

# LECTURE #20

Note Title

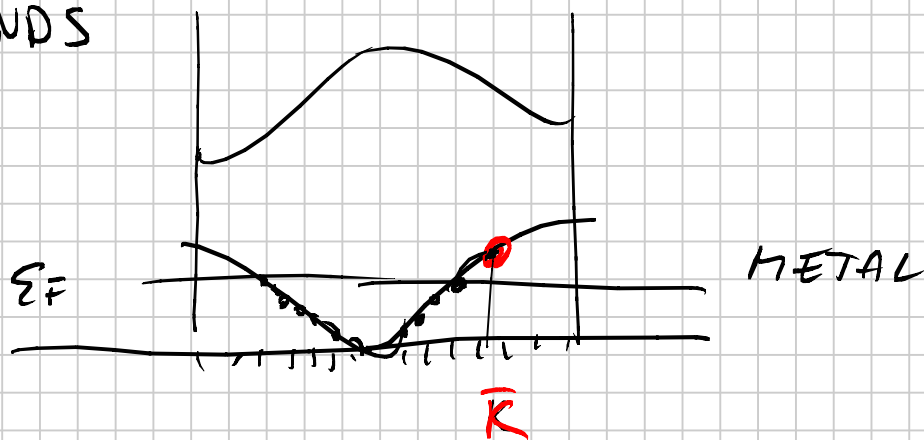
11/17/2008

Chapt 10 176-183

Chapt 22 theory of harmonic crystal

## ELECTRONIC STATES

BANDS



IF I HAVE A BLOCH  
ELECTRON WITH A GIVEN  
 $\vec{k}$  THIS IS A  
STEADY-STATE SOLUTION

TRY TO CALCULATE RESISTIVITY  $\Rightarrow$  NO RESISTIVITY  
AT ALL ...

WITHIN THE BLOCH ELECTRON PICTURE

SCATTERING OF ELECTRONS WITH FIXED IONS

CANNOT EXPLAIN RESISTIVITY OF METALS

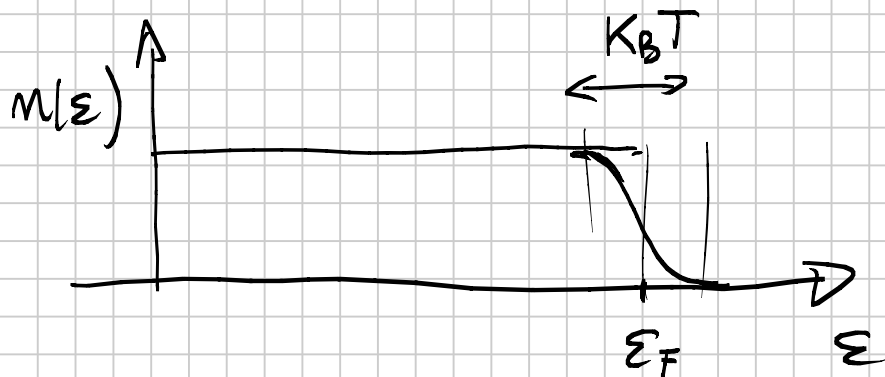
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SPECIFIC HEAT

$$C_V = \frac{\partial U}{\partial T}$$

METAL CASE

FREE ELECTRONS IN A BOX



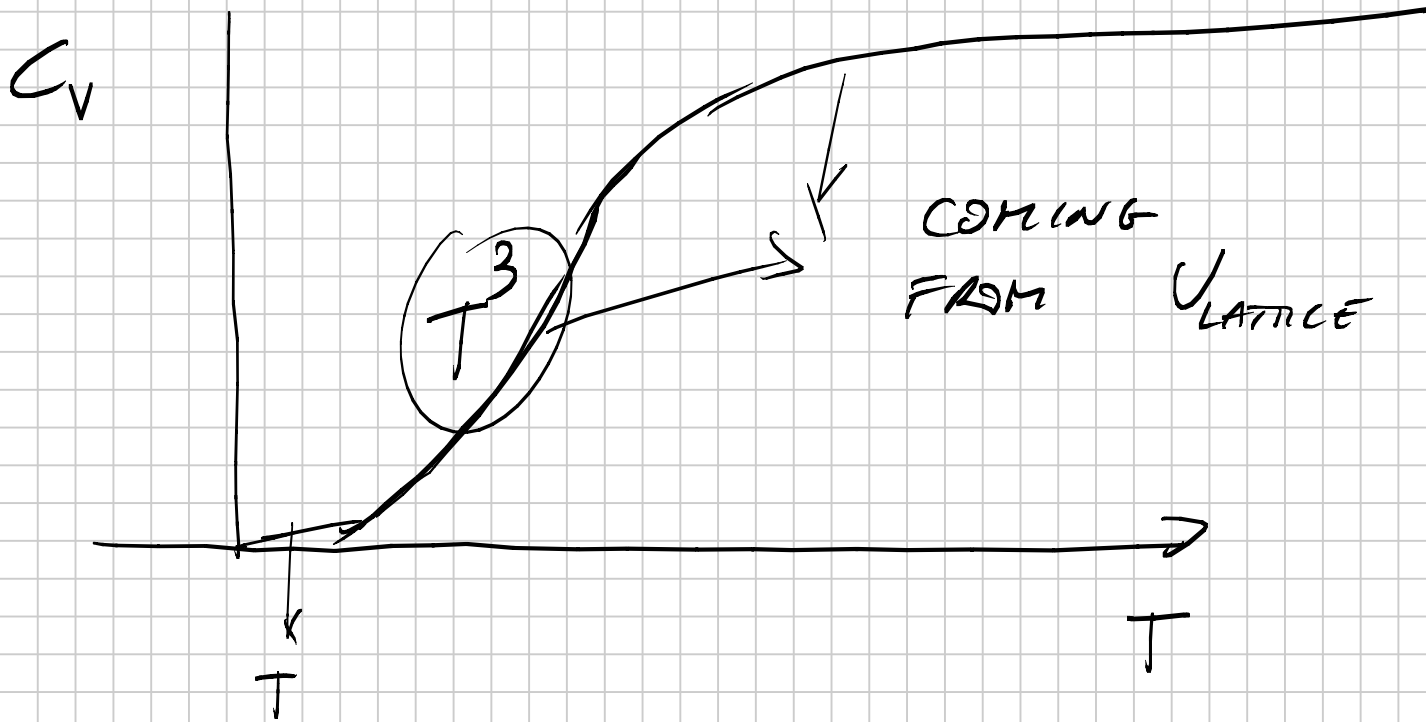
$$U \sim K_B T \left( \frac{K_B T}{E_F} \right)$$

$$U \sim T^2 \Rightarrow C_V \sim T$$

% OF ELECTRONS  
ON FERMI  
SURFACE  
COMPARED TO  
TOTAL #  
ELECTRONS

METALS !

$$U_{TOT}(T) = U(T)_{ELECTRONS} + U(T)_{LATTICE}$$



INSULATOR

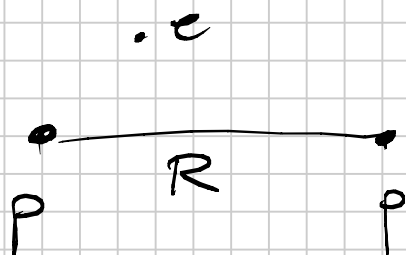
$$U_{TOT}(T) \sim U_{LATTICE}(T)$$

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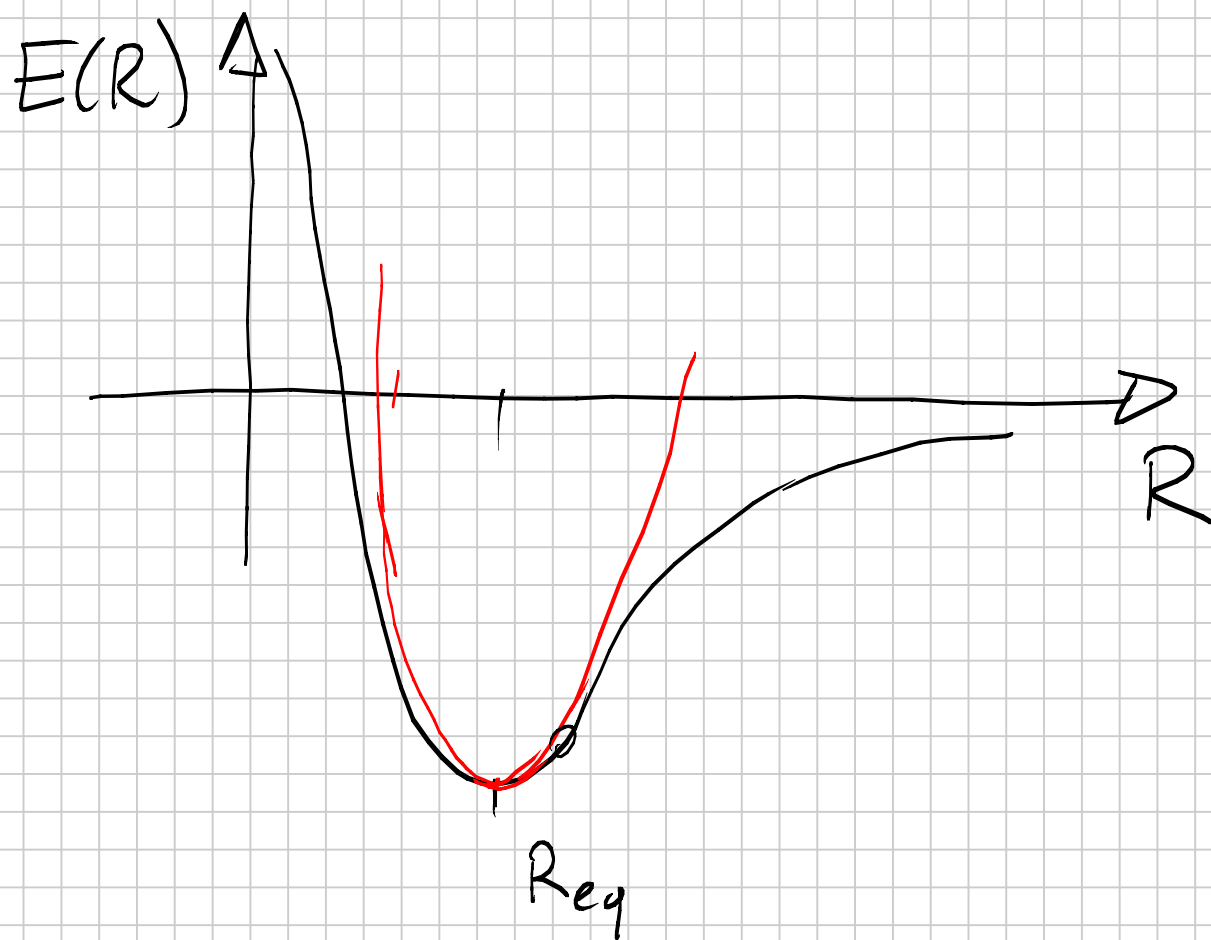
③ → TRANSMISSION OF SOUND

→ MELTING

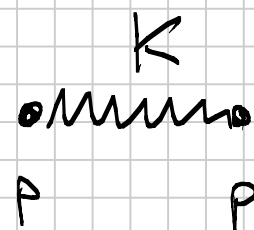
GO BACK TO  $H_2^+$  MOLECULE



BORN-OPPENHEIMER  
APPROXIMATION



① HARMONIC  
APPROXIMATION

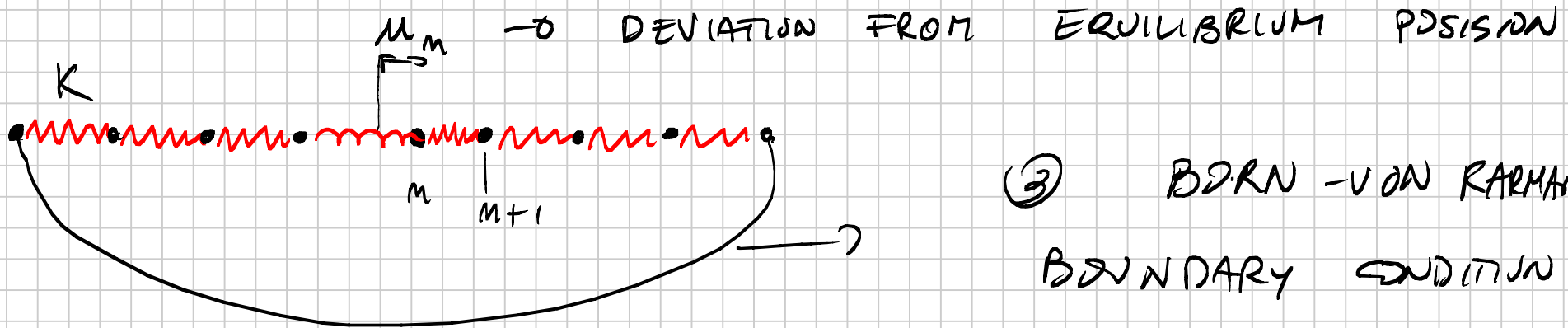


$$K = \left. \frac{d^2 E(R)}{dR^2} \right|_{R=R_{eq}}$$

# LATTICE IN 1D



② ONLY FIRST NEIGHBOR INTERACTION



③ BORN-VON KARMAN BOUNDARY CONDITION

$$u_N = u_0$$

## CLASSICAL EQUATION OF MOTION

$$M \ddot{u}_m = \overset{\text{RIGHT SPRING}}{-K(u_m - u_{m+1})} - \overset{\text{LEFT SPRING}}{K(u_m - u_{m-1})}$$

LOOK FOR SOLUTIONS OF THE FORM

$$u_m = e^{i(qma - \omega t)}$$

$$q = \frac{2\pi}{\lambda}$$

$\lambda$  WAVE LENGTH

$\frac{\omega(q)}{2\pi}$  = FREQUENCY OF OSCILLATION FOR

THE OSCILLATION MODE  $q$

$$u_{m+1}(q) = e^{i(q(m+1)a - \omega t)} = e^{iqa} u_m(q)$$

$$-M\omega^2(q) u_m(q) = -K u_m(q) (2 - e^{iqa} - e^{-iqa})$$

$$\omega^2(q) = \frac{2K}{M} (1 - \cos qa) = \frac{4K}{M} \sin^2\left(\frac{qa}{2}\right)$$

$$\frac{1 - \cos x}{2} = \sin^2 \frac{x}{2}$$

$$\omega(q) = \sqrt{\frac{4K}{M}} \left| \sin\left(\frac{qa}{2}\right) \right|$$

$$\underline{K} = \frac{2\pi}{a}$$

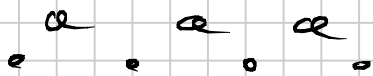
$$\omega(q + \underline{K})$$

$$\mu_m \sim e^{iqna}$$

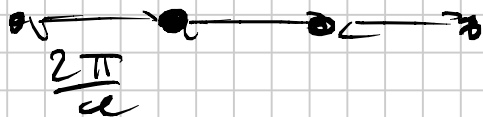
$$e^{i(q + \underline{K})na}$$

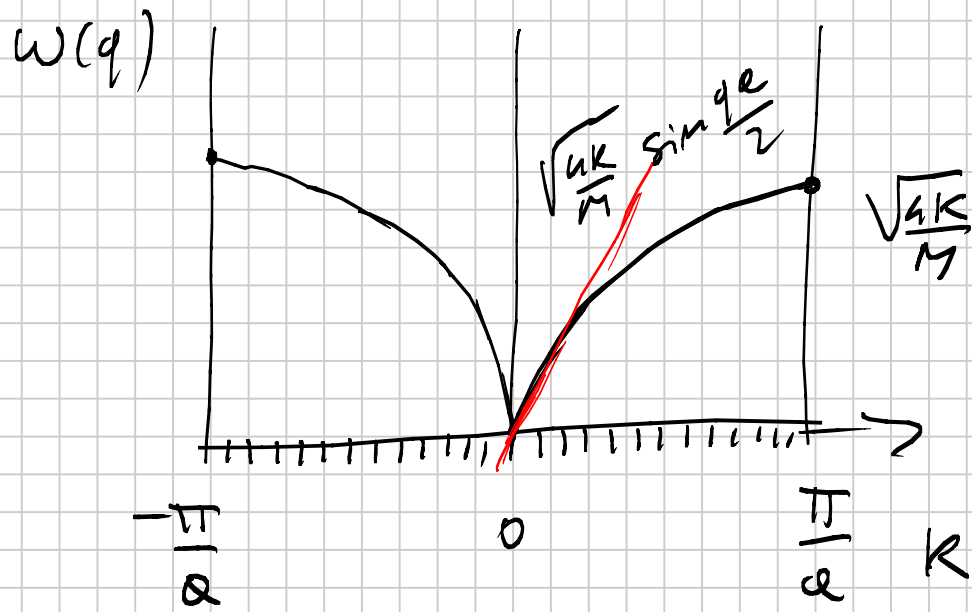
$$\mu_m(q) = \mu_m(q + \underline{K}) \Rightarrow \omega(q) = \omega(q + \underline{K})$$

$\forall \underline{K}$  VECTOR OF RECIPROCAL LATTICE



REAL SPACE





N ATOMS

$$e^{iqNa} = 1 \Rightarrow q = \frac{2\pi n}{a N}$$

CRYSTAL MOMENTUM

FOR SMALL  $q \Rightarrow$  LARGE  $\lambda$  ( $\lambda \gg a$ )

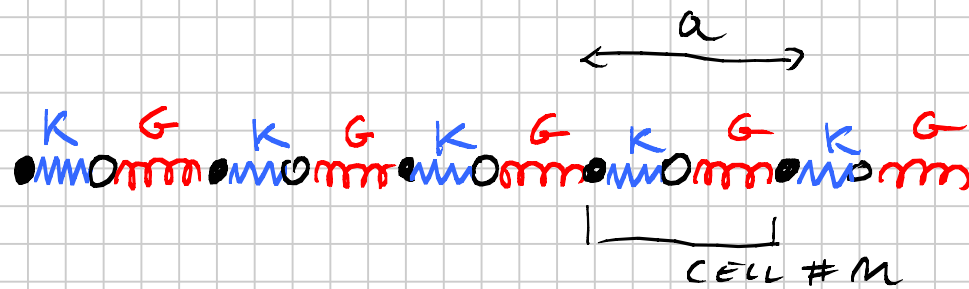
$$\omega(q) \sim \sqrt{\frac{4K}{M}} \frac{qa}{2}$$

GROUP VELOCITY FOR OSCILLATIONS ( $v_g$ )

$$v_g = \frac{d\omega(q)}{dq} \sim \sqrt{\frac{K}{M}} a = \text{SPEED OF SOUND IN SOLID}$$



# CRYSTAL WITH BASIS



• } SAME  
○ } MASS

I HAVE TO FIND

BOTH

$\mu_{1,m}$  FOR •

$\mu_{2,m}$  FOR ○

$$M \ddot{u}_{1m} = -K (\mu_{1m} - \mu_{2m}) - G (\mu_{1m} - \mu_{2,m-1})$$

$$M \ddot{u}_{2m} = -G (\mu_{2m} - \mu_{1,m+1}) - K (\mu_{2m} - \mu_{1m})$$

LOOK FOR WAVE SOLUTIONS

$$\mu_{1m}(q) = \epsilon_1 e^{i(qma - \omega t)} \quad \mu_{2m}(q) = \epsilon_2 e^{i(qma - \omega t)}$$

$$\left[ M\omega^2 - (K+G) \right] \varepsilon_1 + (K+G e^{-iqa}) \varepsilon_2 = 0 \quad (1)$$

$$(K+G e^{iqa}) \varepsilon_1 + \left[ M\omega^2 - (K+G) \right] \varepsilon_2 = 0$$

$$\vec{\varepsilon} = \begin{pmatrix} \varepsilon_1 \\ \varepsilon_2 \end{pmatrix}$$

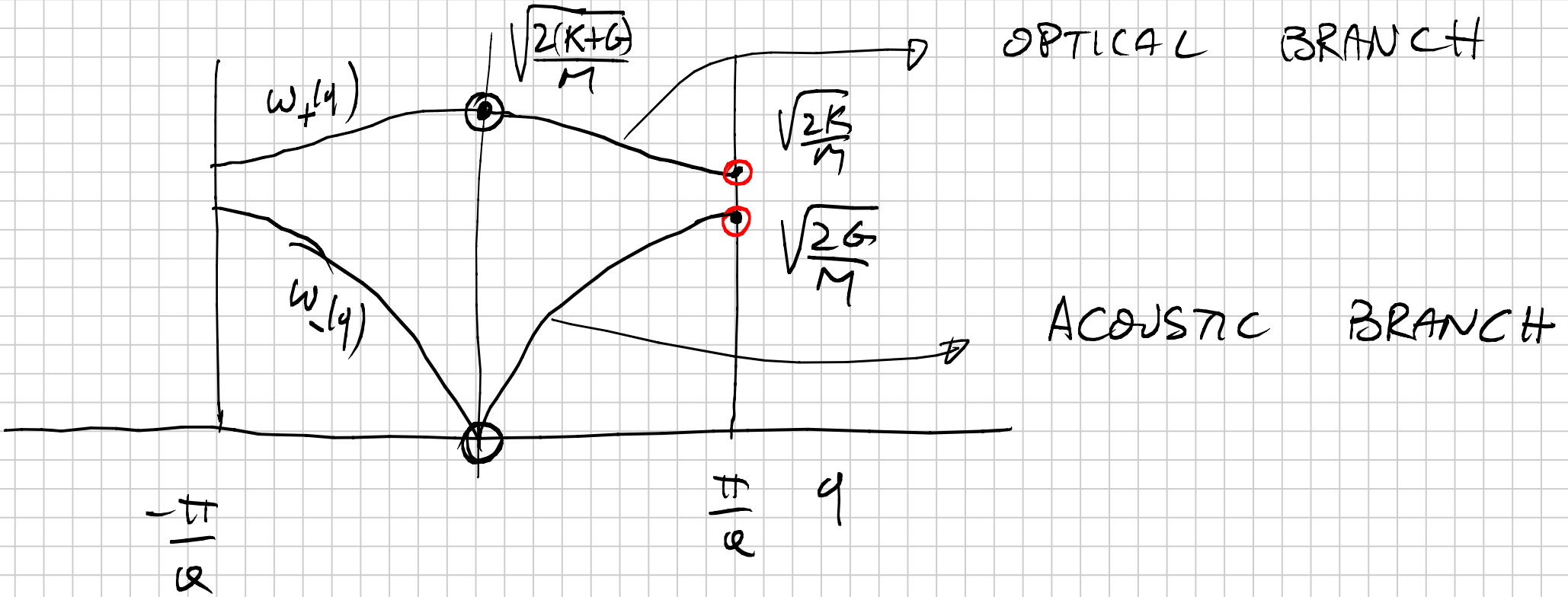
$$(1) = \left[ A(\omega^2) \right] \cdot \vec{\varepsilon} = 0$$

2x2  
MATRIX

SOLUTION WITH  $\vec{\varepsilon} \neq 0$  ONLY IF

$$\text{DET } A = 0$$

$$\omega_{\pm}^2(q) = \left( \frac{K+G}{M} \right) \pm \frac{1}{M} \sqrt{K^2 + G^2 + 2KG \cos qa}$$



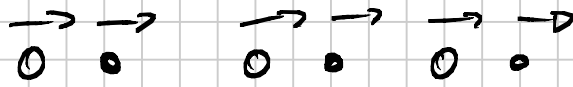
$$\omega_{\pm}(q) \Rightarrow \frac{\epsilon_1(q)}{\epsilon_2(q)} = \pm \frac{K+G e^{iqa}}{|K+G e^{iqa}|}$$

FZR  $q \rightarrow 0$

ACOUSTIC  $\omega_-$

$$\epsilon_1 = \epsilon_2$$

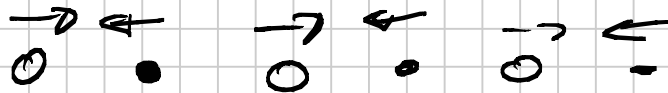
• } MOVE TOGETHER  
0 } SAME  
DIRECTION



OPTICAL  $\omega_+$

$$\epsilon_1 = -\epsilon_2$$

• } MOVE  
0 } IN  
OPPOSITE  
DIRECTION



FZR  $q = \frac{\pi}{a}$

$$\mu_{m+1}\left(\frac{\pi}{a}\right) = -\mu_m\left(\frac{\pi}{a}\right)$$

$$\sqrt{\frac{2G}{M}}$$

ACOUSTIC BRANCH

$$\epsilon_1 = \epsilon_2$$

ONLY G



SPRING ENLARGED/COMPRESSED

OPTICAL BRANCH

$$\epsilon_1 = -\epsilon_2$$

$$\omega\left(\frac{\hbar}{a}\right) \sim \sqrt{\frac{2K}{M}}$$

ONLY K SPRING

IS ENLARGED/COMPRESSED



OPTICAL MODES

OSCILLATING DIPOLE

COUPLED TO LIGHT



