# Quantum Field Theories for Quantum Many-Particle Systems;

or

## "Second Quantization"

#### <u>Outline</u>

- 1) Bosons and Fermions
- 2) N-particle wave functions ("first quantization")
- 3) The method of quantized fields ("second quantization")

#### **Motivation**

- Quantum field theory can be used as a mathematical technique for describing many-particle systems with identical particles.
- ☐ There are many applications:
  - atomic physics many electron atoms
  - nuclear physics many protons and neutrons
  - condensed matter physics many atoms in quantum statistical mechanics; superfluids, metals, etc.
- ☐ This topic is not in Mandl and Shaw
- There are whole books devoted to this topic. I'm using Huang, Appendix A.

Consider a system with N identical particles. In the *first-quantized theory*, the wave function is  $\Psi(\mathbf{r}_1 \mathbf{r}_2 \mathbf{r}_3 \dots \mathbf{r}_N; t)$  where  $\mathbf{r}_i$  = the coordinate vector of particle i . The goal is to solve the time evolution,

$$ih \frac{\partial \Psi}{\partial t} = H \Psi$$

$$= -\frac{k^2}{2m} \sum_{i=1}^{N} V_i^2 \Psi + \sum_{i < j} V_{ij} \Psi$$

Or, equivalently, find the energy eigenstates,

$$\Psi(\vec{r}, \vec{k}... \vec{r}_{N}; t) = e^{-i \vec{r}_{N} t / \hbar} \Phi_{N}(\vec{r}, \vec{r}_{N}... \vec{r}_{N})$$

$$E_{N} \Phi_{N} = H \Phi_{N}(\vec{r}_{N}... \vec{r}_{N})$$

#### The method of quantized fields

/1/ In the second quantized theory we define a *quantized field* for the system of particles.

The field obeys a quantum postulate, which is called the *equal-time commutation relations:* 

### Boson field

$$\overline{[\psi(\mathbf{r}), \psi \dagger (\mathbf{r}')]} = \delta^{3}(\mathbf{r} - \mathbf{r}')$$

$$[\psi(\mathbf{r}), \psi(\mathbf{r}')] = 0$$

$$[\psi \dagger (\mathbf{r}), \psi \dagger (\mathbf{r}')] = 0$$

### Fermion field

$$\overline{\{ \psi(\mathbf{r}), \psi \dagger(\mathbf{r'}) \}} = \delta^{3}(\mathbf{r} - \mathbf{r'})$$

$$\{ \psi(\mathbf{r}), \psi(\mathbf{r'}) \} = 0$$

$$\{ \psi \dagger(\mathbf{r}), \psi \dagger(\mathbf{r'}) \} = 0$$

$$[A,B] = AB - BA$$

$$\{A, B\} = AB + BA$$

/2/ And we define the Hamiltonian operator H and the number operator  $N_{\rm op}$  .

#### **The Hamiltonian** in the second quantized theory is

$$H = K + \Omega$$

$$K = -\hbar^2/(2m) \int d^3r \psi \dagger (\mathbf{r}) \nabla^2 \psi (\mathbf{r})$$

$$\Omega = \frac{1}{2} \int d^3\mathbf{r}_1 \int d^3\mathbf{r}_2 \ \psi \dagger (\mathbf{r}_1) \ \psi \dagger (\mathbf{r}_2) \ \mathbf{v}_{12} \ \psi (\mathbf{r}_2) \ \psi (\mathbf{r}_1)$$

where again  $v_{12} = v(r_1, r_2)$ .

## The Number Operator in the second quantized theory is

$$N_{op} = \int d^3r \ \psi \dagger (\mathbf{r}) \ \psi(\mathbf{r})$$

and it is a constant in the time evolution.

#### The Big Theorem

The second quantized theory is equivalent in all predictions to the first quantized theory.

To prove this is not so easy, because the two theories look so different.

$$H_{1Q} = H = -\frac{\hbar^2}{2m} (\nabla_1^2 + \nabla_2^2 + \dots + \nabla_N^2)$$

$$+ \sum_{i \neq j} V(\vec{r}_{i'}, \vec{r}_{j'}) \leftarrow Sum \ d \neq N(N-1)$$
interactions

$$H_{2Q} = H = -\frac{\hbar^2}{2m} \int d^3r \ 4^{\dagger}r^2 \psi$$

$$+ \frac{1}{2} \int d^3r \ d^3r \ \psi^{\dagger}(r_1) \ \psi^{\dagger}(r_2)$$

$$U(\vec{r_1}, \vec{r_2}) \ \psi(\vec{r_1}) \ \psi(\vec{r$$

The *structures* of the two theories are different. The trick is to prove that the *predictions* are the same.

① The wave function (as defined from the 2nd quantized theory) has the correct exchange symmetry or antisymmetry.

$$\Psi (r_1 r_2 ... r_N; t) \equiv$$

$$1/sqrt(N!) \langle 0 | \psi(r_1) \psi(r_2) ... \psi(r_N) | t, N \rangle$$

Consider the coordinate exchange,

$$\Psi (\mathbf{r}_1 \dots \mathbf{r}_i \dots \mathbf{r}_i \dots \mathbf{r}_N; \mathbf{t}).$$

**For bosons**, all the  $\psi(r_k)$  factors commute;

so 
$$\Psi (...r_i ...r_i ...) = \Psi (...r_i ...r_i ...)$$
.

**For fermions**, all the  $\psi(r_k)$  factors anticommute;

so 
$$\Psi(...r_{i}...r_{i}...) = -\Psi(...r_{i}...r_{i}...);$$

it takes 2n+1 anticommutations to switch the order.

**2** The time-dependent Schroedinger equation has the correct form.

We define 
$$\Psi$$
 (  $r_1 r_2 ... r_N$ ; t ) = 1/sqrt(N!)  $\langle 0 | \psi(r_1) \psi(r_2) ... \psi(r_N) | t,N \rangle$ 

Now calculate

$$i \hbar (\partial /\partial t) \Psi =$$

= 1/sqrt(N!) 
$$\langle 0 \mid \psi(r_1) \psi(r_2) \dots \psi(r_N) i \hbar (\partial /\partial t) \mid t,N \rangle$$

$$= H |t,N\rangle$$

= 1/sqrt(N!) 
$$\langle 0 \mid \psi(r_1) \psi(r_2) \dots \psi(r_N) H \mid t,N \rangle$$

Commute H to the left, commuting H past the  $\psi(r_1)$  factors.

Note 
$$\langle 0 | H = 0 \ (\equiv just \ the \ adjoint \ of \ H | 0 \rangle = 0)$$

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i \hbar (\partial /\partial t) \Psi =
  = 1/\text{SQRT}(N!) \langle 0 | \psi(\mathbf{r}_1) \psi(\mathbf{r}_2) \dots \psi(\mathbf{r}_N) H | t, N \rangle
Pull H over to the left, repeatedly commuting
H past the \psi(\mathbf{r}_i) factors.
Note \langle 0 | H = 0 (equiv. to H | 0 \rangle = 0).
Also,
         \psi(\mathbf{r}_i) H = H \psi(\mathbf{r}_i) + [\psi(\mathbf{r}_i), H]
where
   [\psi(\mathbf{r}), H] = -\hbar^2/(2m) \nabla^2 \psi(\mathbf{r}) + X(\mathbf{r}) \psi(\mathbf{r})
and
   X(\mathbf{r}) = \int d^3r' \psi \dagger (\mathbf{r}') v(\mathbf{r}',\mathbf{r}) \psi(\mathbf{r}').
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For each commutation we pick up a term  $-\hbar^2/(2m) \nabla_i^2 \psi(\mathbf{r_i})$ and a term  $X(\mathbf{r}_i) \psi(\mathbf{r}_i)$  ( $\propto \psi \dagger \psi \psi$ ) Now pull the  $X(\mathbf{r})$  operators to the left; Note  $\langle 0 | X(\mathbf{r}) = 0$ . Also,  $\psi(\mathbf{r}_{i}) X(\mathbf{r}') = X(\mathbf{r}') \psi(\mathbf{r}_{i}) + [\psi(\mathbf{r}_{i}), X(\mathbf{r}')]$ where  $[ \psi(\mathbf{r_i}), X(\mathbf{r'}) ] = v(\mathbf{r_i}, \mathbf{r'}) \psi(\mathbf{r'}) \psi(\mathbf{r_i})$ Finally,  $i \hbar (\partial /\partial t) \Psi =$  $-\hbar^2/(2m) (\nabla_1^2 + \nabla_2^2 + ... + \nabla_N^2)\Psi$ +  $\sum_{i < j} v(\mathbf{r}_i, \mathbf{r}_i) \Psi$ 

## 3 Expansion in single-particle states

Introduce a complete set of single-particle states

{ 
$$u_{\alpha}(\mathbf{r})$$
;  $\alpha = 1 2 3 \dots \infty$  }

Now expand the quantized field in these functions,

$$\psi(\mathbf{r}) = \sum u_{\alpha}(\mathbf{r}) c_{\alpha}$$
 and  $\psi \dagger (\mathbf{r}) = \sum u_{\alpha}^*(\mathbf{r}) c_{\alpha}^* \dagger$ 

**Commutators** 

$$[ \psi(\mathbf{r}), \psi \dagger (\mathbf{r}') ]_{\mathbf{T}} = \delta^{3}(\mathbf{r} - \mathbf{r}')$$

$$\Rightarrow [ c_{\alpha}, c_{\beta} \dagger ]_{\mathbf{T}} = \delta(\alpha, \beta)$$

That is,

for bosons, 
$$[c_{\alpha}, c_{\beta}^{\dagger}] = \delta(\alpha, \beta)$$
 and  $[c_{\alpha}, c_{\beta}] = 0$ ;  
for fermions,  $\{c_{\alpha}, c_{\beta}^{\dagger}\} = \delta(\alpha, \beta)$  and  $\{c_{\alpha}, c_{\beta}^{\dagger}\} = 0$ .

- More precisely,  $\alpha$  = a set of quantum numbers for a single particle;
  - $\int u_{\alpha}^*(\mathbf{r}) u_{\beta}(\mathbf{r}) d^3r = \delta(\alpha,\beta)$
  - $c_{\alpha} = \int u_{\alpha}^*(\mathbf{r}) \psi(\mathbf{r}) d^3\mathbf{r}$

We've seen this before!

Something new: *anticommutation* for fermions.

## Non-relativistic quantum fields for identical particles

$$\psi(\mathbf{r}) = \sum u_{\alpha}(\mathbf{r}) c_{\alpha}$$
 and

 $\psi \dagger (\mathbf{r}) = \sum u_{\alpha}^*(\mathbf{r}) c_{\alpha}^* \dagger$ where  $c_{\alpha}$  is the annihilation operator for a particle with quantum numbers  $\alpha$  and  $c_{\alpha}$   $\dagger$  is the creation operator.

## The Pauli exclusion principle for fermions,

$$(c_{\alpha} \dagger)^2 = 0.$$

Proof: 
$$\{c_{\alpha}^{\dagger}, c_{\beta}^{\dagger}\} = 0 = c_{\alpha}^{\dagger} c_{\beta}^{\dagger} + c_{\beta}^{\dagger} c_{\alpha}^{\dagger};$$
  
so for  $\beta = \alpha$ ,  $c_{\alpha}^{\dagger} c_{\alpha}^{\dagger} = 0$ .

*Matrix elements agree.* 

$$= \sum_{k} \frac{\hbar^{2}k^{2}}{2m} \left\{ \delta(k, \theta) < 0 \right| c_{\beta}c_{\alpha}c_{k}c_{k}^{\dagger}|_{0} \right\}$$

$$- (0) c_{\beta}c_{\alpha}c_{k}^{\dagger}c_{k}^{\dagger}c_{k}c_{k}^{\dagger}|_{0} \right\}$$

$$= \delta(k, \delta) - c_{\delta}^{\dagger}c_{k}$$

$$= \delta(k, \delta) - c_{\delta}^{\dagger}c_{\delta}$$

$$= \delta(k, \delta) - \delta(k, \delta)$$

$$= \delta(k, \delta) -$$

Another Example < OB | D | OB> = < 0 | CBCa = SBr, Br, 5, 4, 4(r) +(r) +(r) +(r) (+c) (0) 北南にま = を水南)、はる一は水南) = ua(Fi) - c+ 4/Fi) Sub, uB = 2/ d3n Brz Uz ( o | CBC 4+(F) 4+(F) 4(F2) C+ (0) 14(F) = uB(12) 10> + Other Term = = 2 (Br, 32 viz < of cp ca 4+(F,) 4+ (F) 0) ua(F,) up(F2) -> 1/4 (r,) 1/8 (r) - 2/4 (r) 1/4 (r,) - U\*(13) U (Fi) UB(Fi) UB(T) } EXCHANGE WIERACTION (Hortne Fock model) which agrees with the 15 quantized theory,

#### One more thing ...

We defined the fermionic operators  $c_k$  and  $c_k$  † by anticommutators. Can we still interpret them as annihilation and creation operators?

Read Mandl and Shaw Section 4.1.

## <u>Review</u> the bosonic annihilation and creation operators

- $\square$   $N_{op} = \sum a_k + a_k$
- Therefore

$$[N, a_k] = a_k + a_k a_k - a_k a_k + a_k$$
  
=  $[a_k + a_k] a_k = -a_k$ 

$$[N, a_k] = -a_k$$
 and  $[N, a_k t] = +a_k t$ 

Therefore  $a_k$  is an annihilation operator and  $a_k$  † is a creation operator.

$$N_{op} a | N > = (-a + a N_{op}) | N > = (N - 1) a | N >$$

#### *Now consider fermionic operators*

$$\square$$
  $N_{op} = \sum c_k + c_k$ 

☐ Therefore

$$[N, c_{k}] = c_{k} + c_{k} c_{k} - c_{k} c_{k} + c_{k}$$

$$= - c_{k} c_{k} + c_{k}$$

$$= - (1 - c_{k} + c_{k}) c_{k} = - c_{k}$$

$$[N, c_k] = -c_k$$
 and  $[N, c_k t] = +c_k t$ 

so again  $c_k$  is annihilation and  $c_k$  † is creation. (Jordan and Wigner, 1928)

Homework due Friday, Feb. 3

Problems 11, 12, 13, 14

Problem 15:

In the second quantized theory of 2 identical fermions, calculate

$$\langle 0 | c_{\alpha} c_{\beta} \psi \dagger (r_1) \psi \dagger (r_2) | 0 \rangle$$

where  $c_a$  is the annihilation operator for a particle with wave function  $u_a(\mathbf{r})$ .