

LECTURE #16

Note Title

10/27/2008

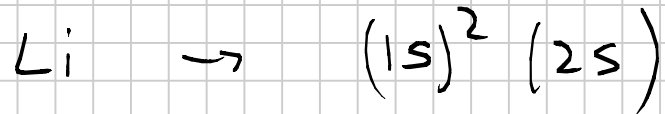
Chopt 4-5-6

~~Chop 7~~

Chop 8

Li METAL BCC STRUCTURE

ELECTRONIC PROPERTIES



V_{ION} POTENTIAL ON $2s$ e^- DUE TO 3 PROTONS
+ 2 $1s$ ELECTRONS



PERIODIC
POTENTIAL

I WANT TO SOLVE THE PROBLEM OF
1 ELECTRON MOVING IN THE POTENTIAL
OF THE IONS \Rightarrow ELECTRONIC STRUCTURE
PROBLEM

SCHROEDINGER EQUATION FOR 1 ELECTRON

$$\left(\frac{-\hbar^2 \nabla^2}{2m_e} + V_{\text{ION}}(\vec{r}) \right) \psi(\vec{r}) = E \psi(\vec{r})$$

$$V_{\text{ION}}(\vec{r} + \vec{R}_i) = V_{\text{ION}}(\vec{r}) \quad \forall \vec{R}_i \quad \begin{array}{l} \text{DESCRIBING} \\ \text{BRAVAIS LATTICE} \end{array}$$

V_{ION} IS PERIODIC

ψ_m E_m

MOST IMPORTANT THEOREM IN
CONDENSED MATTER PHYSICS

BLOCH'S THEOREM

GIVEN ELECTRON MOVING IN THE PERIODIC
POTENTIAL $V_{\text{ION}}(\vec{r})$

EIGENSTATES ARE ALWAYS OF THE
FORM

$$\Psi_{m\vec{k}}(\vec{r}) = e^{i\vec{k}\cdot\vec{r}} u_{m\vec{k}}(\vec{r}) \quad \text{WITH}$$

$$u_{m\vec{k}}(\vec{r} + \vec{R}_i) = u_{m\vec{k}}(\vec{r}) \quad \forall \vec{R}_i$$

(I.E. u IS A PERIODIC FUNCTION)

$\vec{k} = (k_x, k_y, k_z)$ IS A QUANTUM NUMBER

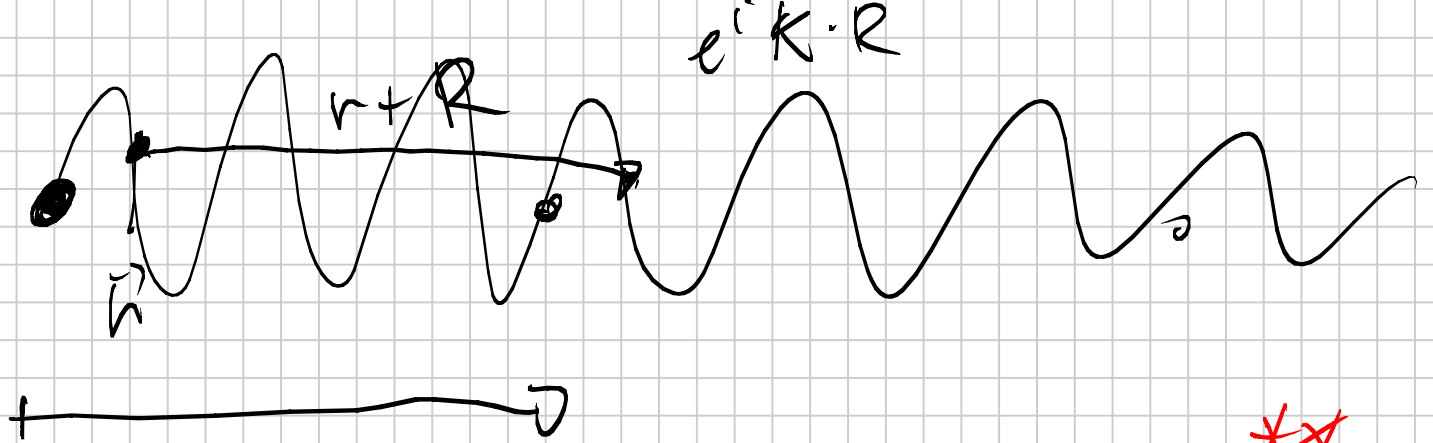
CALLED "CRYSTAL MOMENTUM"

$\psi_{m\vec{k}}(\vec{r})$ IS NOT PERIODIC

$$\psi_{m\vec{k}}(\vec{r} + \vec{R}) = e^{i\vec{k} \cdot (\vec{r} + \vec{R})} u_{m\vec{k}}(\vec{r} + \vec{R}) =$$

$$= e^{i\vec{k} \cdot (\vec{r} + \vec{R})} u_{m\vec{k}}(\vec{r}) = e^{i\vec{k} \cdot \vec{R}} \psi_{m\vec{k}}(\vec{r})$$

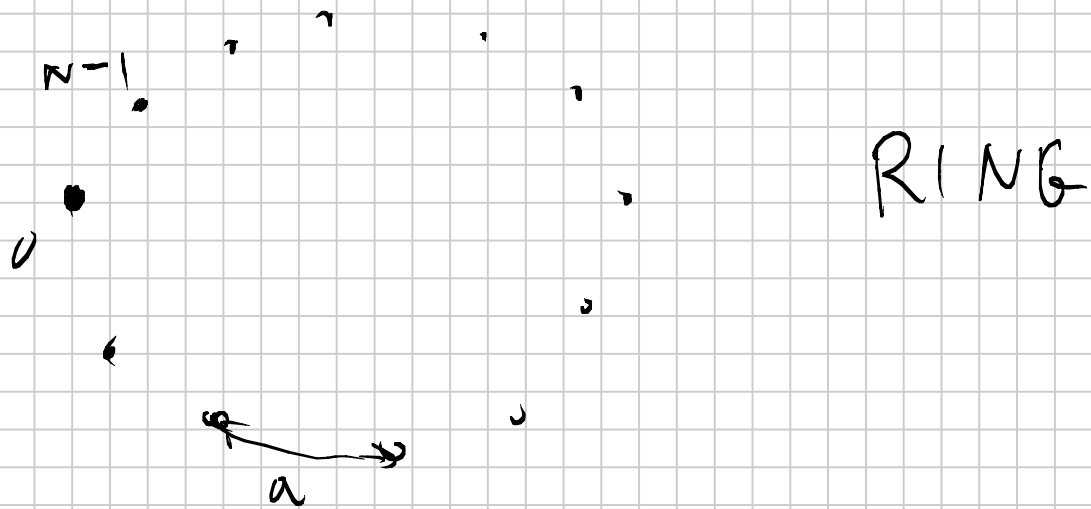
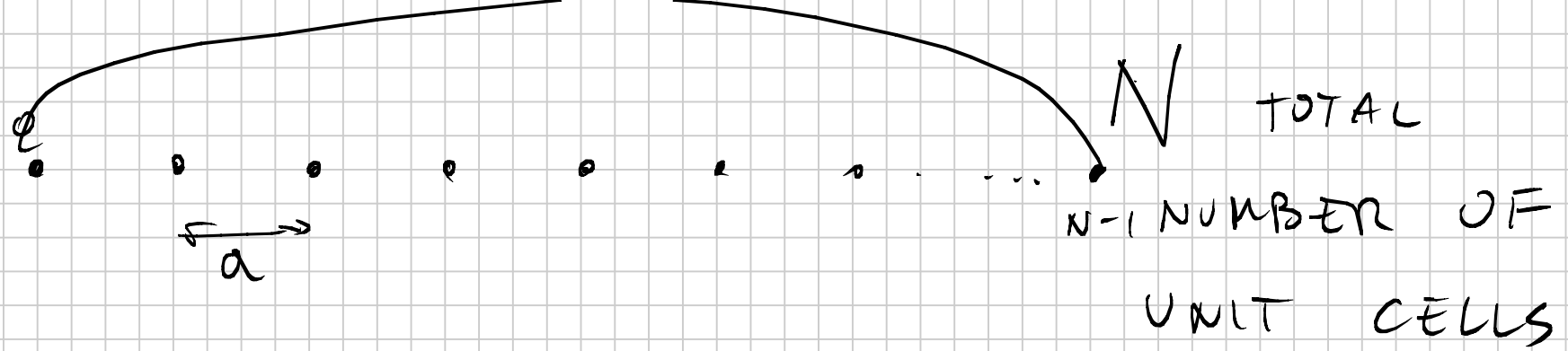
\vec{k} TELLS ME HOW WAVEFUNCTION CHANGE UNDER A TRANSLATION OF \vec{R} .



$$\psi_{m\vec{k}}(\vec{r} + \vec{R}) = e^{i\vec{k}\cdot\vec{R}} \psi_{m\vec{k}}(\vec{r})$$

POSSIBLE VALUES FOR \vec{k} ?

BORN - VON KARMANN BOUNDARY
CONDITIONS



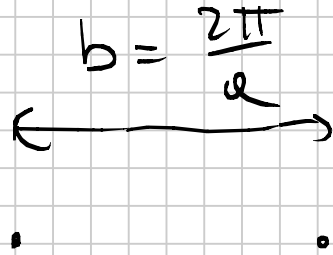
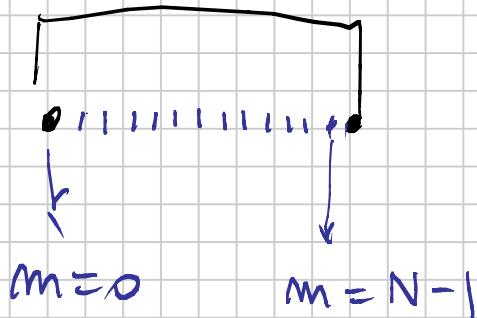
$$\psi_{mk}(x + Na) = \psi_{mk}(x)$$

USING (**)
 \Rightarrow

$$e^{ikNa} \psi_{mk}(x) = \psi_{mk}(x) \Rightarrow e^{ikNa} = 1$$

$$kNa = 2\pi m$$

$$K = \left(\frac{2\pi}{a} \right) \frac{m}{N}, \quad k = b \frac{m}{N}$$



ON EACH UNIT CELL OF THE RECIPROCAL LATTICE I HAVE THE SAME NUMBER OF K POINTS WHICH IS EQUAL TO N

(TOTAL NUMBER OF UNIT CELLS IN CRYSTAL)

3 DIMENSIONS

$\vec{a}_1, \vec{a}_2, \vec{a}_3$

BORN VON KARMANN BOUNDARY CONDITION

FOR EACH OF 3 DIRECTIONS DEFINED BY

$\vec{a}_1, \vec{a}_2, \vec{a}_3$

TOTAL # UNIT CELLS N^3

$$\psi_{m\vec{k}}(\vec{r} + N\vec{a}_i) = \psi_{m\vec{k}}(\vec{r})$$

$\left. \begin{array}{l} \vec{b}_1 \\ \vec{b}_2 \\ \vec{b}_3 \end{array} \right\} \begin{array}{l} \text{PRIMITIVE} \\ \text{VECTORS} \\ \text{RECIPROCAL} \\ \text{LATTICE} \end{array}$

$$\vec{k} = \frac{m_1}{N} \vec{b}_1 + \frac{m_2}{N} \vec{b}_2 + \frac{m_3}{N} \vec{b}_3$$

K POINTS IN A UNIT CELL OF THE REC LATTICE

TOTAL # OF UNIT CELLS (N^3)

WHAT IS \vec{k} ? CRYSTAL MOMENTUM

IN THE FREE SPACE

P IS A GOOD QUANTUM NUMBER

$$\psi_p(\vec{r} + \vec{r}') = e^{i\vec{p} \cdot \vec{r}'} \psi_p(\vec{r})$$

IN A CRYSTAL

$$\psi_k(\vec{r} + \vec{R}_i) = e^{i\vec{k} \cdot \vec{R}_i} \psi_k(\vec{r})$$

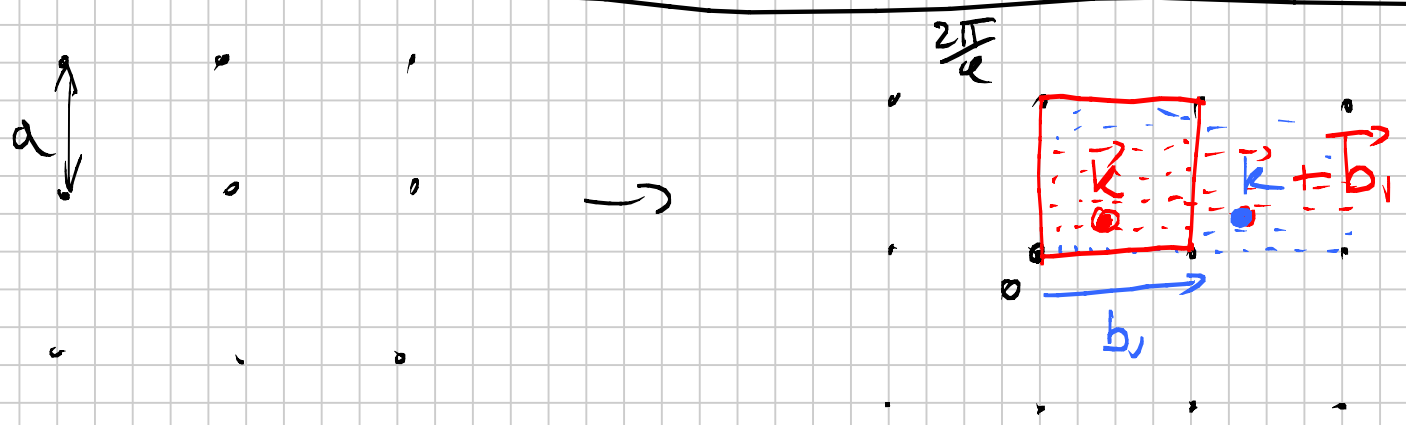
\vec{k} IS NOT THE LINEAR MOMENTUM OF
THE ELECTRON

$$\left\{ \begin{array}{l} \vec{p} = -i\hbar \vec{\nabla} \\ e^{i\vec{k}\cdot\vec{r}} \end{array} \right. \quad \text{LINEAR MOMENTUM}$$

$$e^{i\vec{k}\cdot\vec{r}} u_{m\vec{k}}(\vec{r}) = \psi_{m\vec{k}}(\vec{r})$$

IS $\psi_{m\vec{k}}(\vec{r})$ EIGENSTATE OF $-i\hbar \vec{\nabla}$?

$$-i\hbar \vec{\nabla} (e^{i\vec{k}\cdot\vec{r}} u_{m\vec{k}}(\vec{r})) = \hbar k \psi_{m\vec{k}} - i\hbar e^{i\vec{k}\cdot\vec{r}} \vec{\nabla} u_{m\vec{k}}$$



WHAT HAPPENS TO THE QUANTUM #
 \vec{k} WHEN I ADD A RECIPROCAL
 LATTICE VECTOR \vec{K} ?

$$\psi_{m, \vec{k} + \vec{K}}(\vec{r} + \vec{R}_i) = e^{i\vec{K} \cdot \vec{R}_i} e^{i\vec{k} \cdot \vec{R}_i} \psi_m(\vec{r} + \vec{R}_i)$$

$$\psi_{m, \vec{k}}(\vec{r} + \vec{R}_i) = e^{i\vec{k} \cdot \vec{R}_i} \psi_m(\vec{r} + \vec{R}_i)$$

① \vec{k} AND $\vec{k} + \vec{K}$

DEFINE THE SAME QUANTUM #

②

$$\psi_m(\vec{r} + \vec{K}_j) = \psi_m(\vec{r})$$

$\forall \vec{K}_j$ IN
RECIPROCAL
LATTICE

③ $E_m(\vec{k} + \vec{K}_j) = E_m(\vec{k})$

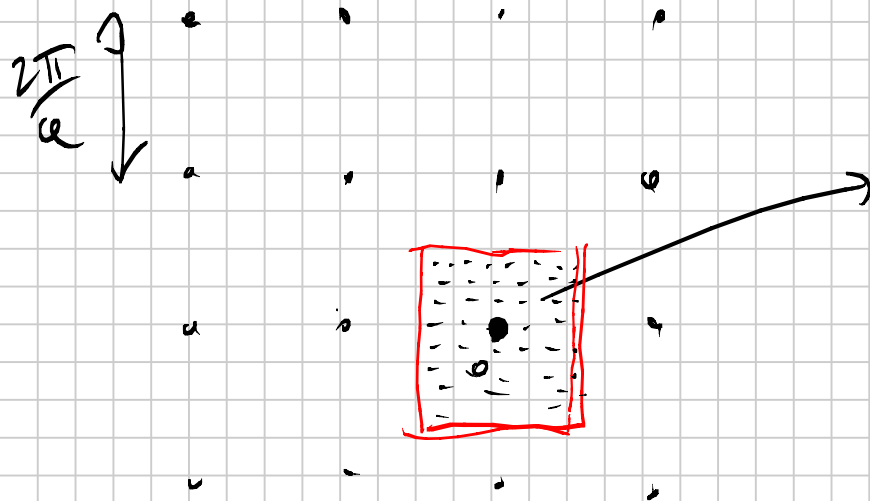
ENERGY IS PERIODIC IN

THE RECIPROCAL LATTICE

LOOK ONLY AT k IN ONE

UNIT CELL OF THE RECIPROCAL

LATTICE



RESTRICT DESCRIPTION
OF ELECTRONIC STATES
ONLY TO 1st BRILLUAIN
ZONE

V_{ION}

VERY

WEAK

$$\psi_{mk} \sim e^{ikx}$$

$$E \sim \frac{\hbar^2 k^2}{2m}$$

$\leftarrow \vec{a} \rightarrow$

