

## THE NEARLY DEGENERATE IDEAL BOSE-EINSTEIN GAS

*Review some results from the last lecture...*

First we assumed that there is no Bose-Einstein (BE) condensate at temperature  $T$ .

Then ...

$$N(\mathbf{k}) = \frac{1}{C e^{E(\mathbf{k})/kT} - 1} \quad (\text{bosons})$$

where  $E(\mathbf{k}) = \hbar^2 k^2 / (2m)$ ;

and

$$N_{\text{tot}} = \sum_{\mathbf{k}} N(\mathbf{k}) = \frac{\Omega}{(2\pi)^3} \int \frac{d^3k}{C e^{E/kT} - 1}$$

But then we found that there is no solution for  $T < T_c$  where

$$\nu = \frac{\sqrt{2}}{2\pi^2} \left( \frac{m k T_c}{\hbar^2} \right)^{3/2} f(0)$$

So for  $T < T_c$  there must be a significant fraction of particles in the ground state (i.e.,  $\mathbf{k} = 0$ );

$$N_{\text{tot}} = N(0) + \frac{\Omega}{(2\pi)^3} \int \frac{d^3k}{e^{E/kT} - 1}$$

↓  
 $N(0) = F N_{\text{tot}}$

That is the IDEAL Bose-Einstein gas. Now include the effects of  $H_I$ , to estimate the *excitation energies*.

Consider very low T ; i.e.,  $T \ll T_c$

Remember this: If many particles are in the same quantum state then the field behaves *almost classically*.

We have  $[b_0, b_0^\dagger] = b_0 b_0^\dagger - b_0^\dagger b_0 = 1$  ;  
but this is  $\ll$  the number operator  $b_0^\dagger b_0$ ,  
which is of order  $N_{\text{tot}}$ .

So we can approximate

$$b_0^\dagger b_0 \approx N \quad \text{and} \quad b_0 \approx b_0^{\overline{\dagger}} \approx \sqrt{N} ;$$

in other words, we can approximate these operators by c-numbers.

And what about the operators  $b_k$  and  $b_k^\dagger$   
with  $k \neq 0$  ?

*As T approaches 0, we can neglect higher order products of  $b_k$  and  $b_k^\dagger$ .*

We start again with

$$H = \sum_k \hbar^2 k^2 / (2m) b_k^\dagger b_k + (1/\Omega) \sum_{k_1, k_2, q} v(\mathbf{q}) b_{k_1+q}^\dagger b_{k_2-q}^\dagger b_{k_2} b_{k_1}$$

and make some approximations.

- ▮  $b_0 \approx b^\dagger \approx \sqrt{N}$  ;
- ▮ neglect terms cubic or quartic in  $b_k$  and  $b_k^\dagger$  for  $k \neq 0$  ;
- ▮  $b_0^2 + \sum_k b_k^\dagger b_k = N$  ; so  $b_0^4 = N^2 - 2N \sum b^\dagger b$
- ▮ for simplicity, write  $v(\mathbf{q}) = v_0$  (constant).

$$V \approx N^2 v_0 / \Omega + N v_0 / \Omega \sum_q ( b_q^\dagger b_{-q}^\dagger + b_q b_{-q} + 2 b^\dagger b_q )$$

$$n = N/\Omega$$

## *The canonical transformation*

(Bogoliubov, 1947)

Let  $L_k$  be a c-number, to be determined.

Define

$$M = \sqrt{1 - L_k^2}$$

$$a_k = (b_k + L_k b_{-k}^\dagger) / M$$

$$a_k^\dagger = (b_k^\dagger + L_k b_{-k}) / M$$

Note that the commutation relations are invariant,

$$[a_k, a_{k'}^\dagger] = \delta_{k, k'} ;$$

$$[a_k, a_k] = 0 \text{ and } [a_k^\dagger, a_k^\dagger] = 0 ;$$

i.e., the same as for the  $b_k$  and  $b_k^\dagger$  .

The inverse transformation is

$$b_k = (a_k - L_k a_{-k}^\dagger) / M$$

$$b_k^\dagger = (a_k^\dagger - L_k a_{-k}) / M$$

Now rewrite the Hamiltonian in terms of  $a_k$  and  $a_k^\dagger$  .

$$H = \sum_k \hbar^2 k^2 / (2m) b_k^\dagger b_k + N n v_0 \\ + n v_0 \sum_k (b_k^\dagger b_{-k}^\dagger + b_k b_{-k} + 2 b_k^\dagger b_k)$$

There will be terms proportional to  $a_k a_{-k}$  and  $a_k^\dagger a_{-k}^\dagger$  . Make their coefficients 0 by a suitable choice of  $L_k$  . Then the remaining terms will be proportional to  $a_k^\dagger a_k \dots$

After several pages of algebra,

Start with  $H = \sum_k \frac{\hbar^2 k^2}{2m} a_k^\dagger a_k + m s \sum_k (k_x^2 a_k^\dagger a_{k_x} + k_y^2 a_k^\dagger a_{k_y} + 2i k_x k_y a_k^\dagger a_{k_x k_y})$

Let  $a_k = (a_{k_x} + i a_{k_y})/M$   $M = \sqrt{2} m s$

then  $a_k^\dagger = (a_{k_x}^\dagger - i a_{k_y}^\dagger)/M$

[ $a_{k_x}, a_{k_y}^\dagger$ ] =  $\frac{1}{M} [a_{k_x}, a_{k_x}^\dagger + i a_{k_y}^\dagger - i a_{k_x}^\dagger + a_{k_y}^\dagger] = \frac{1}{M} [2 a_{k_x}^\dagger a_{k_x} + 2 i a_{k_x}^\dagger a_{k_y}^\dagger]$

Similarly  $[a_{k_x}, a_{k_x}^\dagger] = 2 \delta_{k,0}$  ✓

Similarly  $[a_{k_y}, a_{k_y}^\dagger] = 2 \delta_{k,0}$  ✓

Substitute into H

$H = \sum_k \frac{\hbar^2 k^2}{2m} \frac{1}{M} (a_{k_x}^\dagger - i a_{k_y}^\dagger)(a_{k_x} + i a_{k_y}) + m s \sum_k \frac{1}{M} [2 a_{k_x}^\dagger a_{k_x} + 2 i a_{k_x}^\dagger a_{k_y}^\dagger] (a_{k_x} + i a_{k_y})$

$= \sum_k a_k^\dagger a_k + C_1 a_{k_x}^\dagger + C_2 a_{k_y}^\dagger + C_3 a_{k_x}^\dagger a_{k_y}^\dagger$

Coefficient of  $a_k^\dagger$  must be 0 ⇒

$\frac{\hbar^2 k_x^2}{2m} (-1) + m s (k_x + i k_y) = 0$

$\frac{\hbar^2 k_y^2}{2m} (-i) + m s (i k_x - k_y) = 0$

Coefficient of  $a_k^\dagger a_k^\dagger$

$C_1 = \frac{\hbar^2 k_x^2}{2m} \frac{1}{M} (H_C) + 2 m s \frac{1}{M} \times (-k_x - i k_y - k_x - i k_y)$

$C_2 = \frac{\hbar^2 k_y^2}{2m} \frac{1}{M} \frac{1}{i} + 2 m s \frac{1}{M} \frac{1}{i} (-k_x + i k_y)$

$= \frac{\hbar^2 k_x^2}{2m} \frac{1+i}{i} + \frac{\hbar^2 k_y^2}{2m} \frac{1-i}{i} + \frac{2 m s}{i} \frac{1+i}{M} (-k_x + i k_y)$

$= \frac{\hbar^2 k_x^2}{2m} \frac{1+i}{i} - \frac{\hbar^2 k_y^2}{2m} \frac{1-i}{i} - \frac{2 m s}{i} \frac{1+i}{M} (k_x - i k_y)$

Let  $A = \frac{\hbar^2 k_x^2}{2m} \frac{1+i}{i}$  and  $B = 2 m s$

Then  $-A k_x + B (k_x - i k_y) = 0$   $\Rightarrow B k_x - A k_x - i B k_y = 0$

$B k_x - 2(A + i B) k_x + B k_y = 0$

$L = 2(A + i B) = \sqrt{2(A^2 - B^2)} = \frac{A + B - \sqrt{(A+B)^2 - B^2}}{B}$

$C_k = A \frac{1+i}{i} \frac{1}{L}$  where  $L = \frac{A+B - \sqrt{(A+B)^2 - B^2}}{B}$

$(A = \frac{\hbar^2 k_x^2}{2m} \frac{1+i}{i}; B = 2 m s)$

$C_k = A \frac{B+iB}{B^2 - B^2} = A \frac{B+(A+iB) - \sqrt{(A+B)^2 - B^2}}{B^2 - (A+iB)^2 + \sqrt{(A+B)^2 - B^2}}$

$= A \frac{\sqrt{B+(A+iB)} - \sqrt{B+(A+iB)} - \sqrt{(A+B)^2 - B^2}}{B^2 - (A+iB)^2 + \sqrt{(A+B)^2 - B^2}}$   $\left( \frac{C_1 = C_2}{C_1 = -C_2} \right)$

$= A \frac{\sqrt{(A+B)^2 - B^2}}{\sqrt{A^2 + 2AB}}$

$C_k = \sqrt{\left(\frac{\hbar^2 k_x^2}{2m}\right)^2 + 4 m s \frac{\hbar^2 k_x^2}{2m}}$

$= \sqrt{\left(\frac{\hbar^2 k_x^2}{2m}\right)^2 + 4 m s \frac{\hbar^2 k_x^2}{2m}}$

$L = \frac{1}{B} (A+B - \sqrt{A^2 + 2AB})$

$C_k = \sqrt{\left(\frac{\hbar^2 k_x^2}{2m}\right)^2 + 4 m s \frac{\hbar^2 k_x^2}{2m}}$

the result is \_\_\_\_\_

$$L_k = \frac{1}{2 m v_0} \left\{ \frac{\hbar^2 k^2}{2m} + 2 m v_0^2 - E(k) \right\}$$

where

$$E(k) = \sqrt{\left(\frac{\hbar^2 k^2}{2m}\right)^2 + 4 m v_0^2 \frac{\hbar^2 k^2}{2m}}$$

then

$$H = N m v_0^2 + \sum_k E(k) a_k^\dagger a_k$$

## Quasi-particles

The final hamiltonian (H) describes a theory of noninteracting "particles" with single "particle" energies =  $\epsilon(k)$ .

Quasi-particles

- For long wavelengths,  $(k = 2\pi / \lambda; \therefore \text{long wavelengths} \Rightarrow \text{small } k)$

$$\epsilon(k) \approx c_s \hbar k \quad (\text{units?})$$

$$c_s = \sqrt{2 m v_0^2 / m}$$

= the speed of sound

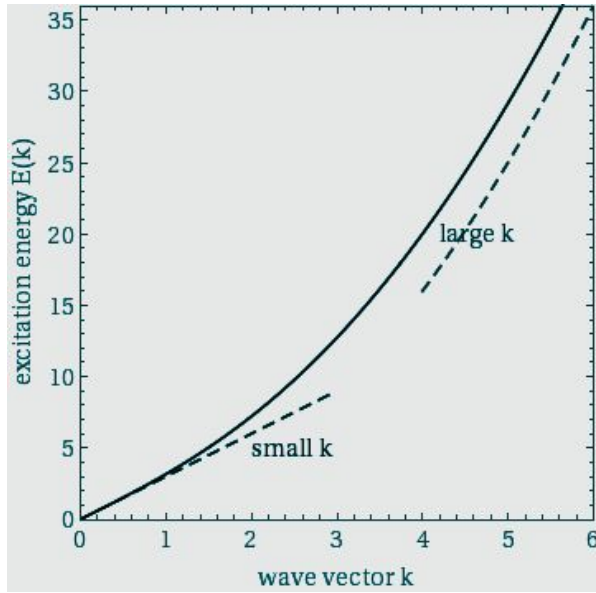
These quantized waves are called **phonons** (a "collective motion").

- For short wavelengths, (large k)

$$\epsilon(k) \approx \hbar^2 k^2 / 2m$$

so these approximate single atoms w/  $w.v. = k$

## Excitations of the BE condensate

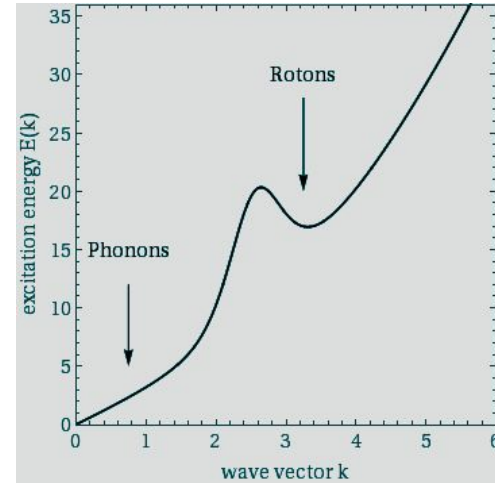


### *Superfluidity of He-4*

Consider a large object moving slowly through the superfluid. Although there is no true energy gap, excitation of phonons is negligible; the density of phonon states is small down to  $k = 0$ .

## Superfluid He-4

Landau proposed that the spectrum of excitations in the superfluid phase of He-4 looks like this:



Landau and Lifschitz (19??);  
Onsager (1947);  
Feynman (1955)

## Superfluid helium-4

From Wikipedia, the free encyclopedia.

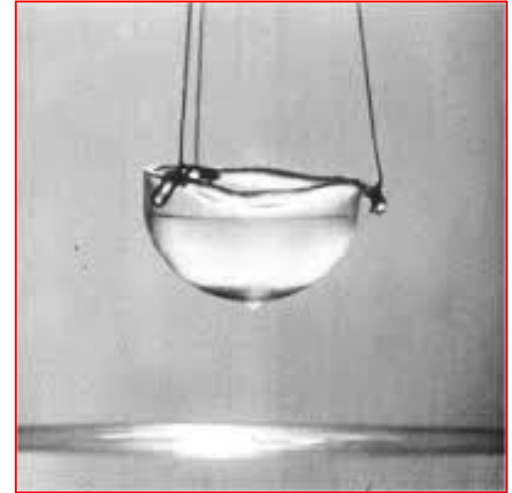
A superfluid is a state of matter in which the matter behaves like a fluid with zero viscosity. The substance, which looks like a normal liquid, flows without friction past any surface, which allows it to continue to circulate over obstructions and through pores in containers which hold it, subject only to its own inertia.

L. D. Landau's phenomenological and semi-microscopic theory of superfluidity of helium-4 earned him the Nobel Prize in physics, in 1962. Assuming that sound waves are the most important excitations in helium-4 at low temperatures, he showed that helium-4 flowing past a wall would not spontaneously create excitations if the flow velocity was less than the sound velocity. In this model, the sound velocity is the "critical velocity" above which superfluidity is destroyed.

Landau thought that vorticity entered superfluid helium-4 by vortex sheets, but such sheets have since been shown to be unstable. Lars Onsager and, later independently, Feynman showed that vorticity enters by quantized vortex lines. They also developed the idea of quantum vortex rings. Hendrik van der Bijl in the 1940s,<sup>[23]</sup> and Richard Feynman around 1955,<sup>[24]</sup> developed microscopic theories for the roton, which was shortly observed with inelastic neutron experiments by Palevsky. Later on, Feynman admitted that his model gives only qualitative agreement with experiment.<sup>[25][26]</sup>

I, Alfred Leitner, took this photograph as part of my movie "Liquid Helium, Superfluid" - Own work (1962)

The liquid helium is in the superfluid phase. A thin invisible film creeps up the inside wall of the cup and down on the outside. A drop forms. It will fall off into the liquid helium below. This will repeat until the cup is empty - provided the liquid remains superfluid.



Homework Problem due Friday, February 26

**Problem 26.**

Read this paper:

Bewley, G. P., Lathrop, D. P. and Sreenivasan, K. R.  
(2006). Visualization of quantized vortices. Nature. 441,  
588.

In one paragraph (*written in your own words*) with  
one figure (*drawn by you*),  
summarize the paper.