

# Diffraction

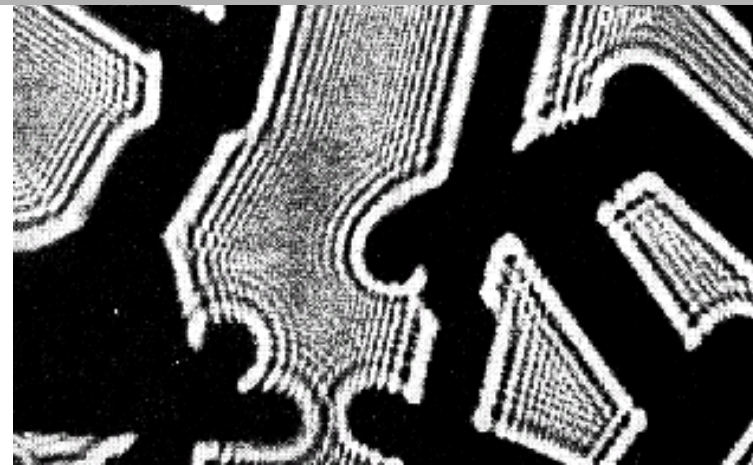
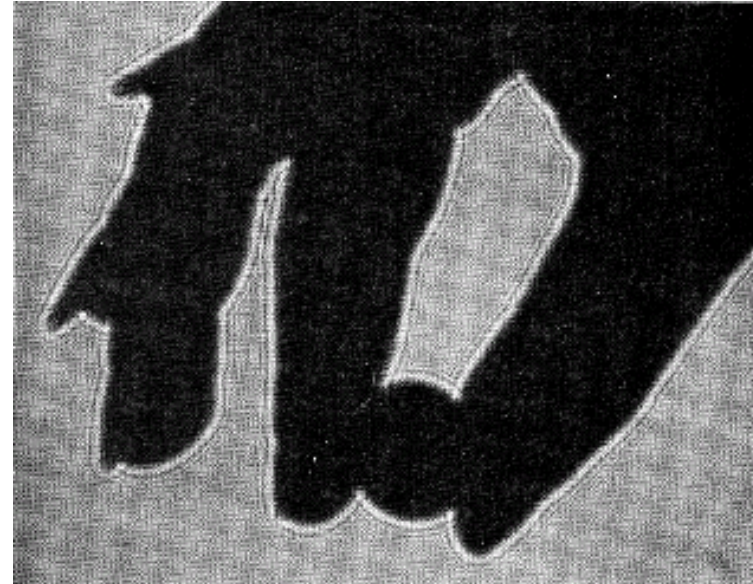
Light bends!

Diffraction assumptions

Solution to Maxwell's  
Equations

The far-field

Fraunhofer Diffraction  
Some examples



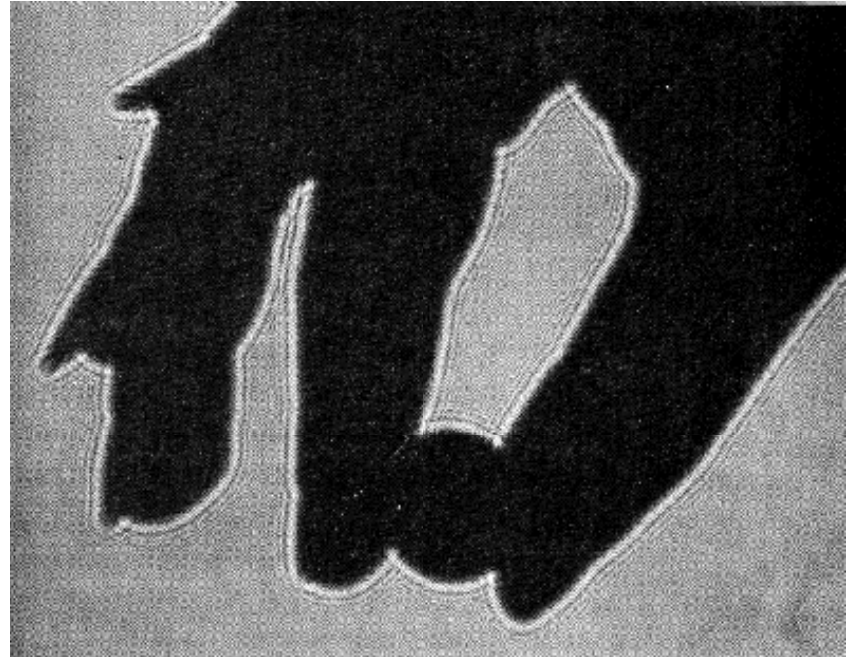
# Diffraction

Light does not always travel in a straight line.

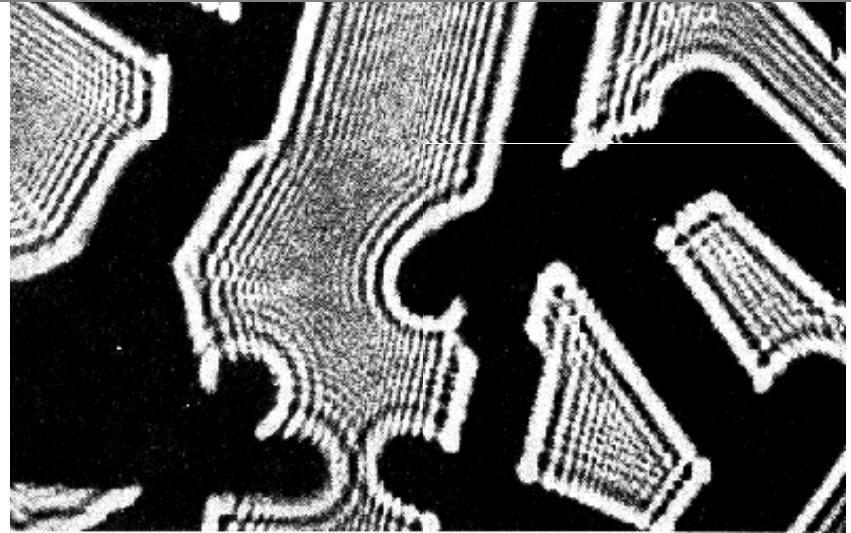
It tends to bend around objects. This tendency is called **diffraction**.

Any wave will do this, including matter waves and acoustic waves.

Shadow of a hand illuminated by a Helium-Neon laser



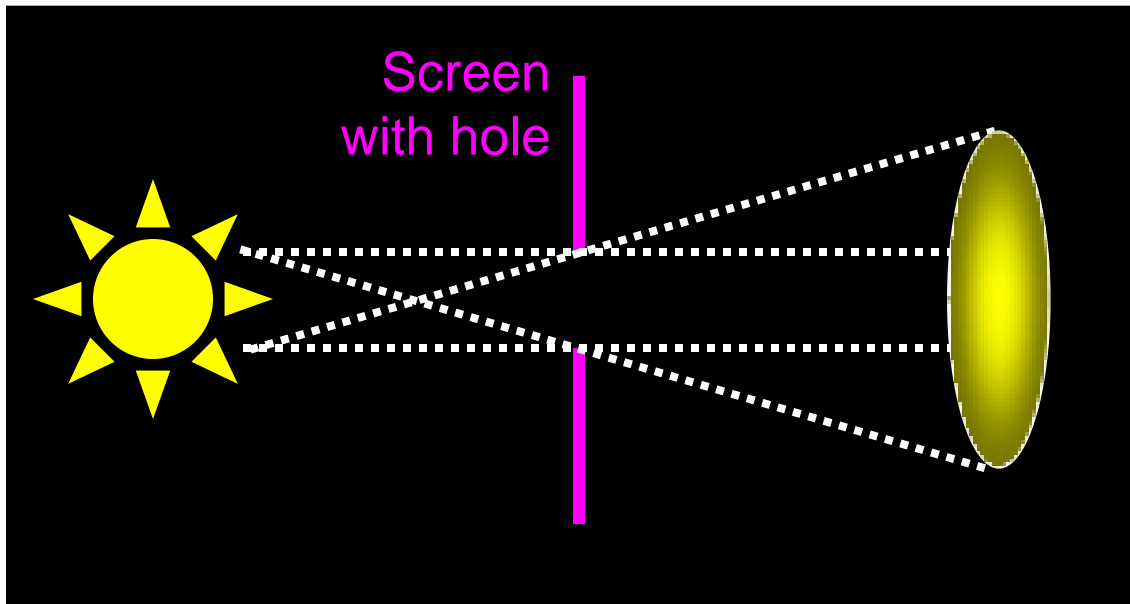
Shadow of a zinc oxide crystal illuminated by a electrons



# Why it's hard to see diffraction

Diffraction tends to cause ripples at edges. But poor source temporal or spatial coherence masks them.

Example: a large spatially incoherent source (like the sun) casts blurry shadows, masking the diffraction ripples.



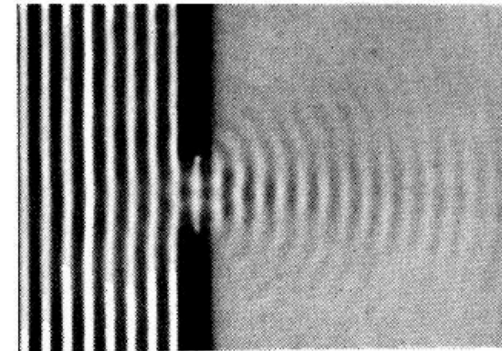
Untilted rays yield a perfect shadow of the hole, but off-axis rays blur the shadow.

A point source is required.

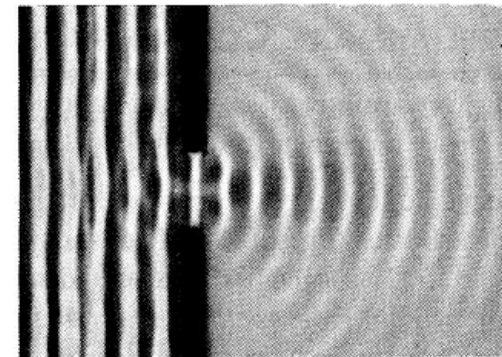


# Diffraction of a wave by a slit

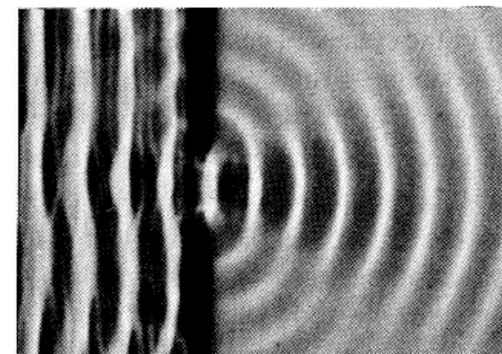
Whether waves in water or electromagnetic radiation in air, passage through a slit yields a diffraction pattern that will appear more dramatic as the size of the slit approaches the wavelength of the wave.



$\lambda \ll \text{slit size}$



$\lambda < \text{slit size}$



$\lambda \approx \text{slit size}$

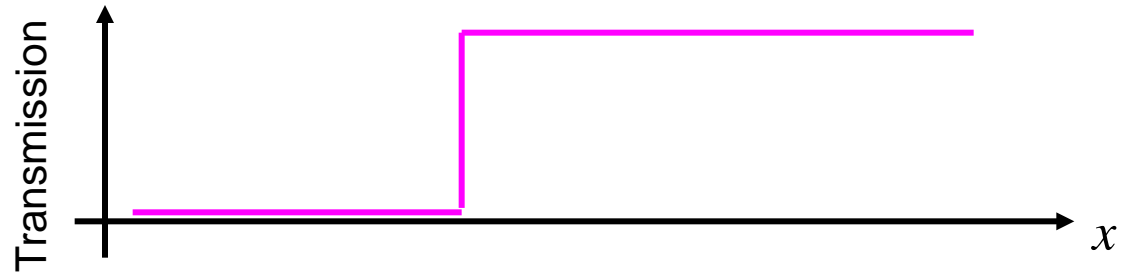
# Diffraction of ocean water waves

Ocean waves passing through slits in Tel Aviv, Israel



Diffraction occurs for all waves, whatever the phenomenon.

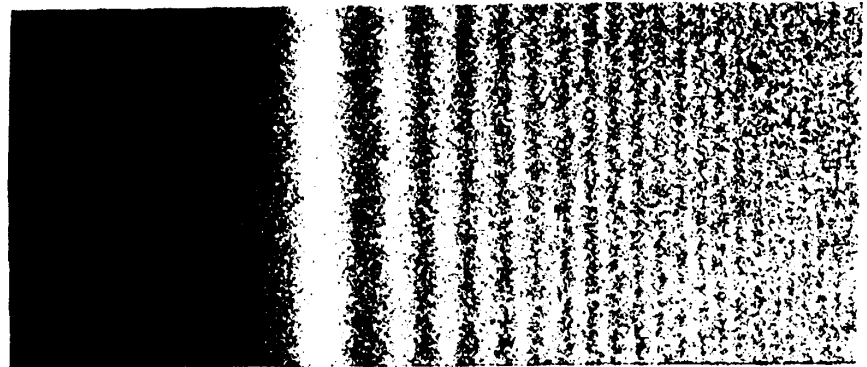
# Diffraction by an Edge



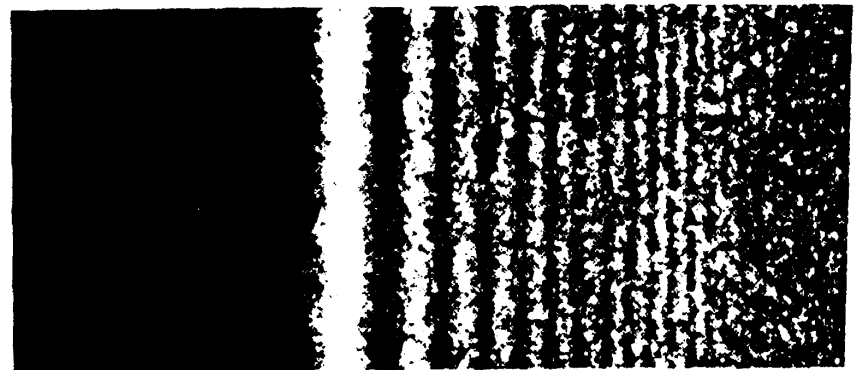
Even without a small slit, diffraction can be strong.

Simple propagation past an edge yields an unintuitive irradiance pattern.

Light passing by edge



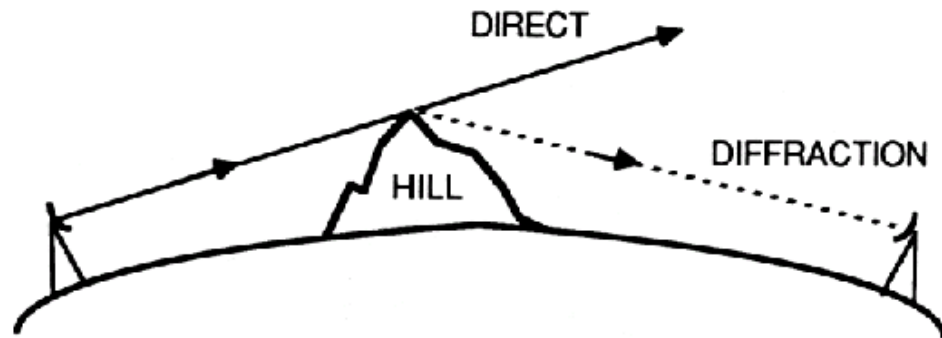
Electrons passing by an edge (MgO crystal)



# Radio waves diffract around mountains.

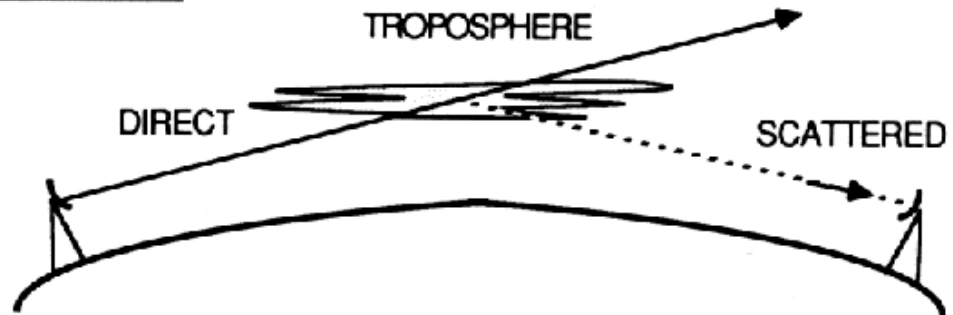
When the wavelength is km long, a mountain peak is a very sharp edge!

## DIFFRACTION



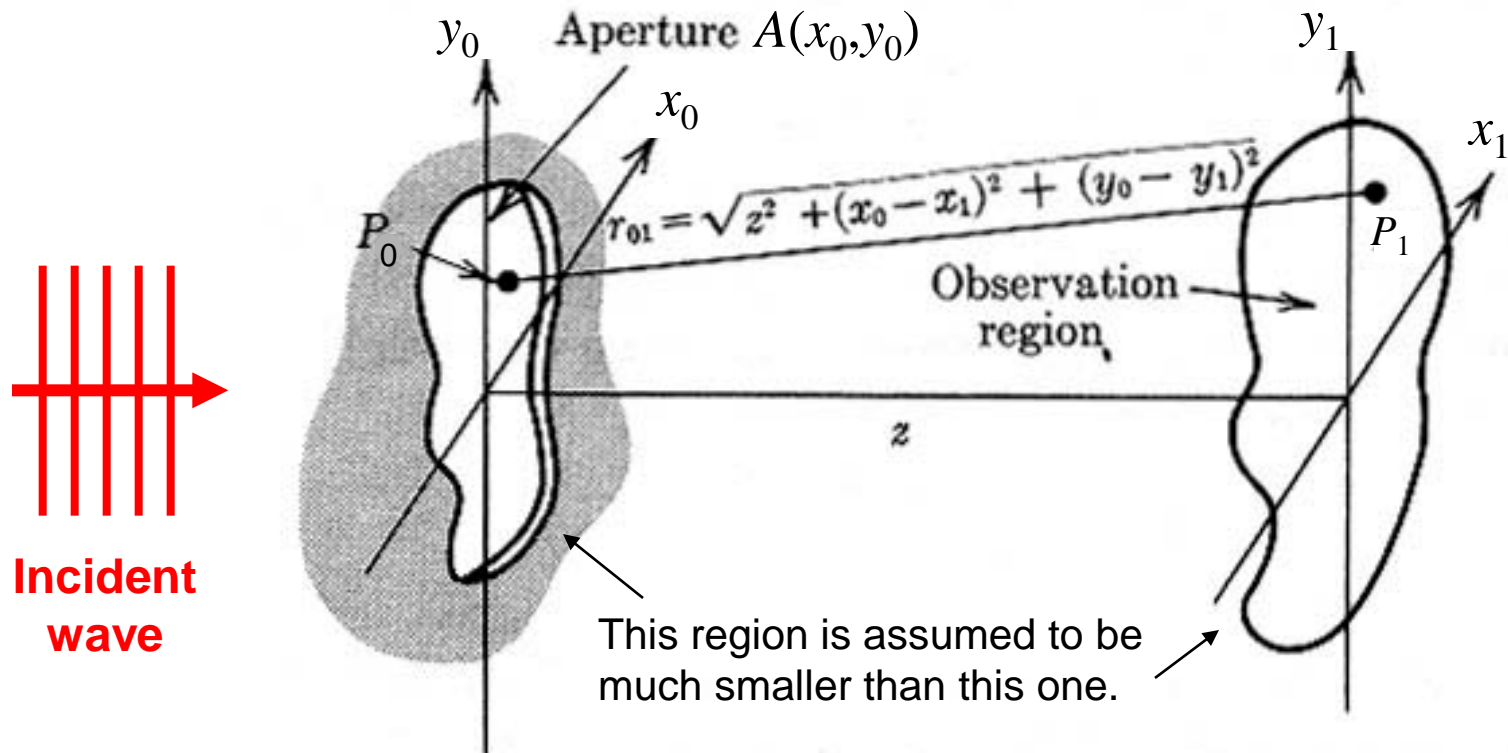
Another effect that occurs is scattering, so diffraction's role is not obvious.

## TROPOSCATTER



# Diffraction Geometry

We wish to find the light electric field after a screen with a hole in it. This is a very general problem with far-reaching applications.



What is  $E(x_1, y_1)$  at a distance  $z$  from the plane of the aperture?



# Diffraction Solution

The field in the observation plane,  $E(x_1, y_1)$ , at a distance  $z$  from the aperture plane is given by:

$$E(x_1, y_1, z) = \iint_{A(x_0, y_0)} h(x_1 - x_0, y_1 - y_0, z) E(x_0, y_0) dx_0 dy_0$$

where :

$$h(x_1 - x_0, y_1 - y_0, z) = \frac{1}{i\lambda} \frac{\exp(ikr_{01})}{r_{01}}$$

and :

$$r_{01} = \sqrt{z^2 + (x_0 - x_1)^2 + (y_0 - y_1)^2}$$

Spherical wave

A very complicated result! And we cannot approximate  $r_{01}$  in the exp by  $z$  because it gets multiplied by  $k$ , which is big, so relatively small changes in  $r_{01}$  can make a big difference!

# Fraunhofer Diffraction: The Far Field

We can approximate  $r_{01}$  in the denominator by  $z$ , and if  $D$  is the size of the aperture,  $D^2 \geq x_0^2 + y_0^2$ , so when  $k D^2 / 2z \ll 1$ , the quadratic terms  $\ll 1$ , so we can neglect them:

$$r_{01} = \sqrt{z^2 + (x_0 - x_1)^2 + (y_0 - y_1)^2} \approx z \left[ 1 + (x_0 - x_1)^2 / 2z^2 + (y_0 - y_1)^2 / 2z^2 \right]$$

$$kr_{01} \approx kz + k \left( x_0^2 - 2x_0x_1 + x_1^2 \right) / 2z + k \left( y_0^2 - 2y_0y_1 + y_1^2 \right) / 2z$$

Small, so neglect  
these terms.

Independent of  $x_0$  and  
 $y_0$ , so factor these out.

$$E(x_1, y_1) = \frac{\exp(ikz)}{i\lambda z} \exp \left[ ik \frac{x_1^2 + y_1^2}{2z} \right] \iint_{A(x_0, y_0)} \exp \left\{ -\frac{ik}{z} (x_0x_1 + y_0y_1) \right\} E(x_0, y_0) dx_0 dy_0$$

This condition means going a distance away:  $z \gg kD^2/2 = \pi D^2/\lambda$   
If  $D = 1$  mm and  $\lambda = 1$  micron, then  $z \gg 3$  m.

# Fraunhofer Diffraction

We'll neglect the phase factors, and we'll explicitly write the aperture function in the integral:

$$E(x_1, y_1) \propto \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp\left\{-\frac{ik}{z}(x_0 x_1 + y_0 y_1)\right\} A(x_0, y_0) E(x_0, y_0) dx_0 dy_0$$

This is just a Fourier Transform!

$E(x_0, y_0) = \text{constant}$  if a plane wave

Interestingly, it's a Fourier Transform from position,  $x_0$ , to another position variable,  $x_1$  (in another plane). Usually, the Fourier "conjugate variables" have reciprocal units (e.g.,  $t$  &  $\omega$ , or  $x$  &  $k$ ). The conjugate variables here are really  $x_0$  and  $k_x = kx_1/z$ , which have reciprocal units.

So the far-field light field is the Fourier Transform of the apertured field!

# The Fraunhofer Diffraction formula

We can write this result in terms of the off-axis k-vector components:

$$E(k_x, k_y) \propto \int_{-\infty}^{\infty} \int_{-\infty}^{\infty} \exp[-i(k_x x + k_y y)] A(x, y) E(x, y) dx dy$$

$E(x, y) = \text{const}$  if a plane wave  
↓  
 $E(x, y)$

↑  
Aperture function

where we've dropped the subscripts, 0 and 1,

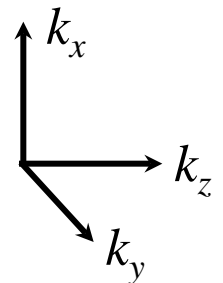
$$E(k_x, k_y) \propto \mathcal{F} \{ A(x, y) E(x, y) \}$$

and:

$$k_x = kx_1/z \quad \text{and} \quad k_y = ky_1/z$$

or:

$$\theta_x = k_x/k = x_1/z \quad \text{and} \quad \theta_y = k_y/k = y_1/z$$





# The Uncertainty Principle in Diffraction!

$$E(k_x, k_y) \propto \mathcal{F} \{ A(x, y) E(x, y) \} \quad k_x = kx_1/z$$

Because the diffraction pattern is the **Fourier transform** of the slit, there's an uncertainty principle between the slit width and diffraction pattern width!

If the input field is a plane wave and  $\Delta x = \Delta x_0$  is the slit width,

$$\Delta x \Delta k_x > 1$$

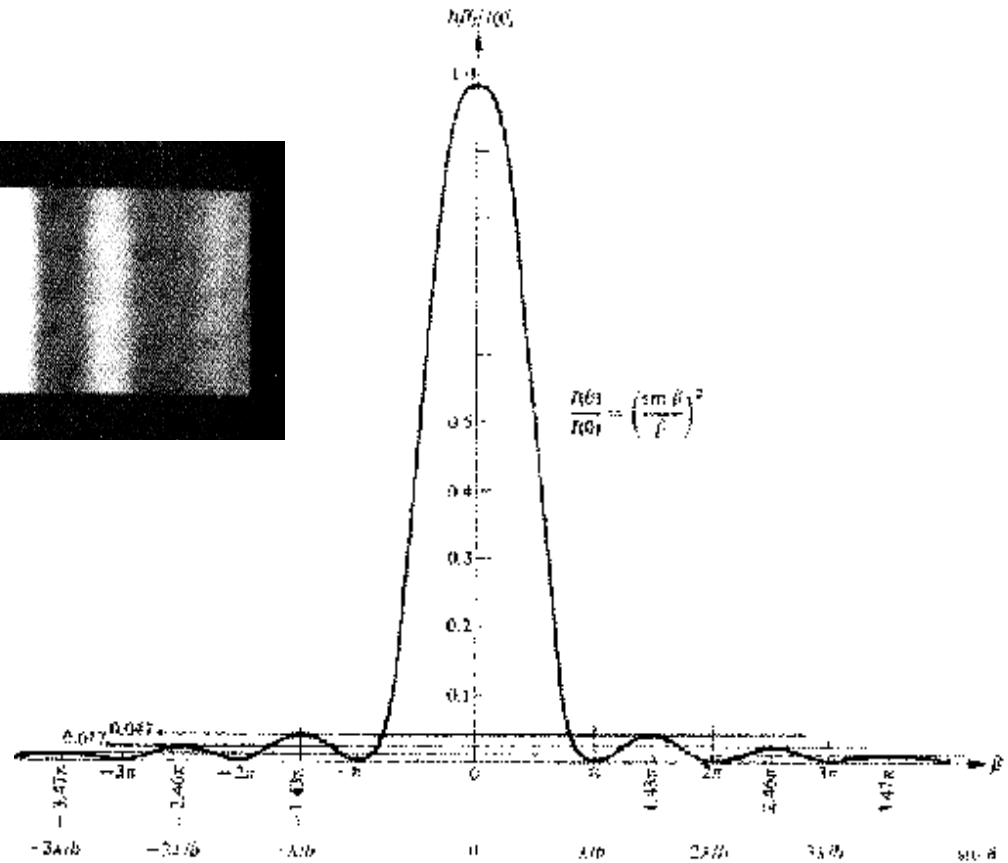
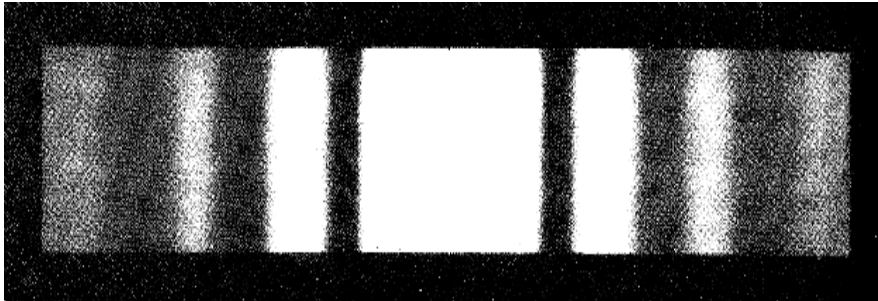
Or:

$$\Delta x_0 \Delta x_1 > z / k$$

The smaller the slit, the larger the diffraction angle and the bigger the diffraction pattern!

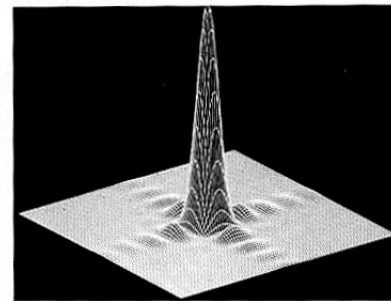
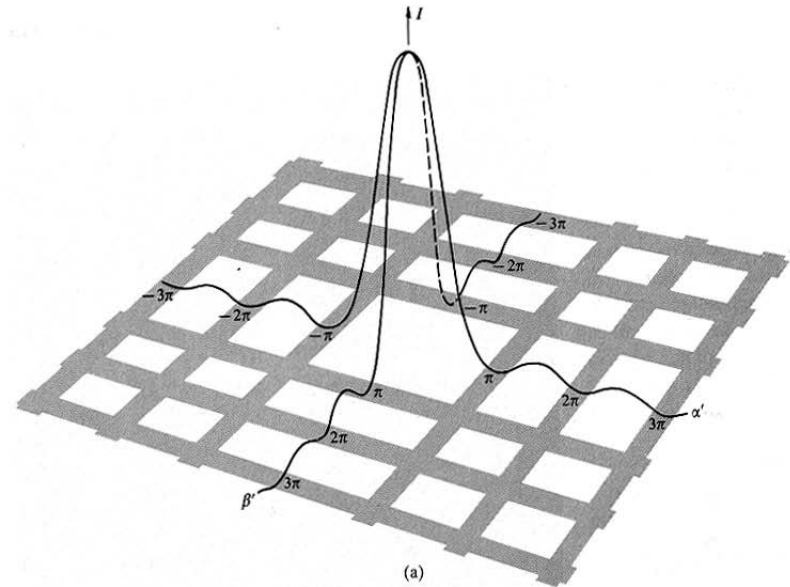
# Fraunhofer Diffraction from a slit

Fraunhofer Diffraction from a slit is simply the Fourier Transform of a rect function, which is a sinc function. The irradiance is then  $\text{sinc}^2$ .

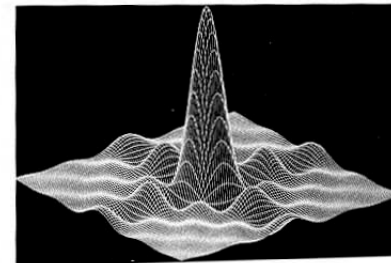


# Fraunhofer Diffraction from a Square Aperture

The diffracted field is a sinc function in both  $x_1$  and  $y_1$  because the Fourier transform of a rect function is sinc.

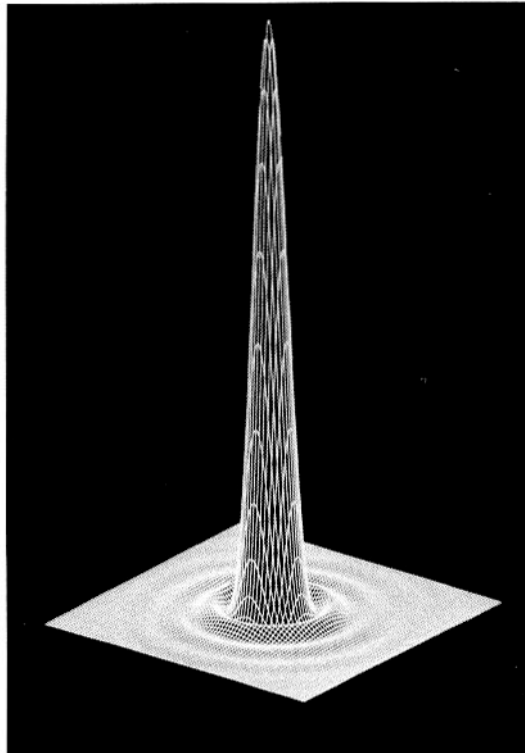


Diffracted irradiance

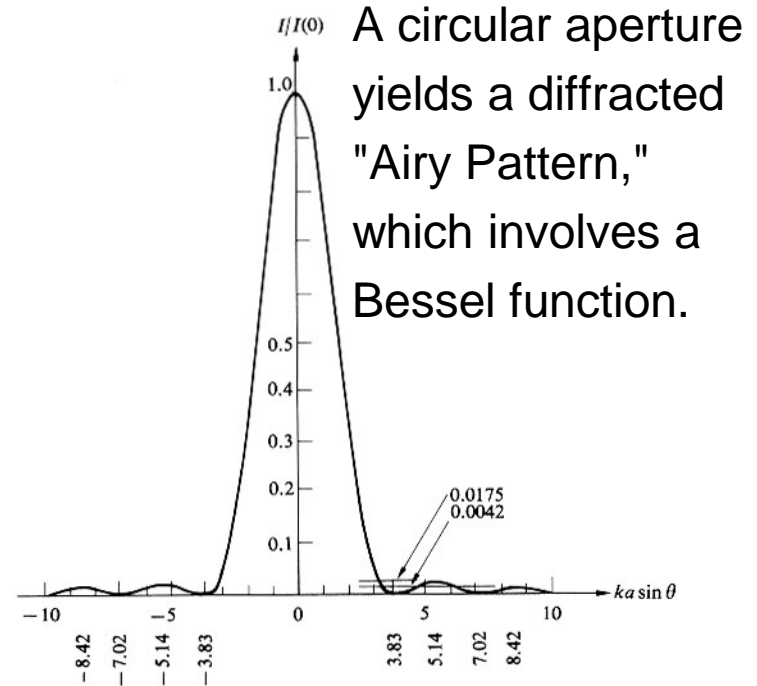


Diffracted field

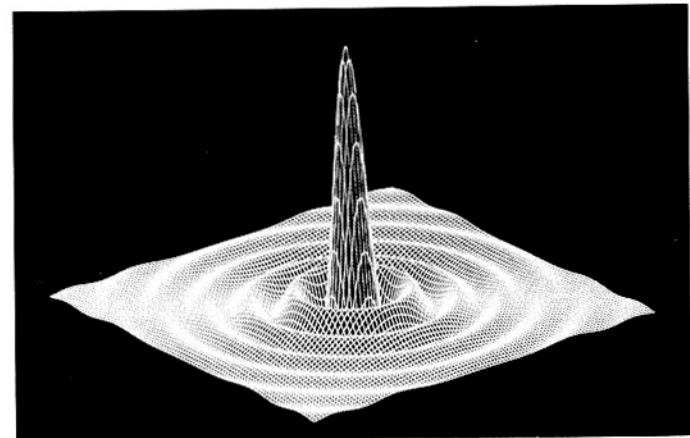
# Diffraction from a Circular Aperture



Diffracted Irradiance



(a)

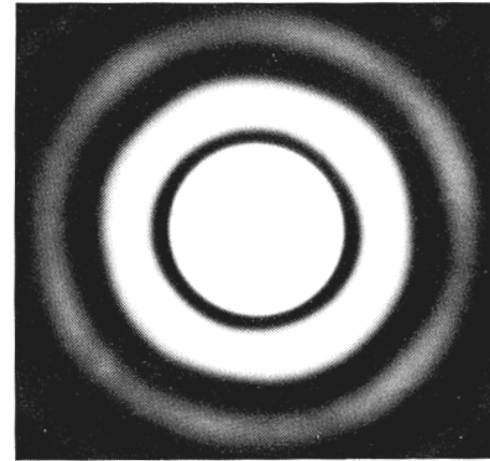


Diffracted field



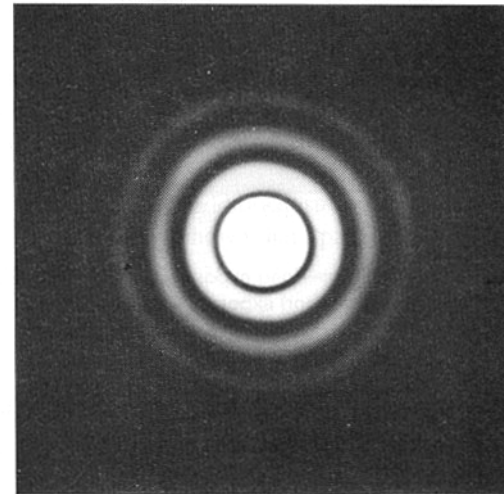
# Diffraction from small and large circular apertures

Far-field  
intensity pattern  
from a small  
aperture

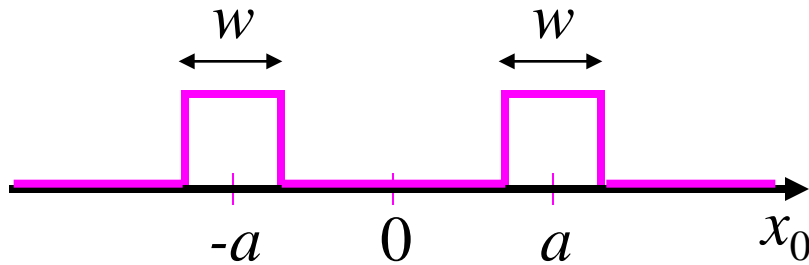


Recall the Scale Theorem!  
This is the Uncertainty  
Principle for diffraction.

Far-field  
intensity pattern  
from a large  
aperture



# Fraunhofer diffraction from two slits

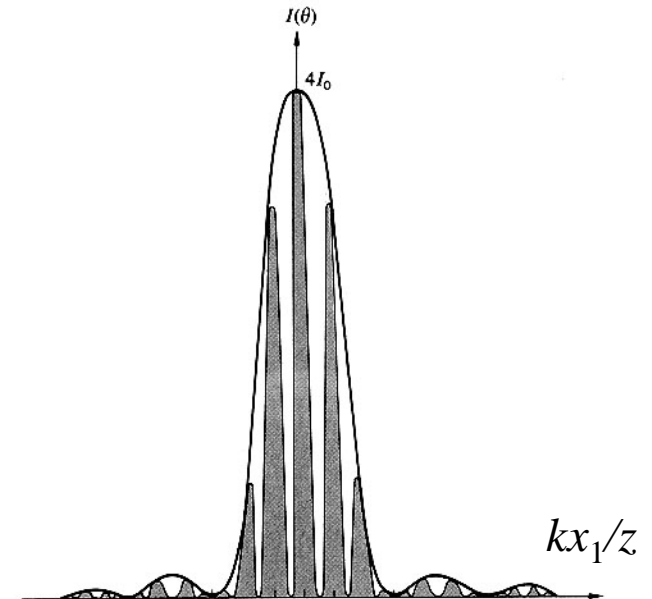
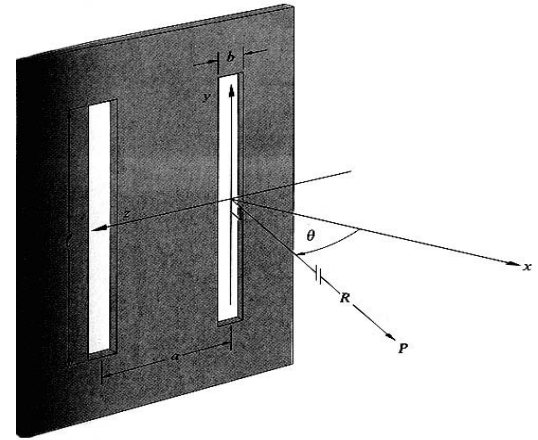


$$A(x_0) = \text{rect}[(x_0+a)/w] + \text{rect}[(x_0-a)/w]$$

$$E(x_1) \propto \mathcal{F}\{A(x_0)\}$$

$$\propto \text{sinc}[w(kx_1/z)/2] \exp[+ia(kx_1/z)] + \text{sinc}[w(kx_1/z)/2] \exp[-ia(kx_1/z)]$$

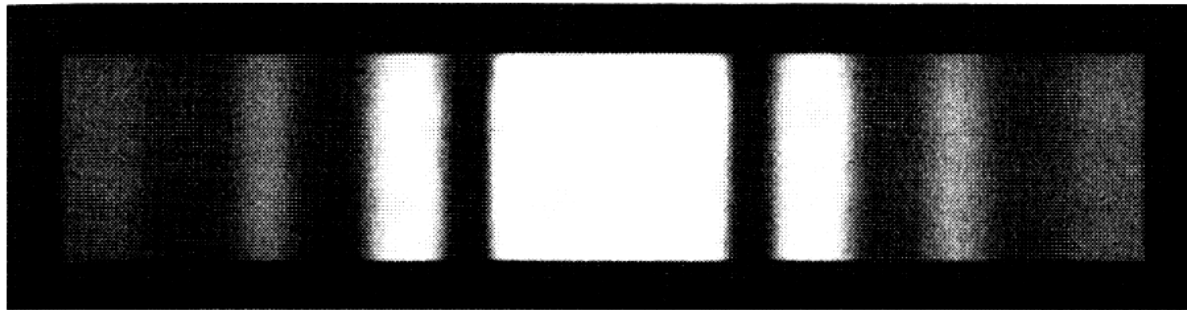
$$E(x_1) \propto \text{sinc}(w k x_1 / 2z) \cos(a k x_1 / z)$$



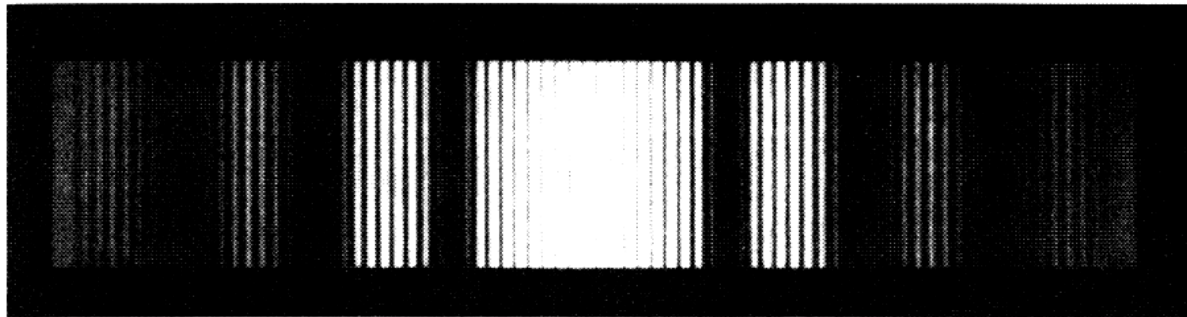
# Diffraction from one- and two-slit screens

Fraunhofer diffraction patterns

One slit



Two slits



## Diffraction Gratings

- Scattering ideas explain what happens when light impinges on a periodic array of grooves. Constructive interference occurs if the delay between adjacent beamlets is an integral number,  $m$ , of wavelengths.

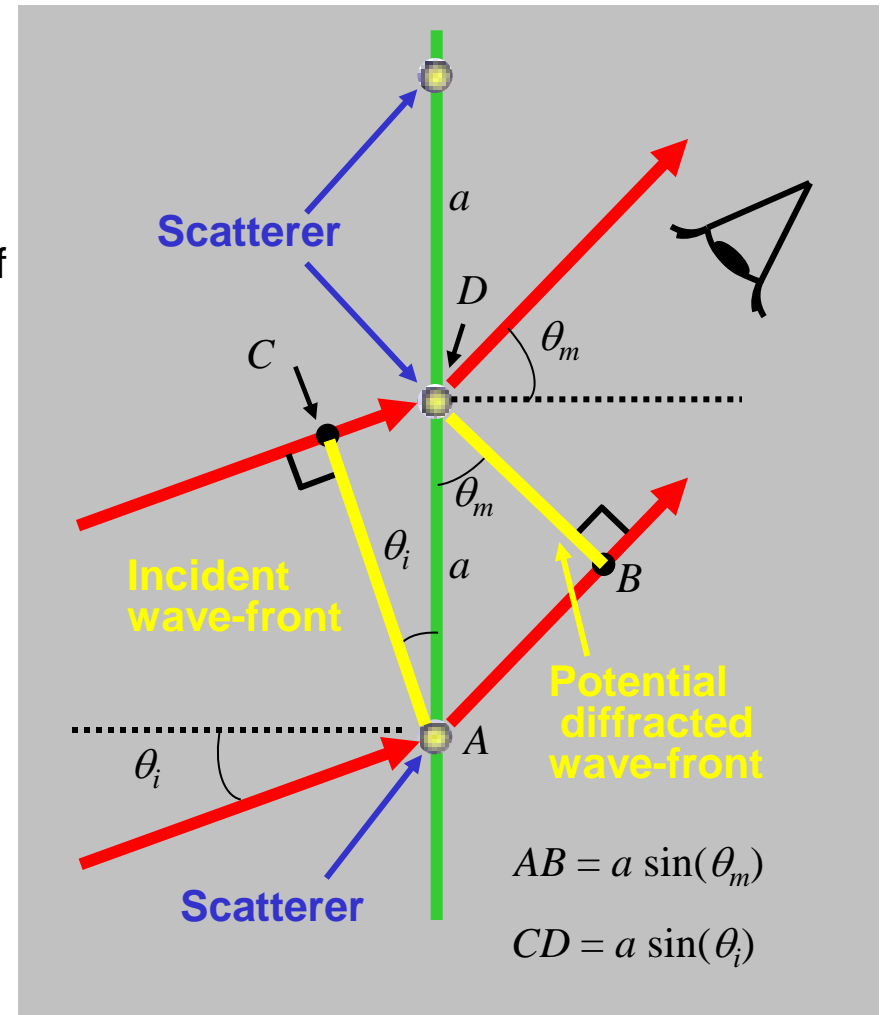
Path difference:  $AB - CD = m\lambda$

$$a [\sin(\theta_m) - \sin(\theta_i)] = m\lambda$$

where  $m$  is any integer.

A grating has solutions of zero, one, or many values of  $m$ , or **orders**.

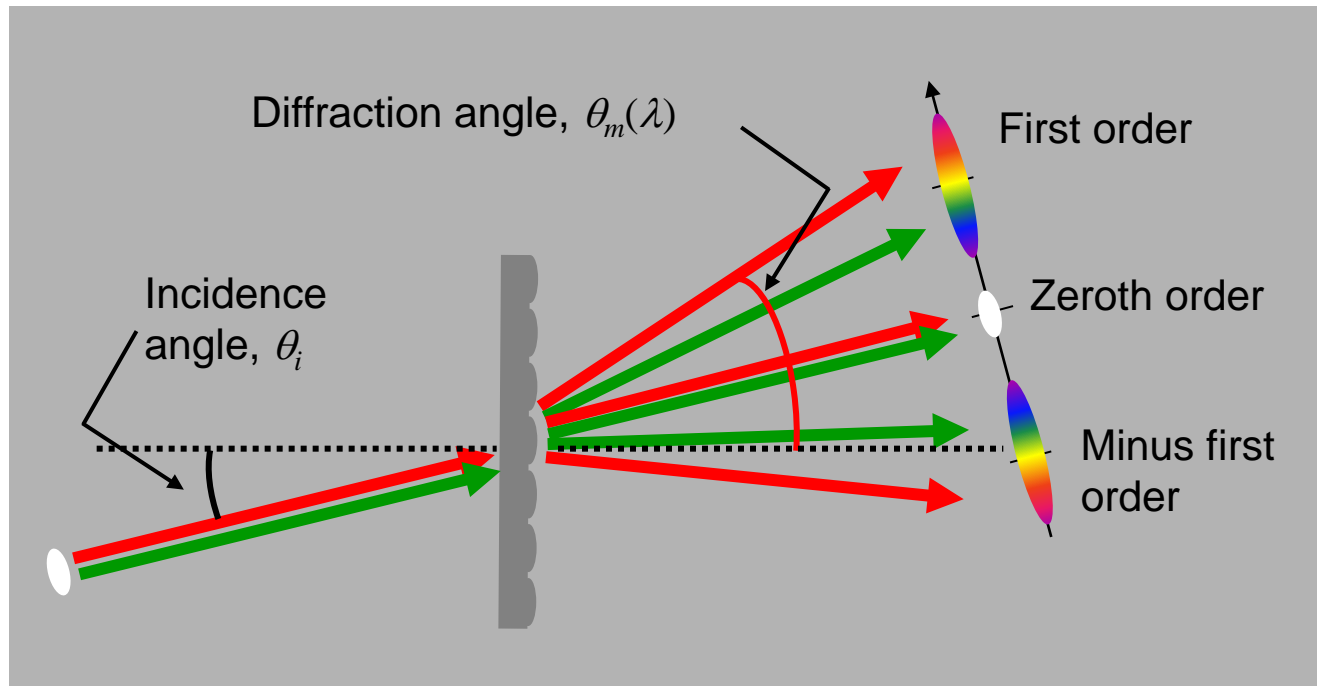
Remember that  $m$  and  $\theta_m$  can be negative, too.





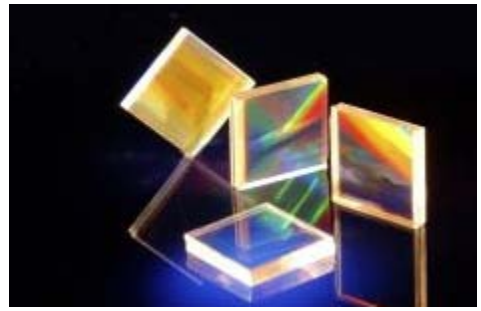
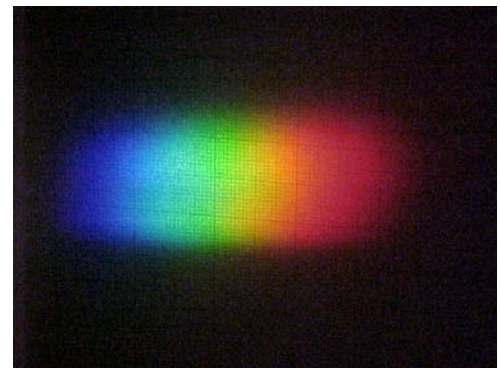
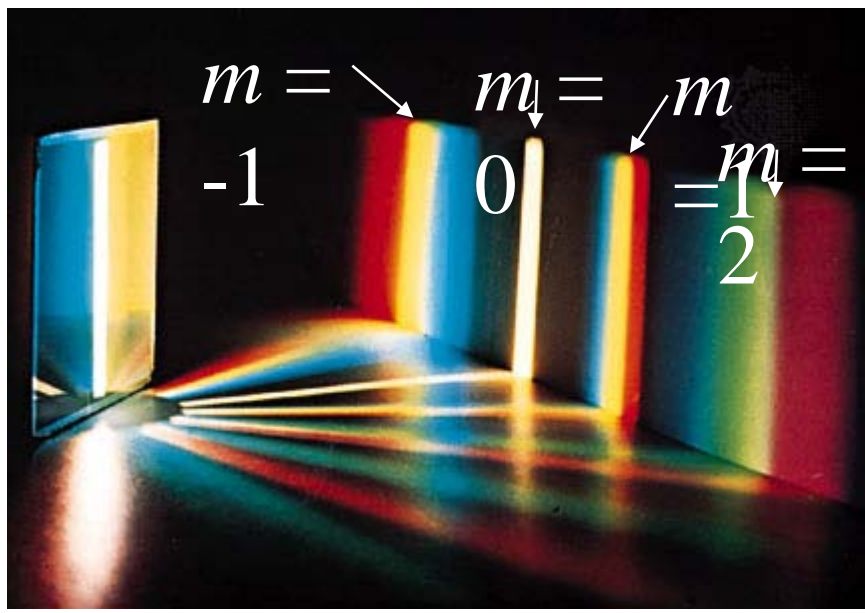
## Diffraction orders

Because the diffraction angle depends on  $\lambda$ , different wavelengths are separated in the nonzero orders.



No wavelength dependence occurs in zero order.

The longer the wavelength, the larger its deflection in each nonzero order.



# World's largest diffraction grating



Lawrence Livermore National Lab