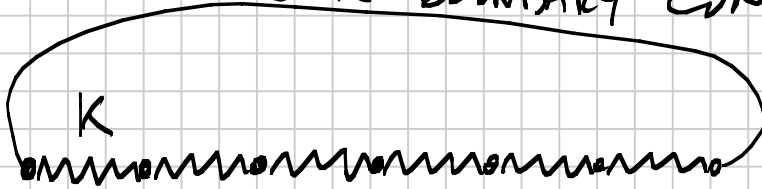


LECTURE # 21

Note Title

11/19/2008

BVK BOUNDARY CONDITIONS



N ATOMS

$$u_m(q) = e^{iqna}$$

$q =$ NORMAL MODES OF OSCILLATIONS

NORMAL MODES = # ATOMS

$\omega(q)$

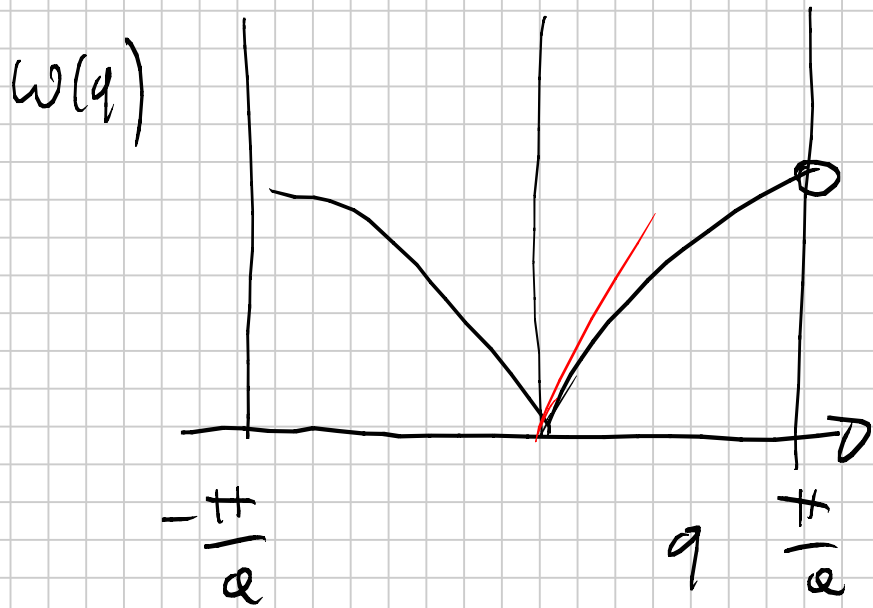
DEC 3RD
KALJIE XU

→ FINAL 2007
SOLUTION

DEC 11TH FINAL
THU

DEC	9	10
NOV		29

DEC
2-8



$$\omega(q) = \sqrt{\frac{4K}{M}} \left| \sin \frac{qa}{2} \right|$$

$$\omega(q) \sim \sqrt{\frac{K}{M}} a q \sim \sqrt{SOUND} \cdot q$$

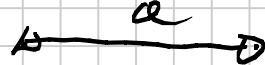
FOR SMALL q

$$q = \frac{\pi}{a}$$

$$u\left(\frac{\pi}{a}\right) \sim e^{i \frac{\pi}{a} m a} \begin{cases} +1 \\ -1 \end{cases}$$

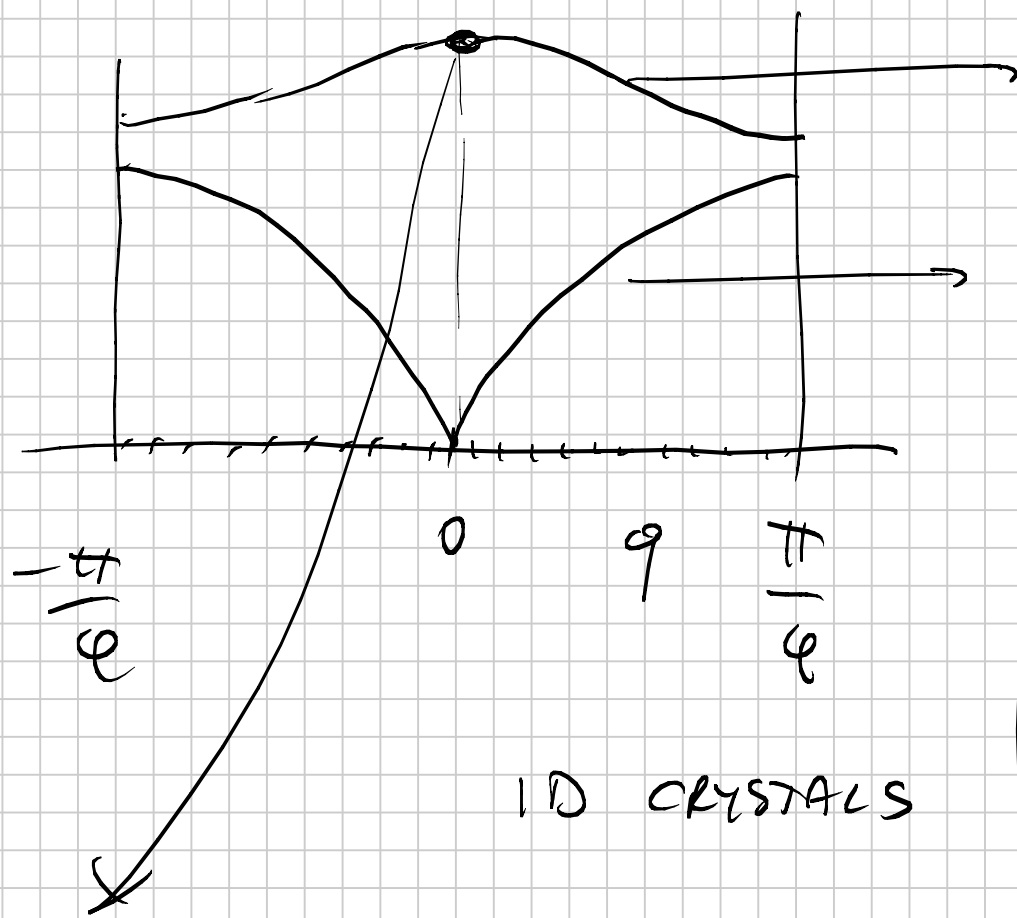


CRYSTAL WITH BASIS



N UNIT CELLS
2 ATOMS/CELL

$2N$ DEGREES OF FREEDOM



OPTICAL BRANCH

ACOUSTIC BRANCH

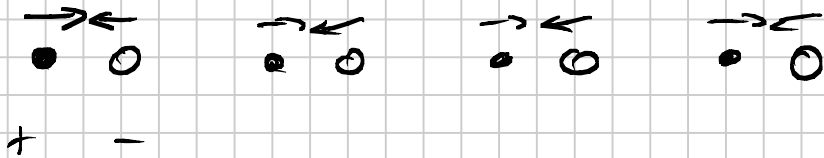
$-\frac{\pi}{4}$
 ω/ω_0

0

q

$\frac{\pi}{4}$

1D CRYSTALS



FCC LATTICE

Pb NO BASIS

N TOT # OF Pb ATOMS

3N NORMAL MODES

OF OSCILLATION

$$\vec{q} = (q_x, q_y, q_z)$$

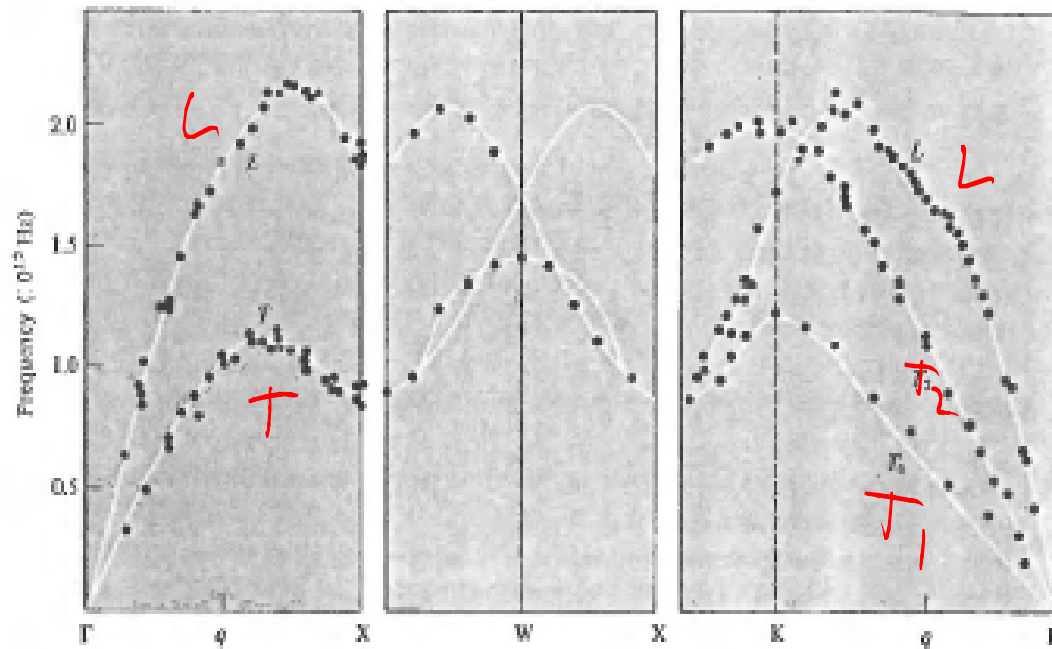
1 LONGITUDINAL

$$\vec{u}_L \parallel \vec{q}^0$$

2 TRANSVERSAL MODES

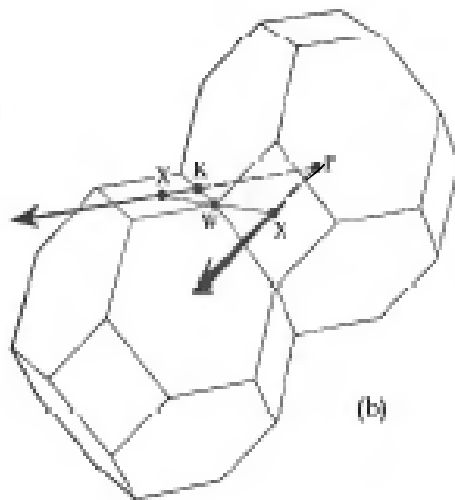
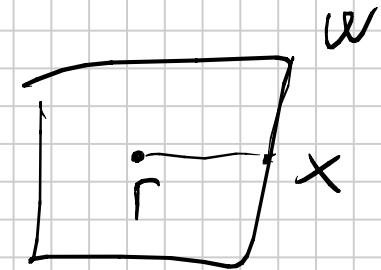
L. BROCKHOUSE, J. ROY, and R. W. WOODWARD, *PHYSICAL REVIEW* 128, 1069 (1962)

$$\vec{u}_T \perp \vec{q}$$



(a)

FCC



(b)

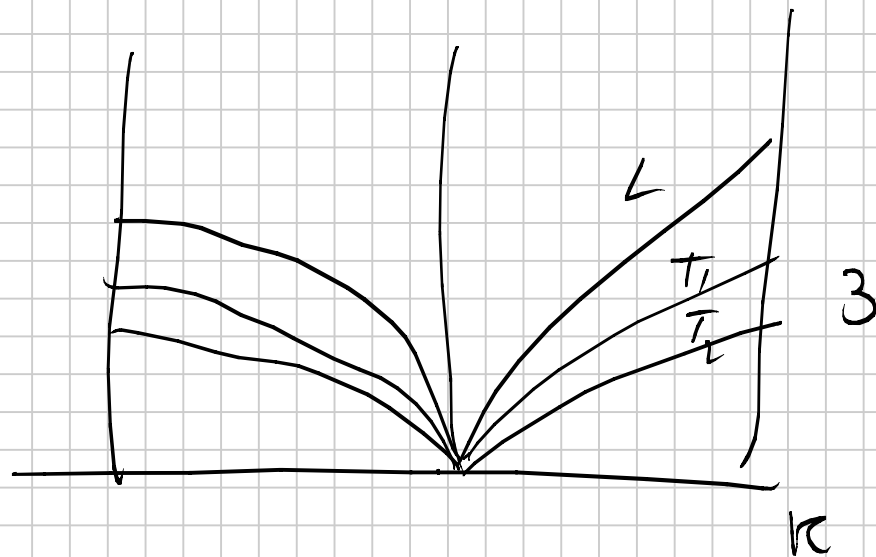
Figure 22.13

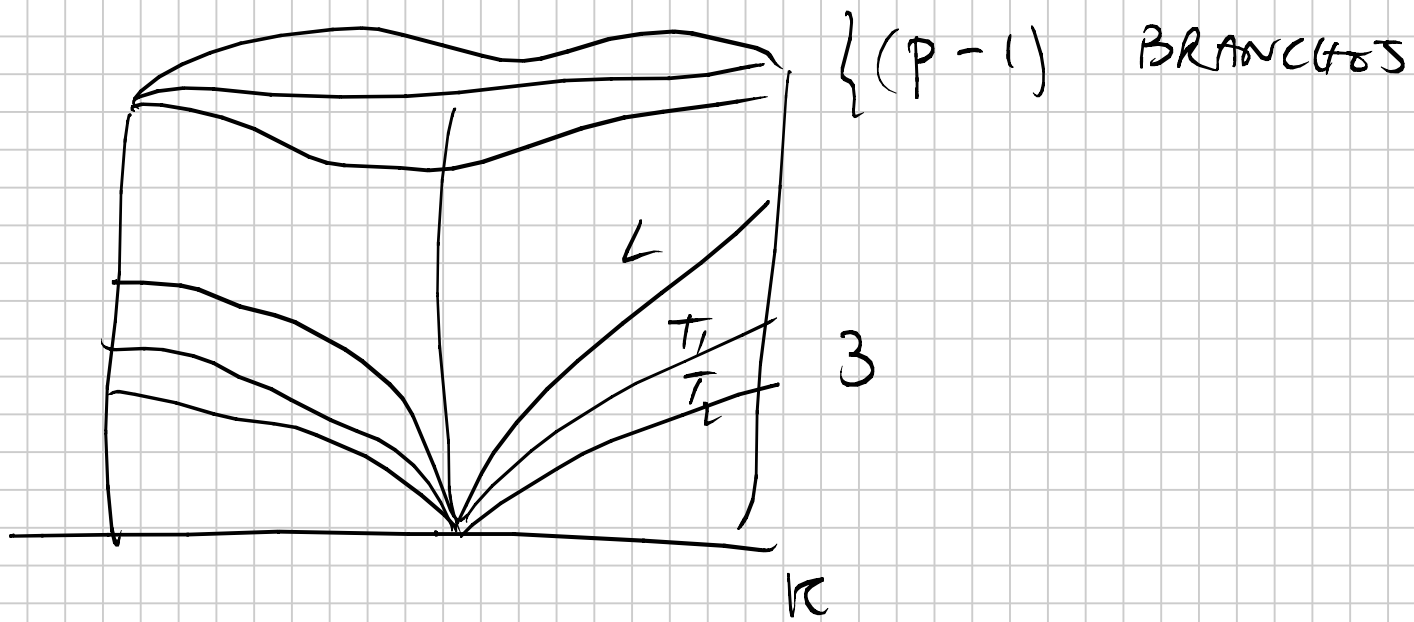
(a) Typical dispersion curves for the normal-mode frequencies in a monatomic Bravais lattice. The curves are for lead (face-centered cubic) and are plotted in a repeated-zone scheme along the edges of the shaded triangle shown in (b). Note that the two transverse branches are degenerate in the $[100]$ direction. (After Brockhouse et al., *Phys. Rev.* 128, 1069 (1962).)

3D CRYSTAL WITH P ATOMS IN
THE BASIS

N TOTAL # OF UNIT CELLS
TOT # ATOMS

$$3N + \underbrace{3(p-1)N}_{\text{\# OPTICAL MODES}} = \underbrace{3NP}_{\text{\# ACUSTIC MODES}}$$





INTERACTING ATOMS WITH HARMONIC POTENTIAL

THERMAL EQUILIBRIUM

$$\langle E \rangle = \langle K \rangle + \langle V \rangle$$

CLASSICAL SYSTEM

$$\langle K \rangle = \frac{1}{2} k_B T \overbrace{3N}^{\text{\# DEGREES OF FREEDOM}}$$

$$\langle V \rangle = \underline{\text{VIRIAL THEOREM}}$$

$$\text{HARMONIC POTENTIAL: } V(x) = \frac{1}{2} k x^2$$

$$\langle K \rangle = \langle V \rangle$$

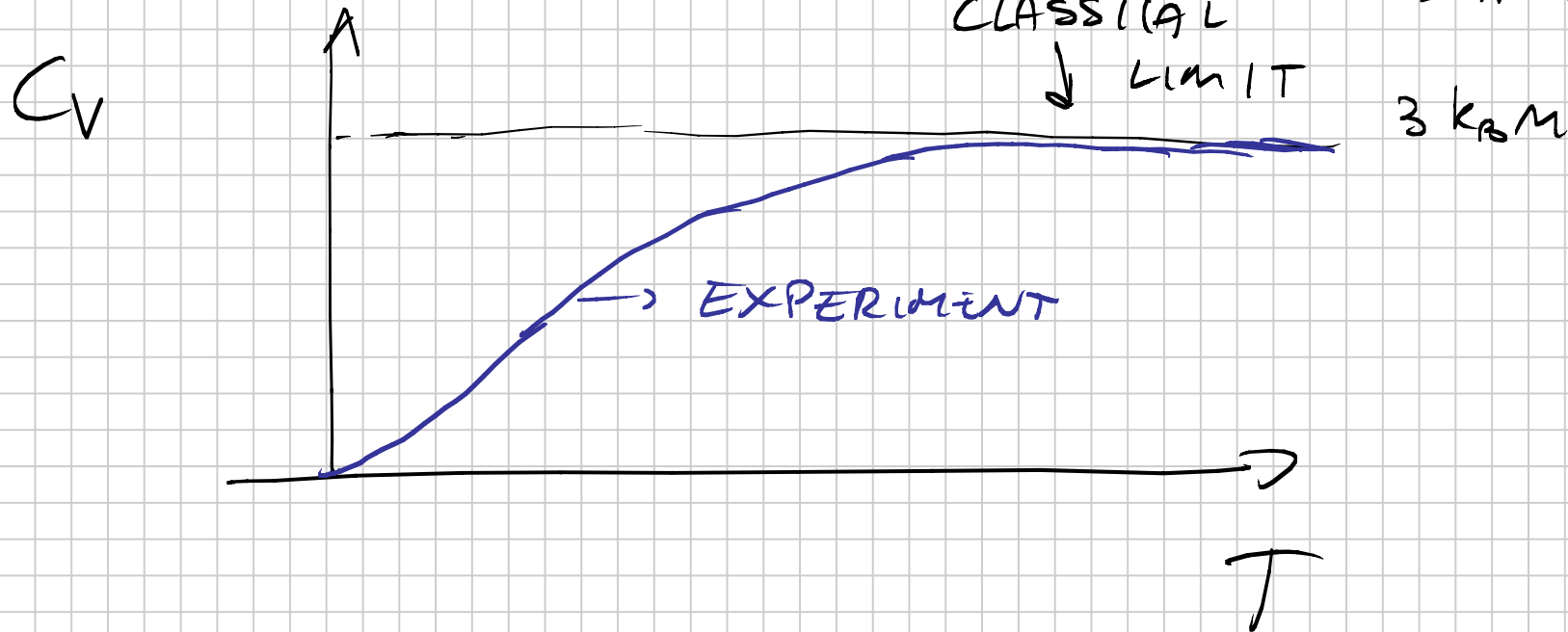
$$\text{THEOREM: } V(x) \propto x^2 \Rightarrow 2\langle K \rangle = \lambda \langle V \rangle$$

$$E(T) = \langle K \rangle + \langle V \rangle = 3 k_B T N$$

SPECIFIC HEAT

$$C_V = \frac{1}{V} \frac{\partial \langle E \rangle}{\partial T} = 3 k_B \frac{N}{V} = 3 k_B M$$

DOULONG-PETIT
LAW



WE NEED

QUANTUM HARMONIC CRYSTAL

$\vec{q} \rightarrow 0$

HARMONIC

OSCILLATOR

$$H = \frac{p^2}{2M} + \frac{1}{2} M \omega^2 x^2 \longrightarrow \frac{\hat{p}^2}{2M} + \frac{1}{2} M \omega^2 \hat{x} = H$$

$$[\hat{x}, \hat{p}] = i\hbar$$

$$\hat{a} = \left(\frac{\hat{x}}{x_0} \right) + i \left(\frac{\hat{p}}{p_0} \right)$$

$$x_0 = \sqrt{\frac{\hbar}{M\omega}}$$

$$p_0 = \frac{\sqrt{2\hbar}}{M\omega}$$

$$\hat{a}^\dagger = \left(\frac{\hat{x}}{x_0} \right) - i \left(\frac{\hat{p}}{p_0} \right)$$

$$H = \hbar\omega \left(\hat{a}^\dagger \hat{a} + \frac{1}{2} \right)$$

CRYSTAL : MANY MODES q

$$H = \sum_{q \in BZ} \hbar \omega(q) \left(a_q^\dagger a_q + \frac{1}{2} \right)$$

$a_q^\dagger a_q \rightarrow$ # OF QUANTA OF OSCILLATION
FOR MODE q

\Rightarrow PHONONS

$$[a_q, a_q^\dagger] = 1$$

BOSONS

$$[a_q, a_{q'}^\dagger] = \delta_{qq'}$$

$$\langle E \rangle = \langle H \rangle = \sum_{q \in BZ} \hbar \omega(q) \left(\langle a_q^\dagger a_q \rangle + \frac{1}{2} \right)$$

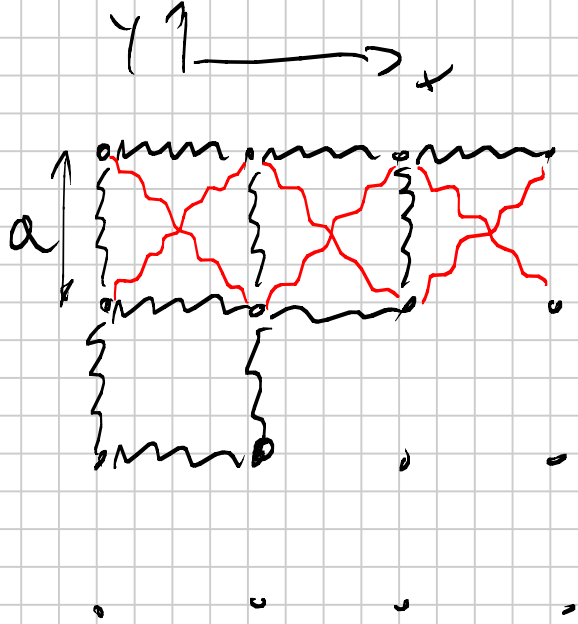
$$\langle a_q^\dagger a_q \rangle = \langle n_q \rangle = \frac{1}{e^{\frac{\hbar \omega(q)}{k_B T}} - 1}$$

BOSON
EINSTEIN
STATISTICS

① EINSTEIN METHOD

$$\hbar \omega(q) \sim \hbar \omega_E$$

② DEBYE METHOD $\rightarrow C_V$



$$\vec{q} = \left(\frac{\pi}{a}, 0 \right)$$

2D SYSTEM

2 MODES

① LONGITUDINAL MODE

$$u \parallel \hat{x}$$

$\omega(q)$

① TRANSVERSAL MODE

$$u \parallel \hat{y}$$

