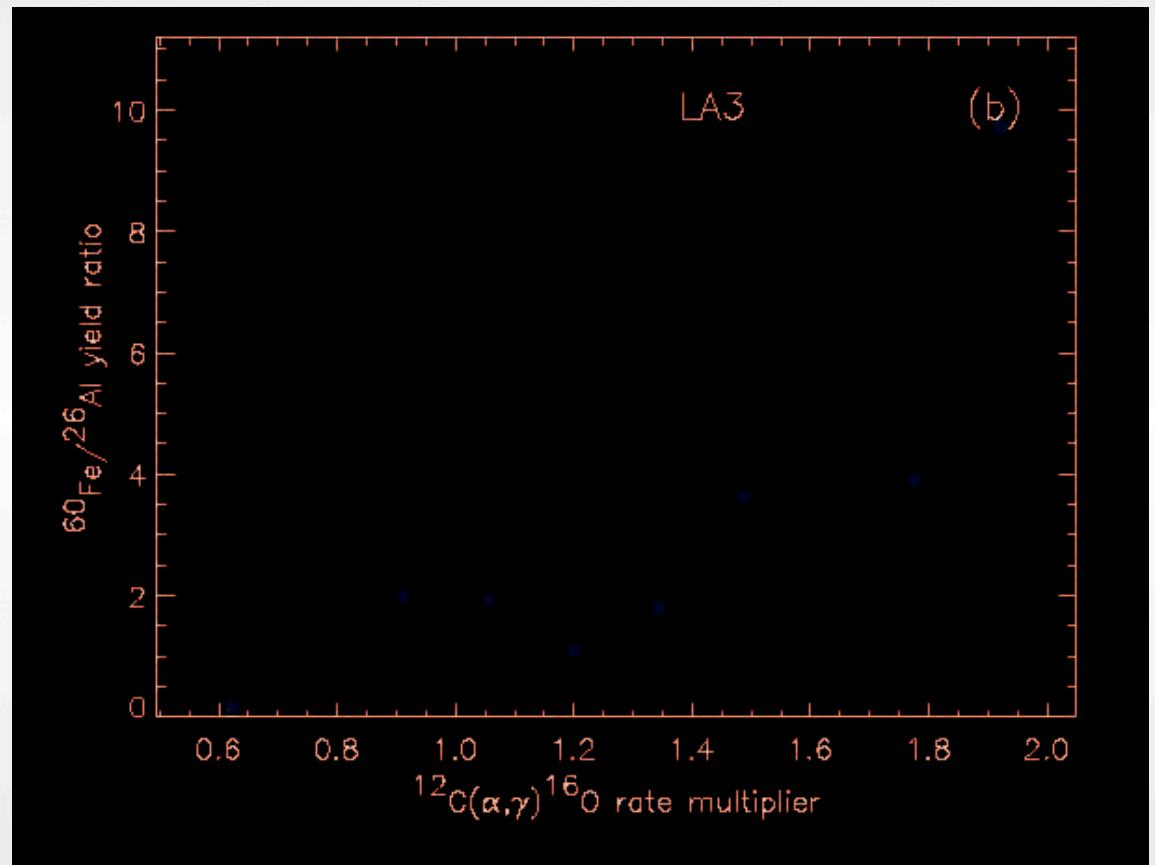
Side remark—still larger differences for the gamma emitters  $^{26}\text{Al}$ ,  $^{60}\text{Fe}$

Roughly factor 2 differences for neutrino production of  $^{11}\text{B}$ ,  $^{19}\text{F}$ ,  $^{138}\text{La}$ ,  $^{180}\text{Ta}$

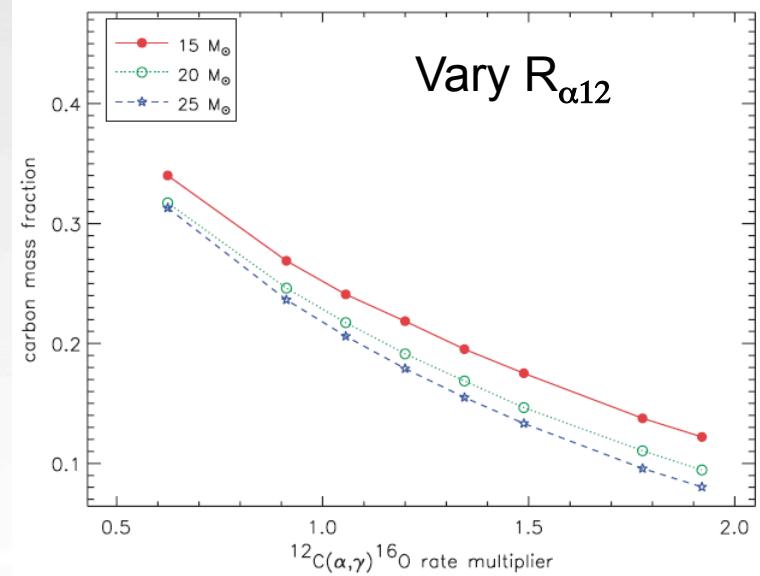
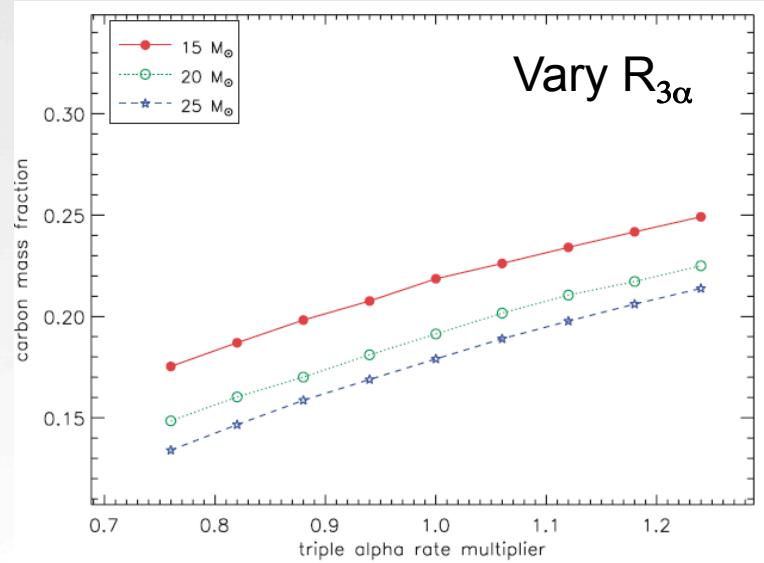


# The Radioactive Nuclei

$^{60}\text{Fe}/^{26}\text{Al}$  ratio is uncertain at the x10 level

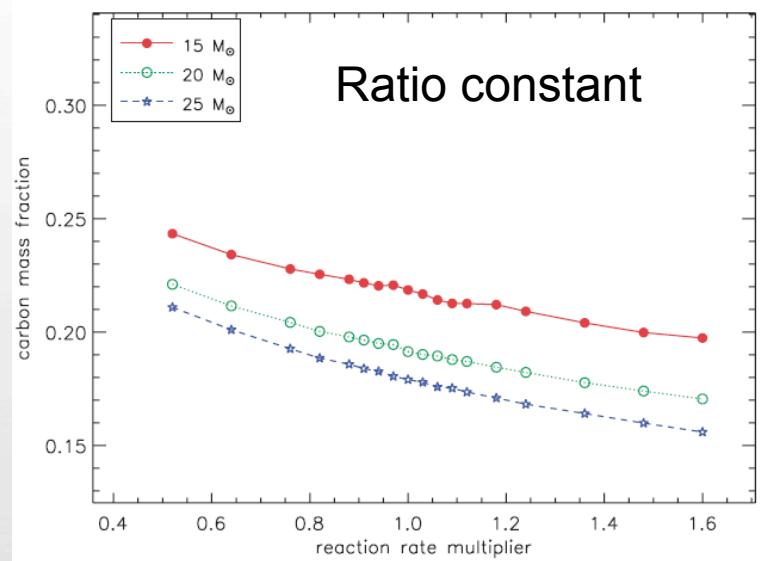


# Central Carbon Mass Fraction at C ignition

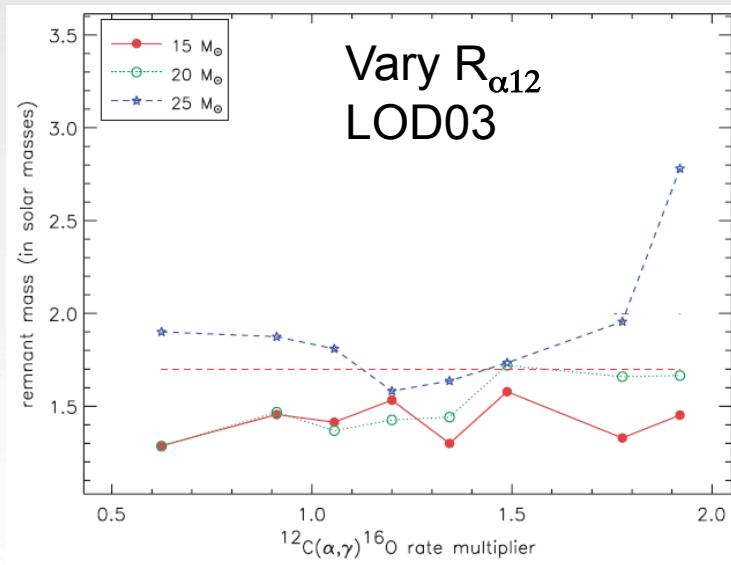
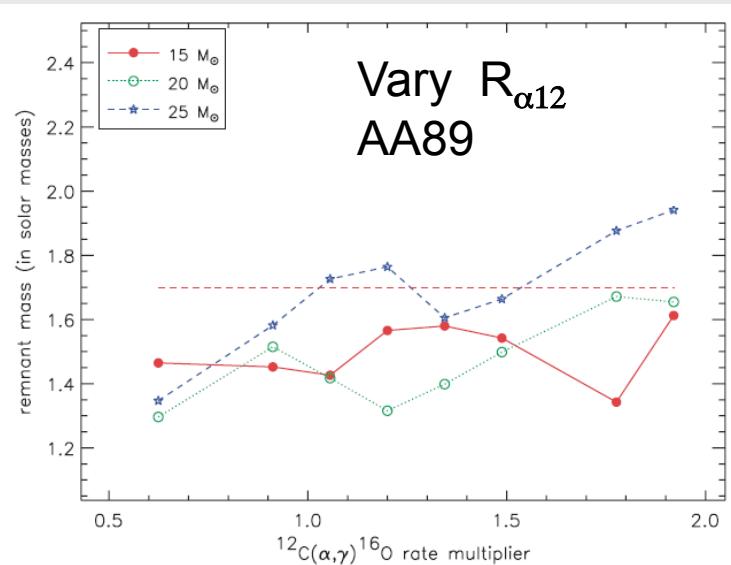


Significant variations of central C mass fraction with both  $R_{3\alpha}$  and  $R_{\alpha 12}$   
Similar for AA89 and LOD03 abundances—shown for LOD 03

Smaller but significant variation if the ratio of  $R_{3\alpha}$  and  $R_{\alpha 12}$  is kept at its central value, but both rates are increased or decreased.

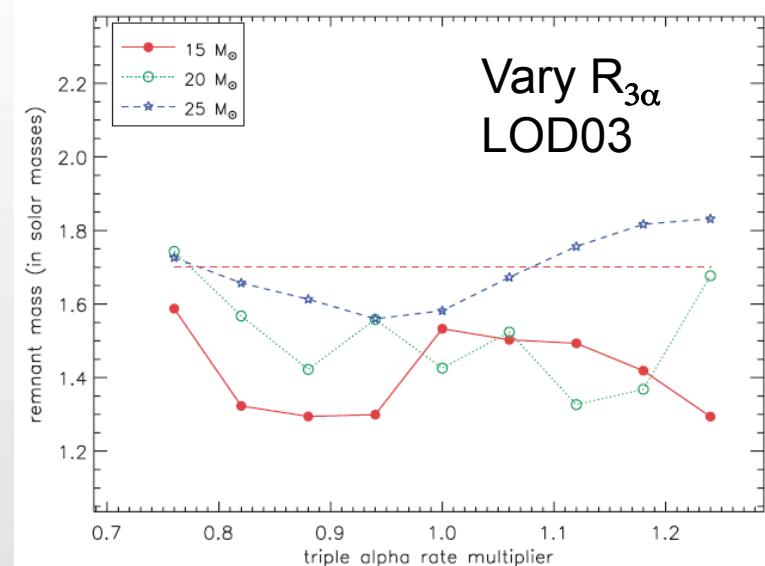


# Remnant Mass



Significant non-monotonic changes in remnant mass (corrected for gravitational binding) for changes in  $R_{3\alpha}$  or  $R_{\alpha 12}$

Note: Takes 1 Bethe to dissociate  $0.1 M_\odot$



# Improving the Triple Alpha Reaction Rate

Step I:  $\alpha + \alpha \rightleftharpoons {}^8\text{Be}$

Resonant process

Form equilibrium abundance of  ${}^8\text{Be}$

Step II:  ${}^8\text{Be} + \alpha \rightleftharpoons {}^{12}\text{C}(7.65)$

If resonant as in core helium burning:

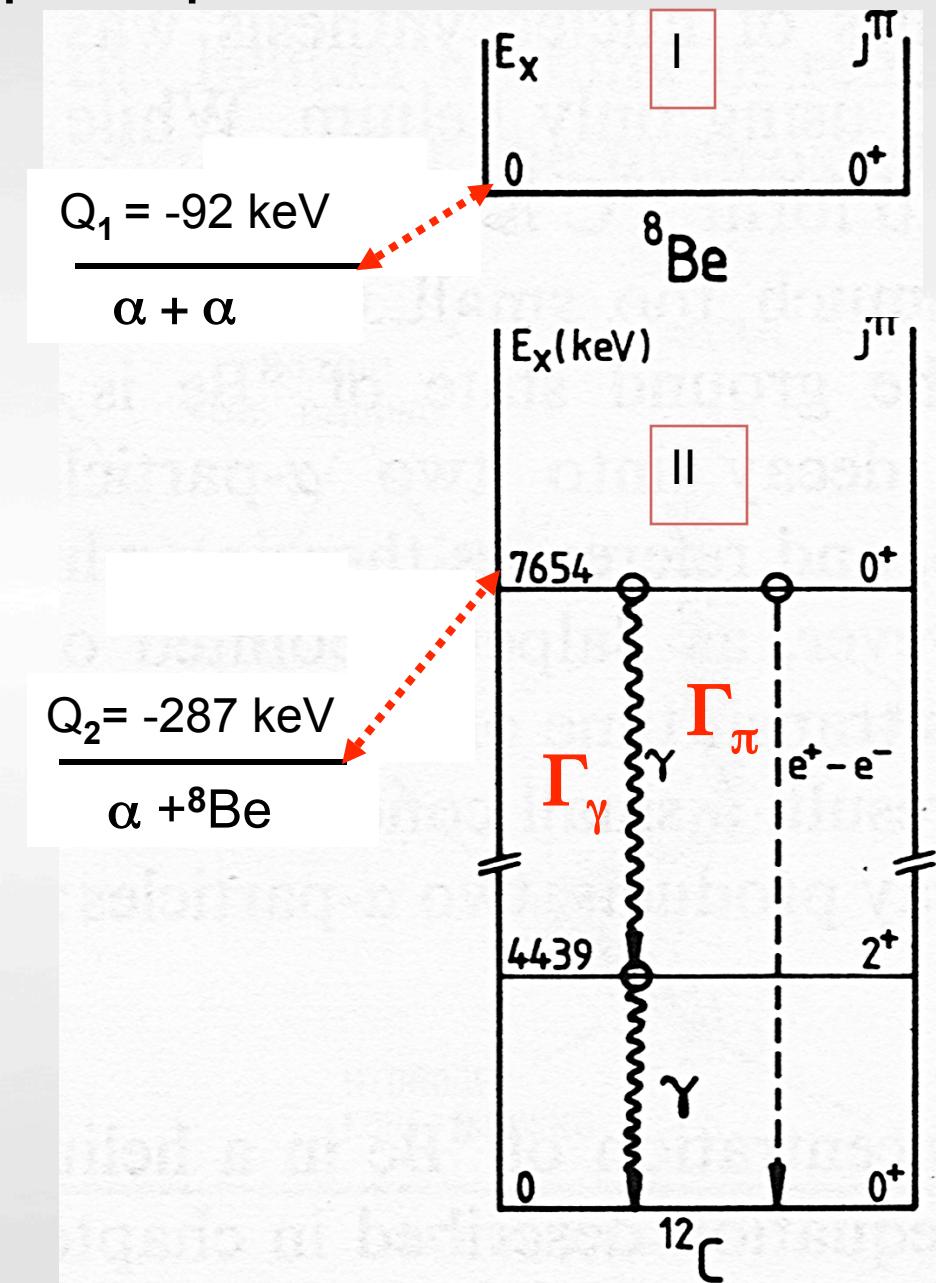
$$r_{3\alpha} \propto \Gamma_{\text{rad}}(7.65) e^{-Q/kT}$$

$$\Gamma_{\text{rad}} = \Gamma_\gamma + \Gamma_\pi, Q = Q_1 + Q_2$$

If non-resonant:

At very low or high T

Much more complex



# Prospects for Improved Resonant $R_{3\alpha}$

$$\Gamma_{rad} = \Gamma_\gamma + \Gamma_\pi = \frac{(\Gamma_\gamma + \Gamma_\pi)}{\Gamma} \frac{\Gamma}{\Gamma_\pi} \quad Q_{3\alpha} = E_r - 3M_\alpha^2$$

Each arrow  
a measurement

	↑	↑	↑	↑
Experiments	≈8	3	2	6
Excluded results	1	0	0	0
Precision	2.7%	9.2%	6.4%	1.2%
Improvements possible?		4%	3.2%	
		WMU+MSU+ANL (to do)	Darmstadt(in press)	

If the improvements happen (likely?) then the uncertainty will be reduced from about **12% to about 6%**. Certainly there will be some improvement

# Triple-alpha At Low T

**Triple alpha is non-resonant process at sufficiently low T**

Ogata et al. 2009, Progr. Theor. Phys., 122, 1055

CDCC calculations include non-resonant effects

At  $T = 10^7$   $R_{3\alpha}$  is  $10^{26} \times$  NACRE

$T = 10^8, 1.5 \times 10^6 \times$  NACRE

$T = 2 \times 10^8, 1.9 \times$  NACRE

At higher  $T > 2.5 \times 10^8$ , same as NACRE

Dotter and Paxton, A&A 507, 1617-1619 (2009) show that  
Ogata rate results in stellar behavior that deviates from the observation of  
extended red giant branches for helium burning stars in old systems.

Is the rate calculation right?

Is screening dealt with appropriately?

An unresolved issue

# Triple Alpha at High T

**Is there a  $J^\pi=2^+$  resonance near 10 MeV in  $^{12}\text{C}$ ?**

Predicted by cluster models

Not seen in  $\beta$  decay, claimed in  $(\text{p},\text{p}')$  and  $(\alpha,\alpha')$

Diget, et al Nature **433**, 136 (2005)  
show effect of  $2^+$  state near 10 MeV  
employed in NACRE rates—increases  
rate above  $2 \times 10^9$  K:

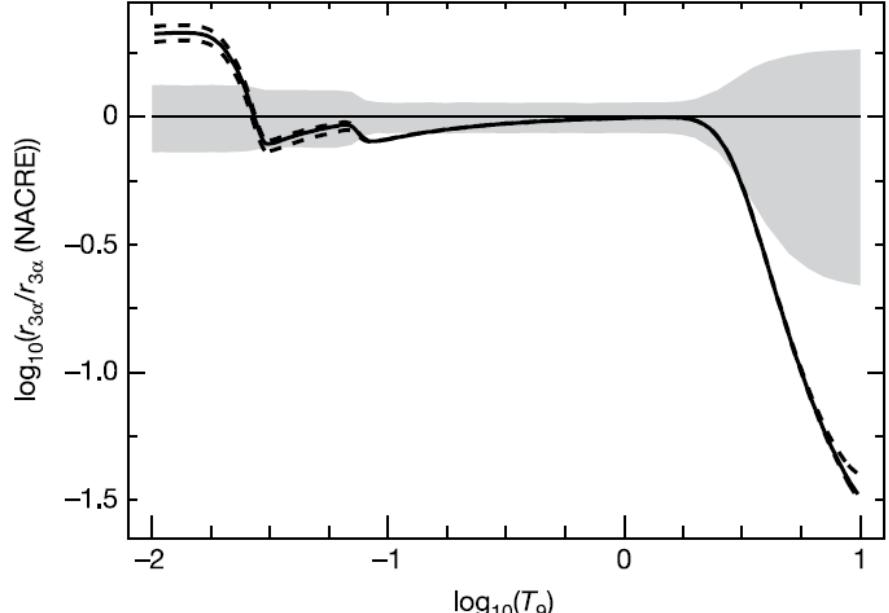
Evidence for  $2^+$  (inconclusive?):

$(\alpha,\alpha)$ : M. Itoh, Mod. Phys. Lett. A **21**, 2359 (2006)

$(\text{p},\text{p}')$ : M. Freer, PRC **80**, 041303 (2009)

Detailed discussion: S. Hyldegaard,  
PRC **81**, 024303 (2020)

Another unresolved issue



# Triple Alpha at High T, Density

**An old/new issue:** In matter the de-excitation of the Hoyle state can be enhanced by interaction with particles or electrons.

Shaw and Clayton(1967); Truran and Kozlovsky (1969); Morgan and Wisser (1970); **Davids and Bonner (1971)**

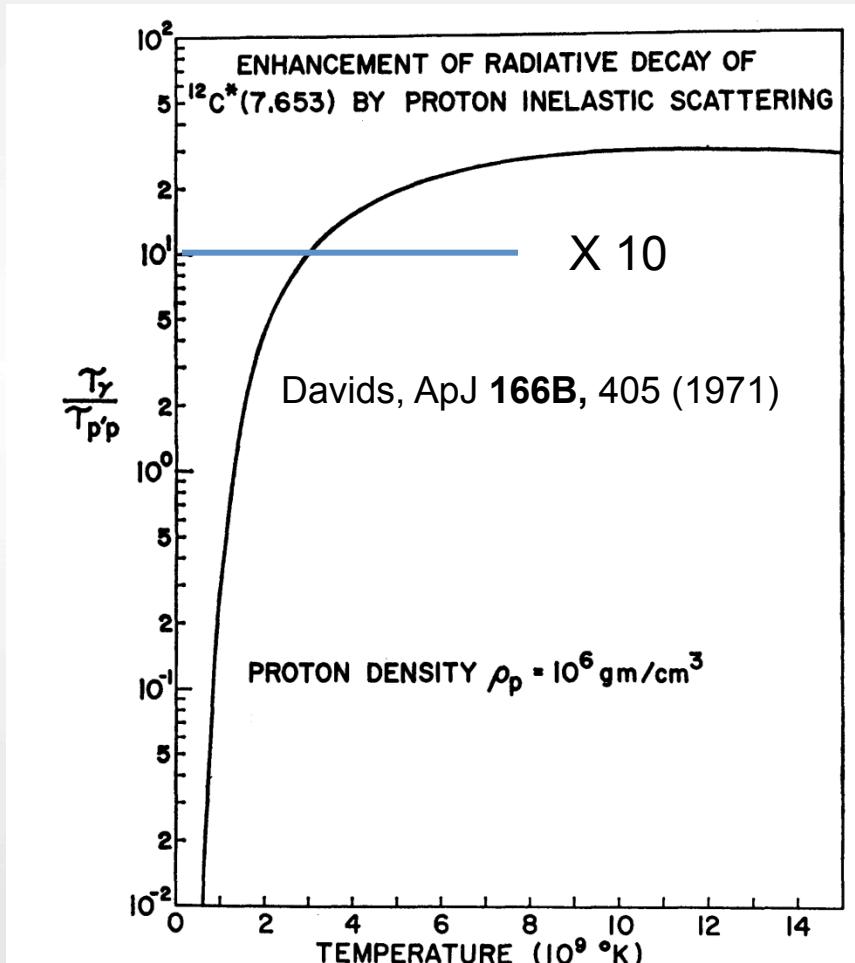
This will affect (increase) **any** reaction rate that depends on  $\Gamma_{\text{rad}}$

The enhancement shown here is an **underestimate**. Doesn't include:

- De-excitation to the 4.44 MeV state
- Alpha particle scattering
- Neutron scattering

Important in x-ray bursters?

Important for  $(n,\gamma)$  reactions?



# Prospects for Improved $R_{\alpha 12}$

To quote Woosley, et al. 2003: The major nuclear uncertainty afflicting modern studies of massive stellar evolution and nucleosynthesis continues to be  $R_{\alpha 12}$

Similar statements have motivated MANY experiments and continue to do so. Yet the rate uncertainty remains large and there is no assurance that it will significantly decrease in the near future

The great majority of model studies use a specific rate, unpublished, but quoted, for example, by Woosley, et al. 2003.

Next we discuss how this determination was made.

# The Boyes Determination

Evolve 15, 20, 25  $M_{\text{sun}}$  stars, average

KEPLER

Anders & Grevesse (1989) abundances

Range of  $R_{\alpha,12}$

No explosive processing

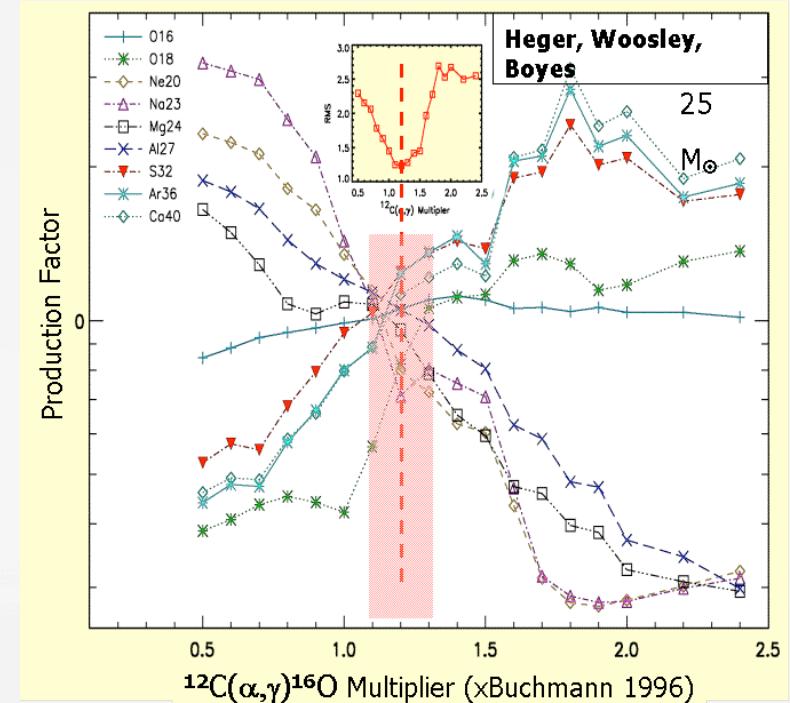
Find value of  $R_{\alpha,12}$  that minimizes spread in  
Production Factors =  **$1.1 \pm 0.1 \times \text{Buchmann}$** ,  
Widely used as **standard rate**

Need to check. Why?

Explosive processing changes abundances

20  $M_{\text{sun}}$  stars have anomalous  
nucleosynthesis—average over more stars

Come back to other issues



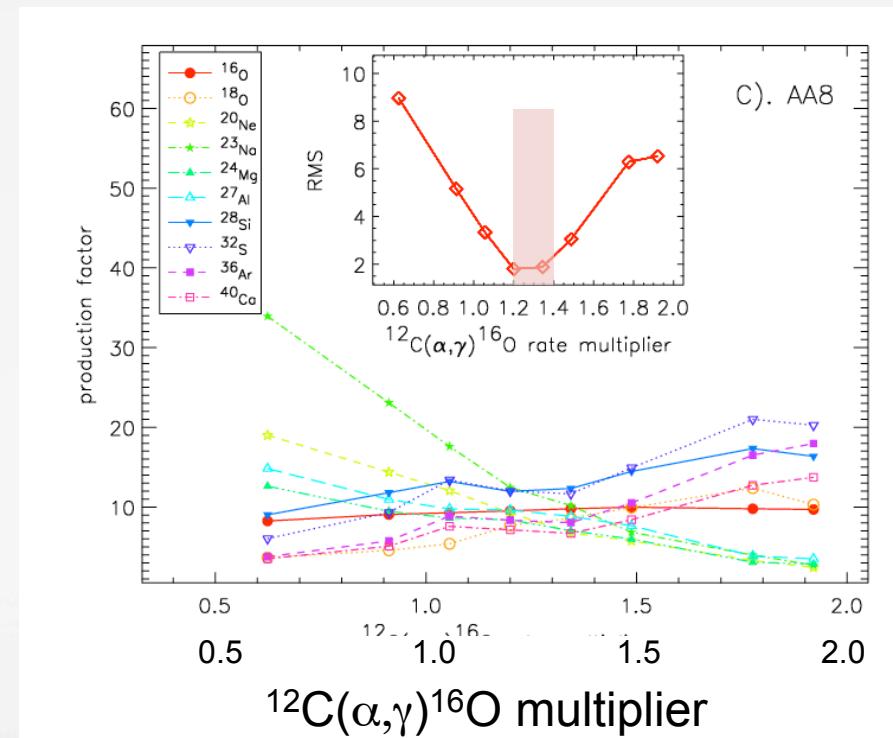
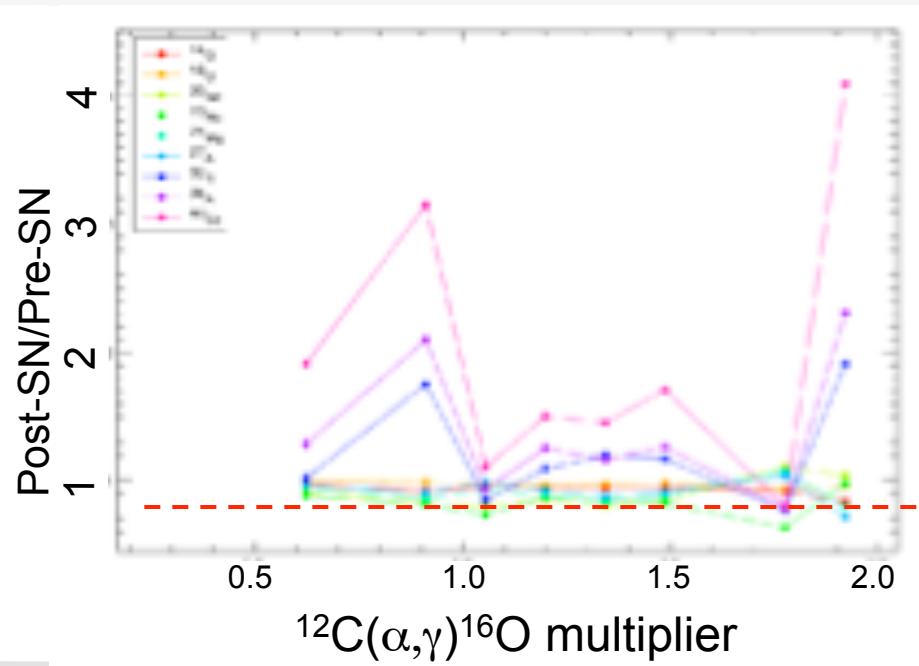
# Check on Boyes

Large star set: 13, 15, 17, 19, 21, 23, 25, 27 M<sub>sun</sub>

AG89 abundances

Include explosive processing

Reasonable agreement in minimum  
(1.3 vs 1.2) and rms scatter at  
minimum.  $\pm 0.1$  seems too accurate

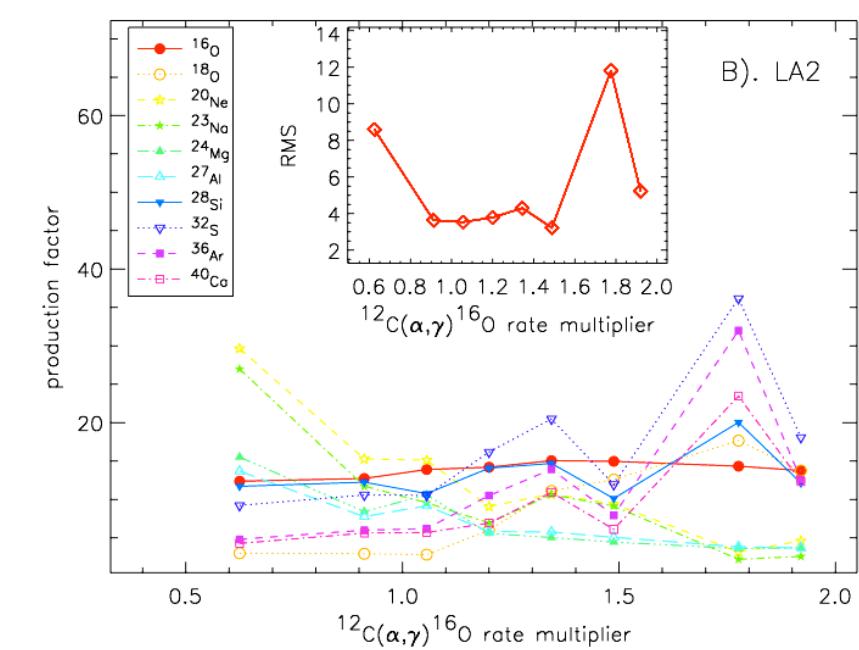


Somewhat surprising, since  
explosion changes abundances by  
 $>\times 2$  for  $A > 30$

# Issues Not Resolved

**PROBLEM:** Rate derived for a specific case, but used in many situations

- Does not always work
  - For LOD03 RMS minimum is poorly defined
- Other things not considered in detail
  - Different models, convection, etc.
  - $R_{3\alpha}$  uncertain
  - Changes with metallicity
  - Changes with mass



# An Effective Reaction Rate

A safer point of view: Define an “Effective Reaction Rate” with application limited to a specific code.

Determine dependence of interaction on

Metallicity

Mass

Range of nuclei described

$R_{3\alpha}$  --When  $R_{3\alpha}$  is better known, can avoid latter dependence—until then it's an uncertainty.

Parameterize dependence of these quantities

Compare results for different codes.

A lot of work

# Some Directions

Need to understand the uncertainties in the simulation codes so we can understand the reliability of our simulations and whether any discrepancies with observation are real.

## Specific needs for SNII:

Compare the results of extant codes for some benchmark cases.

Develop an open source SN code, with open source rates.

Incorporate insights from multi-D calculations: convection issues, explosion issues

Evaluate of effects of uncertainties in input abundances and reaction rates

# Well predicted abundances

Some abundances are more sensitive to uncertainties than others.

Perhaps one should concentrate, as far as possible, on those isotopes/elements that are less sensitive to the nuclear physics uncertainties