

Vacuum Technology

PHY451

October 22, 2014

Some References

- http://uspas.fnal.gov/materials/13Duke/Duke_VacuumScience.shtml
- http://physics.ucsd.edu/~tmurphy/phys121/lectures/06_vacuum.ppt
- http://www.oerlikon.com/ecomaXL/files/oerlikon_FUNDAMENTALS.PDF&download=1

Vacuum – [1]

Vacuum when gas has the density of the particles less than atmospheric pressure

Vacuum systems necessary to remove and maintain removal of gases in some volume

- **Vacuum used e.g.**

- Oxygen removed from light bulb to protect filament & in food processing to preserve
- Create force for forming plastic sheets
- Deposition to create insulators, conductors, etc
- Electron beam microscope
- Particle accelerators
- Cryogenic system insulation

Vacuum - [2]

Pressure Ranges

- Atmosphere ~760 torr
- Rough Vacuum $\sim 10^{-3}$ torr
- High Vacuum $\sim 10^{-3}$ to 10^{-6} torr
- Very High Vacuum $\sim 10^{-6}$ to 10^{-9} torr
- Ultra High Vacuum $\sim 10^{-9}$ to 10^{-12} torr

Example Values

- Deep space $\sim < 10^{-17}$ torr
- Mars surface ~5 torr
- Lunar surface $\sim 10^{-11}$ torr
- Geosynchronous satellites $\sim 10^{-11}$ torr
- Space station $\sim 10^{-9}$ torr
- Above earth – [12 km (airline) ~140 torr], [32 km ~8torr], [80.5 km $\sim 10^{-3}$ torr]

Vacuum – [3]

- **Gas composition and pressure can have wide variance.**
 - Depending on application – acceptable residual gas density can vary from 10^{10} to 10^4 molecules per cm^3
 - Initial gas composition initially air but later largely driven by pumping technique and source of gas
 - » Initial “vacuum” – mainly air N_2 (78.08%), O_2 (20.95%), CO_2 (0.037%)

General Gas Equation

- $P =$ pressure [Pa; N / m²]
- $T =$ thermodynamic temperature [K]
- $n =$ molecular number density [1 / m³]
- $k =$ Boltzmann's constant $k = 1.380 \cdot 10^{-23}$ J/K

$$P = n \cdot k \cdot T$$

Pressure Units

- **Pressure = Force/Area**
 - E.G., 6 inch diameter plate with vacuum / atmosphere interfaces
→ ~416 pounds force
- **Pressure units**
 - Pascal (N/m²) = Pa, bar = 10⁵ Pa, Torr = mmHg,
 - micron = μmHg, psi = lbs/inch²
 - 1 atmosphere (atm) →
 - » 1.01325 bar = 1.01325 x 10⁵ Pa
 - » 760 torr = 760 mmHg = 760 x 10³ micron
 - » 14.7 psi (pounds per square inch)
 - 1 torr = 133.32 Pa = 1.3332 mbar
- **At standard temperature (273.15 K = 0°C) & pressure (1atm) → 22.414 liters contains 6.02 x 10²³ particles (molecular weight in grams) → molecular density (1/cm³) = 6.02 x 10²³ particles / 22414 cm³ = 2.7 x 10¹⁹ molecules/cm³**
- **At 10⁻³ torr → 2.7 x 10¹⁹ / 760 x 10³ = 3.6 x 10¹³ molecules/cm³**

Maxwell Boltzman – [1]

Gas particles move randomly but with specific velocity given by Maxwell-Boltzmann Distribution = f

- f is probability distribution that is function of particle velocity (v), mass, and temperature T – *velocity does not depend on pressure*

$$f(v, \text{mass}, T) := 4\pi \left(\frac{\text{mass}}{2\pi k T} \right)^{\frac{3}{2}} v^2 e^{-\frac{\text{mass } v^2}{2k T}}$$

– k = Boltzman Constant = $1.380650424 \times 10^{-23}$ (joule/K)

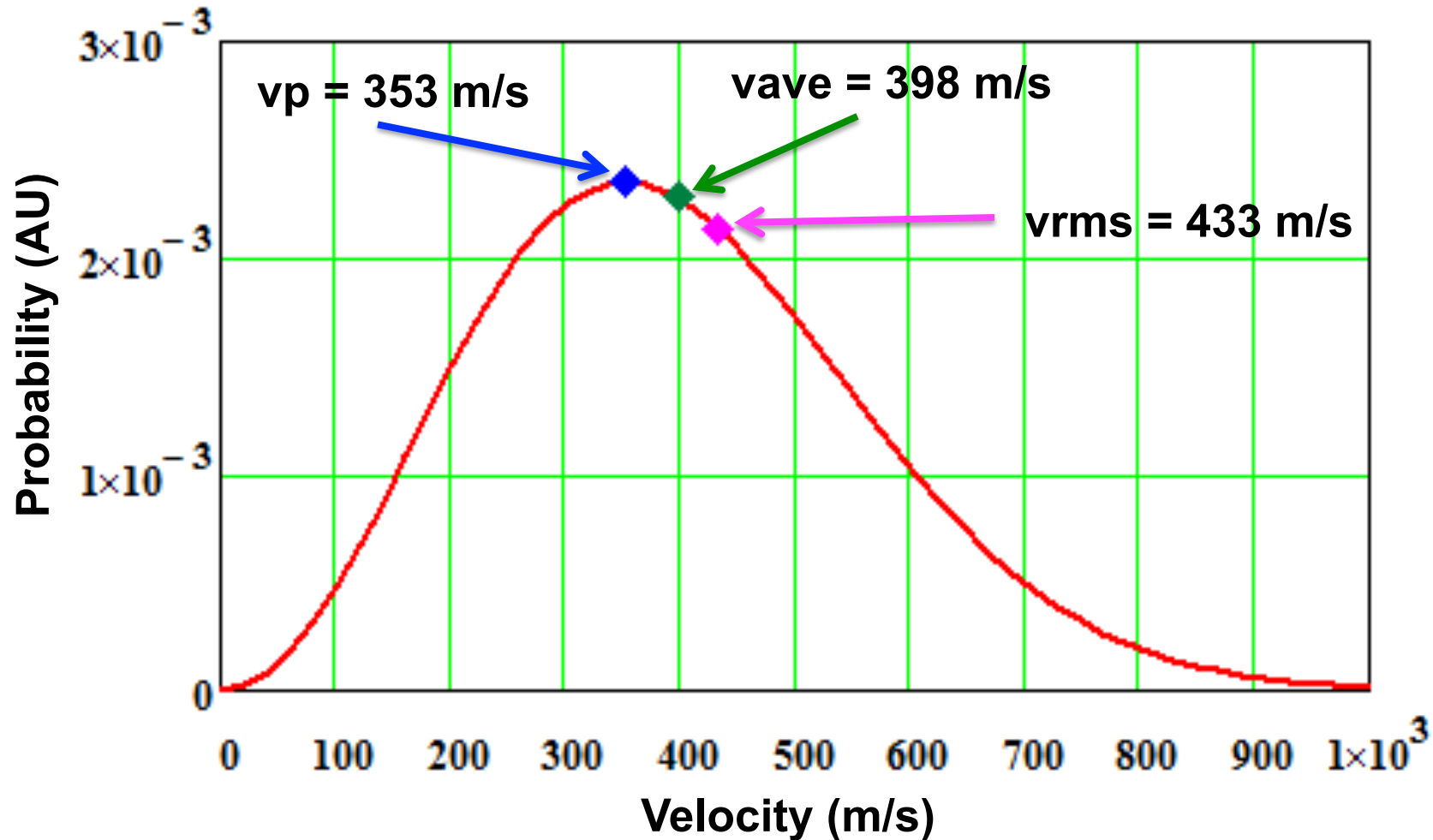
– T = temperature (K)

– Mass = kg = molecular weight in AMU $\times 1.6605402 \times 10^{-27}$ kg/AMU

- Most probable velocity = v_p
- Mean velocity = v_{ave} $\left(\frac{8k T}{\text{mass } \pi} \right)^{0.5}$ $v_{ave} = 1.1284 \times v_p$
- RMS velocity = v_{rms} $\left(\frac{3k T}{\text{mass}} \right)^{0.5}$ $v_{rms} = 1.2247 \times v_p$

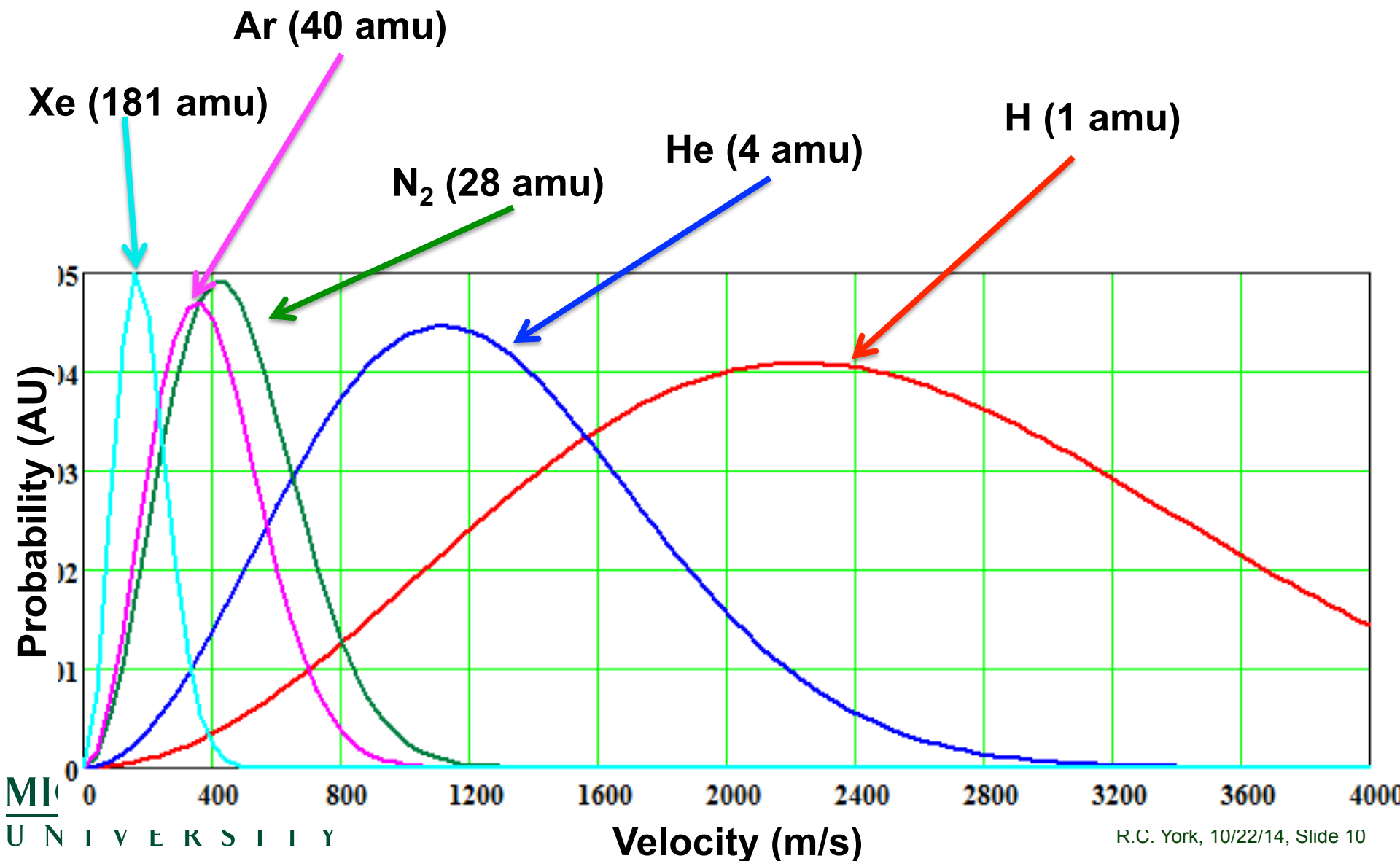
Maxwell-Boltzmann – [2]

Probability velocity distribution for ^{40}Ar at 300 K



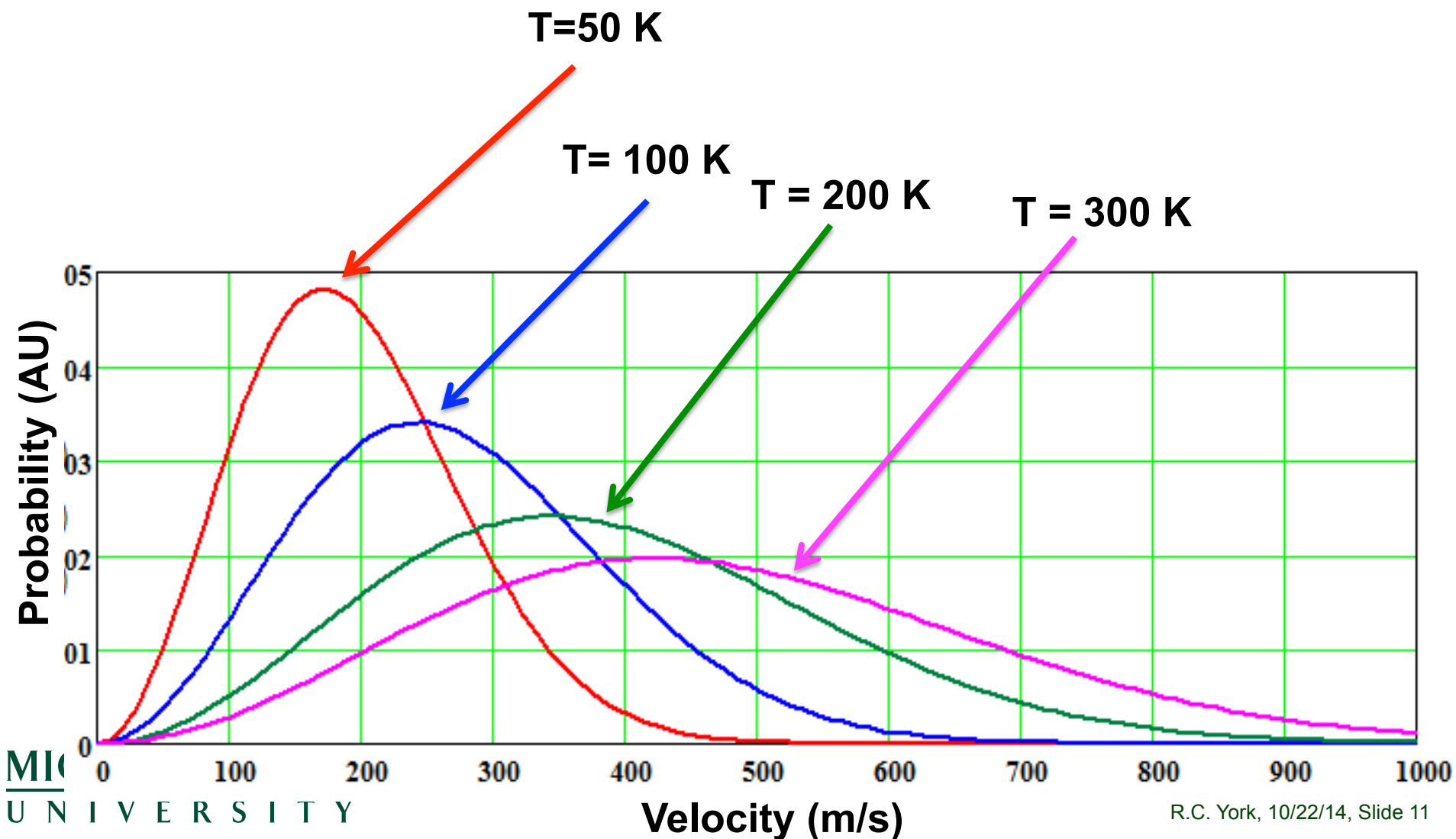
Maxwell-Boltzmann – [3]

Velocity mass dependent ($T = 300\text{ K}$)



Maxwell-Boltzmann – [4]

Velocity temperature (T) dependent (N_2 mass = 28 amu)



Maxwell Boltzman – [5]

Energy depends only on T

- Average energy = $E_{ave} = \text{mass} \times v_{rms}^2/2 = 3kT/2$
- $E(T=50K)=6.5 \text{ meV}$, $E(T=100K)=12.9 \text{ meV}$, $E(T=300K)=38.8 \text{ meV}$
- Most probable energy = $E_p = \text{mass} \times v_p^2/2 = kT/2$

Mean Free Path – [1]

Mean free path (λ) is average distance before colliding with another molecule

$$\bullet \lambda = 1 / [(2)^{0.5} \pi d_o^2 n] = k T / [(2)^{0.5} \pi d_o^2 P]$$

- λ = mean free path (m)
- d_o = diameter of molecule (m)
 - » For Air – average diameter $\sim 3.74 \times 10^{-10}$ m
- n = molecular density (m^{-3})
 - » n (stand. temp. & pressure – STP) = $n_{\text{stp}} \cdot T_{\text{stp}} \cdot P / (T \cdot P_{\text{stp}})$
 - $n_{\text{stp}} (T_{\text{stp}}, P_{\text{stp}}) = 2.7 \times 10^{19}$ molecules/ cm^3
- T = Temperature (K)
- P = Pressure (Pa)
- k = Boltzmann constant = $1.38 \times 10^{-23} \text{ m}^2 \text{ kg s}^{-2} \text{ K}^{-1}$

Mean Free Path – [2]

Mean free path (λ) is average distance before colliding with another molecule - **values shown for air T=303.15 K (30 °C)**

- λ (cm) = $1.646 \times 10^{-5} T$ (K)/P(torr)
- λ (cm) = $2.1945 \times 10^{-3} T$ (K)/P(Pa)
- Once λ similar to vacuum vessel dimension – only collisions with vacuum walls dominate – not molecules colliding with molecules
 - i.e. $<10^{-3}$ torr

P(torr)	760	1	10^{-3}	10^{-6}	10^{-9}
λ (cm)	6.6×10^{-6}	5.0×10^{-3}	5.0	5.0×10^3	5.0×10^6

Molecular Collisions

Rate of gas striking surface – Γ (molecules per $\text{m}^{-2}\text{s}^{-1}$):

- $\Gamma(\text{m}^{-2}\text{s}^{-1}) = n \text{ vave}/4 = n (kT/(2\pi m))^{0.5}$ where n = gas density
- Since for Air – average diameter $\sim 3.74 \times 10^{-10} \text{ m}$
 - Area $\sim 1.1 \times 10^{-19} \text{ m}^2$
- Then for N_2 at 10^{-6} torr
 - get monolayer in $1.1 \times 10^{-19} \times 3.9 \times 10^{18} \sim 0.4 \text{ s}$

P (torr)	n (m^{-3})	Particle Flux ($\text{m}^{-2}\text{s}^{-1}$)		
		H_2	H_2O	N_2
760	2.5×10^{25}	1.1×10^{28}	3.7×10^{27}	2.9×10^{27}
10^{-6}	3.2×10^{16}	1.4×10^{19}	4.8×10^{18}	3.9×10^{18}

Gas Flow – [1]

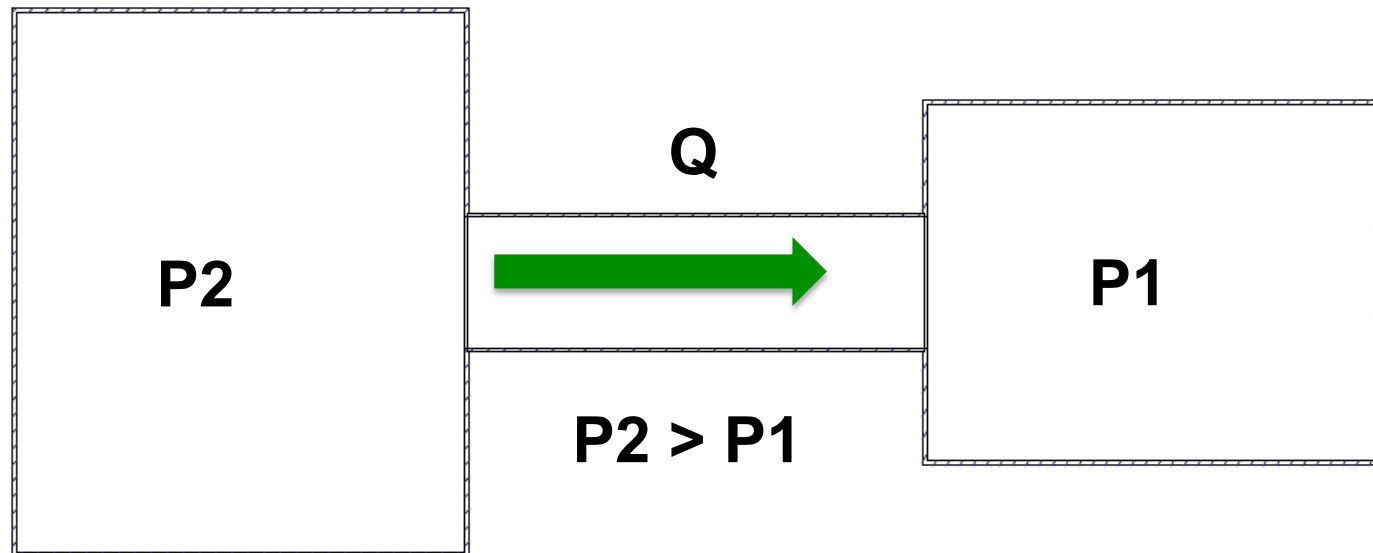
Knudsen number (Kn) is ratio of mean free path and flow channel diameter (a)

$$Kn = \lambda / a$$

- If $Kn < 0.01$ flow driven by molecule-molecule collisions
 - Molecules travel in uniform motion toward lower pressure
 - Can be laminar or turbulent
- If $0.01 < Kn < 1$ flow is in transition
- If $Kn > 1$ flow driven by molecule-wall collisions

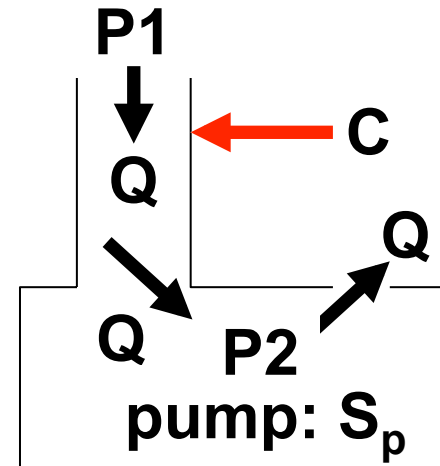
Gas Flow – [2]

- **Throughput = Q = gas flow rate = $d(PV)/dt$**
 - Q in $\text{Pa}\cdot\text{m}^3/\text{s}$ (= 7.5 torr-liter/s)
- **Gas conductance = $C = Q/(P_2 - P_1)$**
 - P_1 = pressure outlet, P_2 = pressure inlet
 - C in m^3/s (= 1000 liter/s)
- **Pumping speed = $S = dV/dt$ (liters/second)**
- **$Q = (P_2 - P_1) \times C$**



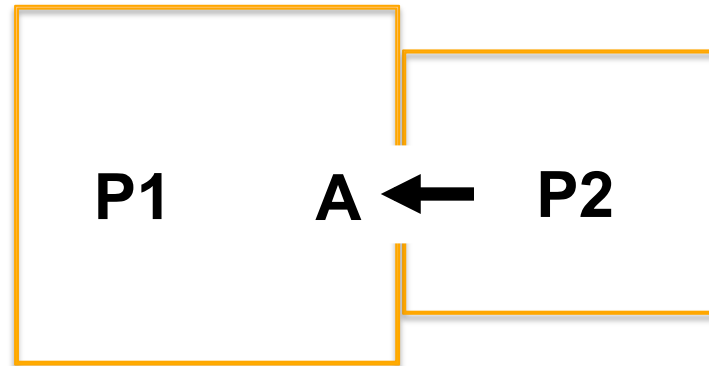
Evacuation Rate

- Evacuation rate at chamber $S_{eff} = Q/P1$
- Evacuation rate at pump is $S_p = Q/P2$
- $Q = \text{constant (mass conserved)} = (P1-P2)C$
- Combining get $1/S_{eff} = 1/S_p + 1/C$ (like resistors in parallel)
 - Most restrictive will dominate
- **E.G.**
 - if pump has $S_p = 100 \text{ liter/s}$
 - C for round tube is $C = 12.1 D^3/L$ (liter/sec), $D = \text{ID of tube (cm)}$, $L = \text{length of tube (cm)}$ – **NOTE diameter dependence to 3rd power**
 - » For $ID = 6$, $L = 30 \text{ cm}$ then $C = 86 \text{ liter/sec}$
 - » $S_{eff} = 46 \text{ liter/sec}$ or pumping speed reduced to 46% by tube conductance!



Conductance

- Round Tube of diameter D , Length $L \rightarrow C(\text{m}^3/\text{s}) \propto (T/M\mu\text{amu})^{0.5} D^3/L$
 - For Air ($M\mu\text{amu}=29$ at 22°C) $C = 12.1 D^3/L$ (liters/sec)
- For aperture $A \rightarrow C(\text{m}^3/\text{s}) \propto (T/M\mu\text{amu})^{0.5} A(\text{m}^2)$
 - For Air ($M\mu\text{amu}=29$ at 22°C) $C(\text{liters/sec}) = 11.6 A (\text{cm}^2)$



$$P2 > P1$$

Pump-down time

To go from pressure P1 to P2 takes time t

- $t = V(\text{liters})/S(\text{liter/s}) \times \ln(P1/P2)$

- E.G., If $V = 10$ liters, $S = 10$ liter/sec $P1 = 760$ torr, $P2 = 10^{-6}$ torr

- $t \sim 18$ s

- **BUT other sources to vacuum load – e.g. water evaporating from vacuum surfaces – make time dramatically longer – e.g. hours**

Mechanical Pumps

Roughing pump

- To begin process from \sim atmosphere down to $\sim 10^{-3}$ torr
- Uses oil to seal between rotating blade to push molecules from inlet to outlet

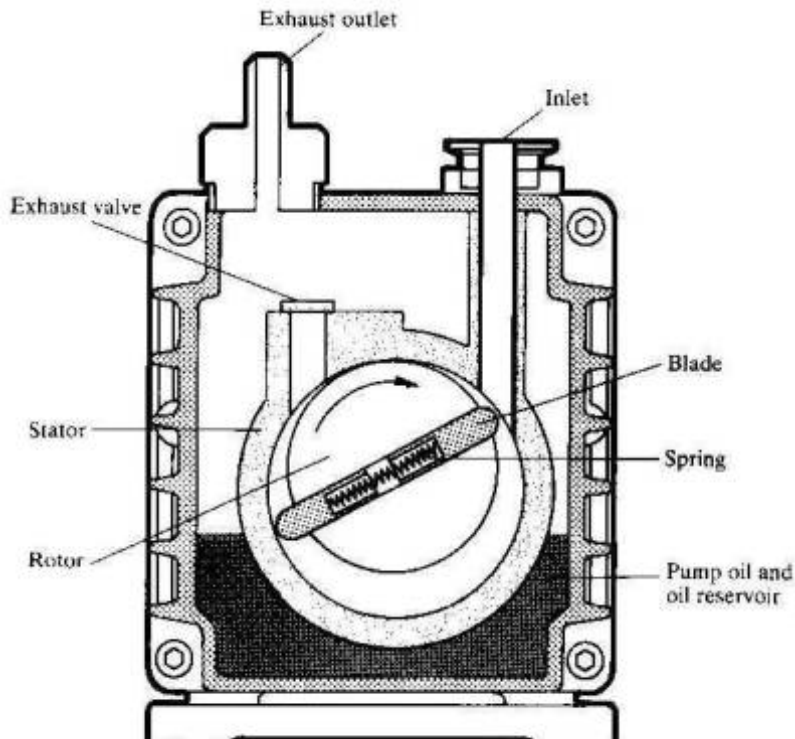
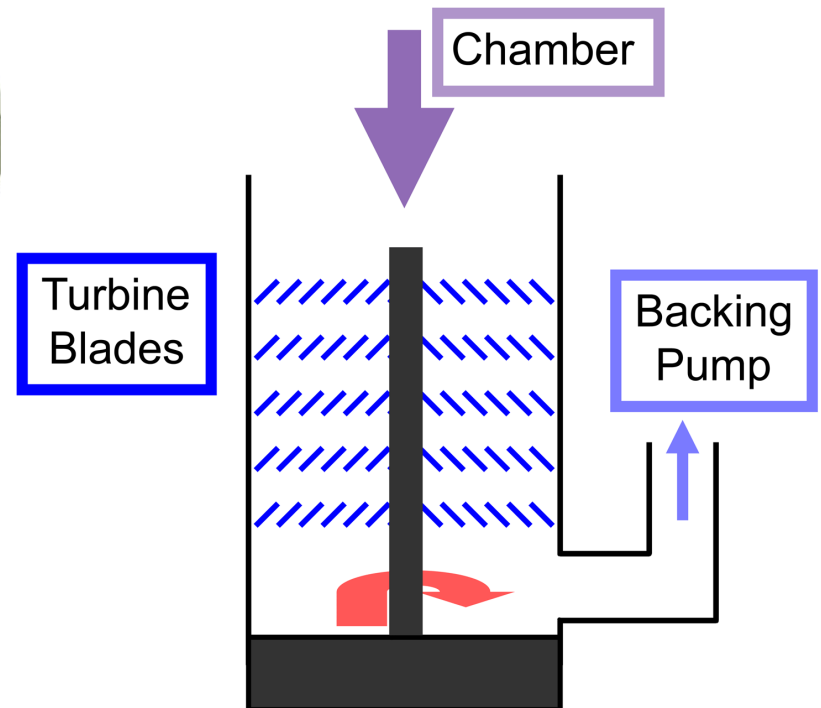


Figure from: http://physics.ucsd.edu/~tmurphy/phys121/lectures/06_vacuum.ppt

Turbomolecular Pumps

- Turbomolecular pumps often used at $<10^{-2}$ torr as next stage pumping after mechanical (roughing) pump
- Turbomolecular pump is connected to mechanical (backing) pump so that pressure differential is between chamber vacuum and $\sim 10^{-3}$ torr of roughing (backing) pump
- Rotating blades ($\sim 24,000$ rpm) push molecules from chamber to backing pump get vacuums $<\sim 10^{-6}$ torr

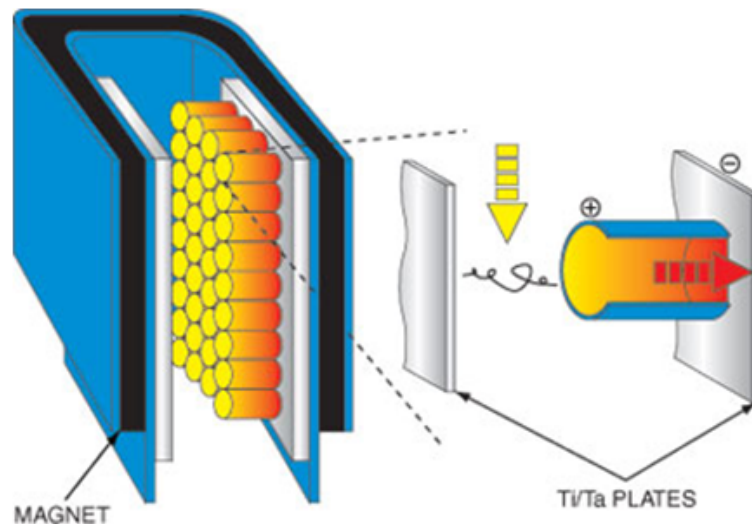


Cryogenic Pumps

- Cryogenic pumps have surface cooled to LN_2 (77 K) or He (4 K)
- Pumping action is “freezing” molecules on cold surface
- Pumping speed determined by surface area
- Can be very fast and remove largest components of gas (e.g. H_2O and N_2)
- BUT – requires cryogenics to make/maintain temperature
- BUT – additional surface layers of “frozen molecules” makes pump increasingly less effective
 - Then needs to be “regenerated” – warmed up to release frozen molecules
 - If not isolated from vacuum system before regeneration – then full accumulated gas load dumped back into system
- Also used as “cold trap” to prevent e.g. carbon-based (oil from roughing pump) from contaminating vacuum chamber

Ion Pump

- Removes molecules by ionizing molecules that are then driven by voltage to chemically active surface
- Typically for Ultra-High Vacuum applications (10^{-11} torr)
 - Used after Turbomolecular or when vacuum $< \sim 10^{-5}$ torr
 - Mechanically simple – but only for very low pressures
- Current from ionized molecules is proportional to pressure – so can use current as pressure gauge



Principle of
Operation



External View