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## Energy flow and attenuation in a waveguide

### Section 8.5

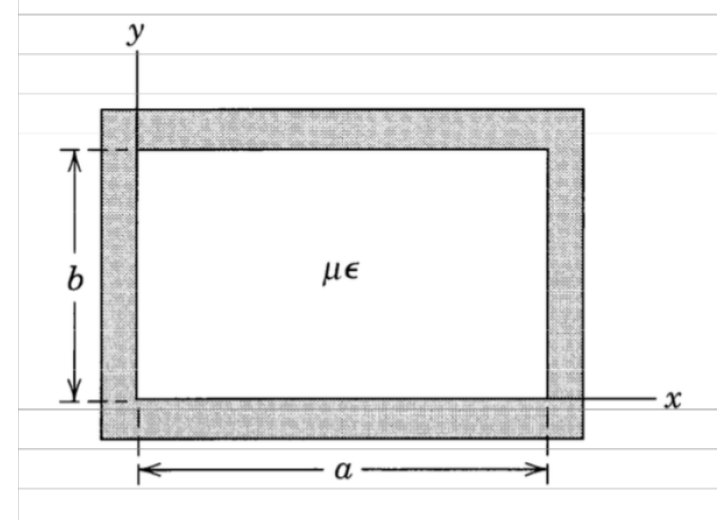
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*The waveguide is a hollow cylinder,  
centered around the  $z$  axis,  
with an arbitrary cross section.*

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## Rectangular example ...

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We seek to solve Maxwell's equations for fields with these forms

$$\vec{E}(\vec{x},t) = \{ \vec{E}_T(x,y) + \hat{e}_z \psi_E(x,y) \} e^{i(kz-\omega t)}$$

$$\vec{B}(\vec{x},t) = \{ \vec{B}_T(x,y) + \hat{e}_z \psi_B(x,y) \} e^{i(kz-\omega t)}$$

where  $\psi_E = 0$  (TE mode) or  $\psi_B = 0$  (TM mode).

$$\vec{E}_T = \hat{e}_x E_x + \hat{e}_y E_y, \text{ etc.}$$

Comments:

- Wave propagation in the z direction
- $E_z = 0$  (TE polarization) or  $B_z = 0$  (TM polarization)
- $B_z = \psi(x,y)$  or  $E_z = \psi(x,y)$
- In Maxwell's equations,

$$\frac{\partial}{\partial z} \rightarrow ik \quad \text{and} \quad \frac{\partial}{\partial t} = -i\omega$$

- Define  $\nabla_T = \hat{e}_x \frac{\partial}{\partial x} + \hat{e}_y \frac{\partial}{\partial y}$  ;

and transverse vectors like  $\vec{E}_T = \hat{e}_x E_x + \hat{e}_y E_y$

## Maxwell's Equations

	TE polarization ( $E_z = 0, B_z = \psi$ )
$\nabla \cdot \epsilon \vec{E} = 0$	$\nabla_T \cdot \vec{E}_T = 0$
$\nabla \cdot \mu \vec{H} = 0$	$\nabla_T \cdot \vec{H}_T + ik \psi = 0$
$-\frac{\partial(\mu \vec{H})}{\partial t} = \nabla \times \vec{E}$	$i\omega\mu \psi = \hat{e}_z \cdot (\nabla_T \times \vec{E}_T)$
,	$i\omega\mu \vec{H}_T = ik \hat{e}_z \times \vec{E}_T$
$\frac{\partial(\epsilon \vec{E})}{\partial t} = \nabla \times \vec{H}$	$0 = \hat{e}_z \cdot (\nabla_T \times \vec{H}_T)$
,	$-i\omega\epsilon \vec{E}_T = -\hat{e}_z \times \nabla_T \psi + ik \hat{e}_z \times \vec{H}_T$

	TM polarization ( $B_z = 0, E_z = \psi$ )
$\nabla \cdot \epsilon \vec{E} = 0$	$\nabla_T \cdot \vec{E}_T + ik \psi = 0$
$\nabla \cdot \mu \vec{H} = 0$	$\nabla_T \cdot \vec{H}_T = 0$
$-\frac{\partial(\mu \vec{H})}{\partial t} = \nabla \times \vec{E}$	$0 = \hat{e}_z \cdot (\nabla_T \times \vec{E}_T)$
,	$i\omega\mu \vec{H}_T = ?$
$\frac{\partial(\epsilon \vec{E})}{\partial t} = \nabla \times \vec{H}$	$-i\omega\epsilon \psi = \hat{e}_z \cdot (\nabla_T \times \vec{H}_T)$
,	$-i\omega\epsilon \vec{E}_T = ?$

## Energy flux

$$\vec{S} = \frac{1}{2} \vec{E} \times (\vec{H})^*$$

$$\text{Re} \{ \hat{e}_z \cdot \vec{S} \} = \left\langle \frac{dP}{da} \right\rangle$$

$$\vec{S} = 1/2 ( \vec{E}_T ) \times ( \vec{H}_T + \hat{e}_z H_z ) \text{ for a TE mode}$$

*See Equation (8.48).*

Note that  $\vec{S}_T$  is imaginary and simplify  $\Rightarrow$  the integrated energy flux is

$$P = \int_A \vec{S} \cdot \hat{e}_z da = \frac{\omega k}{2 \gamma^4} \int_A |\nabla_T \psi|^2 da * \Phi$$

where  $\Phi = \epsilon$  (TM case) or  $\mu$  (TE case).

We can simplify the integral still further,

$$= \oint_C \psi^* \frac{\partial \psi}{\partial n} ds - \int_A \psi^* \nabla^2 \psi da$$

by Gauss's theorem

The surface term is zero for either Dirichlet or Neumann boundary conditions, and  $\nabla^2 \psi = -\gamma^2 \psi$ ;

$$P = \frac{1}{2} \left( \frac{\omega}{\omega_\lambda} \right)^2 \sqrt{1 - \omega_\lambda^2 / \omega^2} \int_A \psi^* \psi da * \frac{\Phi}{\sqrt{\mu \epsilon}}$$

Exercise: The field energy per unit length along the z axis is guide is

$$U = \frac{1}{2} \left( \frac{\omega}{\omega_\lambda} \right)^2 \int_A \psi^* \psi \, da * \Phi$$

Group velocity and energy flow and group velocity,

$$\frac{P}{U} = \frac{k}{\mu\epsilon\omega} = \frac{1}{\sqrt{\mu\epsilon}} \sqrt{1 - \frac{\omega_\lambda^2}{\omega^2}} = v_{\text{group}}$$

$$v_{\text{group}} = \frac{d\omega}{dk} ;$$

$$\text{also } v_g v_{\text{phase}} = \frac{1}{\mu\epsilon} \approx c^2$$

### ***Attenuation of energy flux***

The above results are for perfectly conducting walls.

Now suppose the conductivity  $\sigma$  is finite. There will be ohmic losses  $\implies$  the energy flux will be attenuated.

Treat the problem by “successive approximations”. (Recall Section 8.1.)

In the first approximation,  $k_\lambda \approx k_\lambda^{(0)}$  which is real for  $\omega > \omega_\lambda$  or pure imaginary for  $\omega < \omega_\lambda$ .

Now make the correction for finite  $\sigma$ ,

$$k_\lambda = k_\lambda^{(0)} + \alpha_\lambda + i\beta_\lambda$$

$\beta_\lambda$  is the attenuation coefficient.

We will determine  $\beta_\lambda$  by using Section 8.1; i.e., by conservation of energy

$$P(z) = P_0 e^{-2\beta_\lambda z} \Rightarrow \beta_\lambda = \frac{-1}{2P} \frac{dP}{dz}$$

$$-\frac{dP}{dz} = \text{power loss per unit length}$$

$$= \frac{1}{2\sigma\delta} \oint_C |\hat{n} \times \vec{H}|^2 ds$$

the last line is from Section 8.1.

**Example: TE modes of a rectangular waveguide, with  $\delta x = a$  and  $\delta y = b$ , with mode numbers  $m$  and  $n=0$ .**

in(-): scl

For the TE mode  $\lambda = (m, 0)$  result

$$H_z = \psi = H_0 \cos\left(\frac{m\pi x}{a}\right)$$

$$\vec{H}_T = \frac{ik}{\gamma^2} \nabla_T \psi$$

$$\text{Here } \gamma^2 = \mu\epsilon\omega^2 - k^2 = \pi^2 \frac{m^2}{a^2} = \mu\epsilon\omega_\lambda^2$$

$$k^2 = \mu\epsilon\omega^2 - \gamma^2 = \mu\epsilon(\omega^2 - \omega_\lambda^2)$$

$$\text{Calculate } \beta_\lambda = \frac{1}{2P} \left(-\frac{\partial P}{\partial z}\right)$$

$$\text{We have } P = \frac{1}{\sqrt{\mu\epsilon}} \sqrt{1 - \frac{\omega_\lambda^2}{\omega^2}} U$$

$$\text{and } U = \frac{1}{2} \left(\frac{\omega}{\omega_\lambda}\right)^2 \int_A \psi^2 da \quad \mu$$

↑  $da = \int_0^a dx \int_0^b dy$

$$U = \frac{\mu}{2} \left(\frac{\omega}{\omega_\lambda}\right)^2 H_0^2 \frac{ab}{2}$$

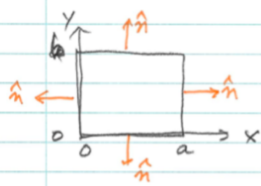
In(-): sc2

$$\text{And } -\frac{dP}{dz} = \frac{1}{20\delta} \oint_C |\hat{n} \times \vec{H}|^2 ds$$

$$\text{where } \delta = \sqrt{\frac{2}{\mu_0 \omega \sigma}} \propto \frac{1}{\sqrt{\omega}}$$

$$\text{Write } \frac{1}{\delta} = \frac{1}{\delta_\lambda} \frac{\delta_\lambda}{\delta} = \frac{1}{\delta_\lambda} \sqrt{\frac{\omega}{\omega_\lambda}} \quad \delta_\lambda = \sqrt{\frac{2}{\mu_0 \omega_\lambda \sigma}}$$

$$\omega_\lambda = \frac{1}{\sqrt{\epsilon \epsilon_0}} \frac{m\pi}{a}$$



Out(-):

In(-): sc3

$$\begin{aligned} \bullet \text{ Wall at } x=0: \hat{n} \times \vec{H} &= -\hat{e}_x \times \left[ \hat{e}_z \psi + \frac{ik}{\gamma^2} \nabla^2 \psi \right]_{x=0} \\ &= \hat{e}_y H_0 \quad \left( \frac{\partial \psi}{\partial x} \Big|_{x=0} = 0 \right) \end{aligned}$$

$$\Rightarrow \int |\hat{n} \times \vec{H}|^2 ds = H_0^2 b$$

$$\begin{aligned} \bullet \text{ Wall at } y=0: \hat{n} \times \vec{H} &= -\hat{e}_y \times \left[ \hat{e}_z \psi + \frac{ik}{\gamma^2} \hat{e}_x \frac{\partial \psi}{\partial x} \right]_{y=0} \\ &= -\hat{e}_x H_0 \cos \frac{m\pi x}{a} + \hat{e}_z \frac{ik}{\gamma^2} \left( \frac{m\pi}{a} \right) \sin \frac{m\pi x}{a} H_0 \\ &= -H_0 \left[ \hat{e}_x \cos \frac{m\pi x}{a} + \frac{ik}{\gamma^2} \frac{m\pi}{a} \sin \frac{m\pi x}{a} \right] \end{aligned}$$

$$\Rightarrow \int |\hat{n} \times \vec{H}|^2 ds = H_0^2 \left[ \frac{a}{2} + \frac{k^2}{\gamma^4} \left( \frac{m\pi}{a} \right)^2 \frac{a}{2} \right]$$

$$\begin{aligned} \gamma^2 &= \mu \epsilon \omega_\lambda^2 \quad \text{and} \quad k^2 = \mu \epsilon (\omega - \omega_\lambda)^2 \\ &= \left( \frac{m\pi}{a} \right)^2 \end{aligned}$$

Out(-):

In(-): sc4

$$\text{Result is } -\frac{dP}{dz} = \frac{H_0^2}{\sigma \delta_\lambda} \sqrt{\frac{\omega}{\omega_\lambda}} \left[ b + a \frac{\omega^2}{\omega_\lambda^2} \right]$$

$$\Rightarrow$$

$$\beta_z = \frac{2\sqrt{\epsilon/\mu}}{\sigma \delta_\lambda} \sqrt{\frac{\omega}{\omega_\lambda}} \frac{1}{\sqrt{1 - \frac{\omega_\lambda^2}{\omega^2}}} \left[ \frac{1}{b} + \frac{1}{a} \frac{\omega_\lambda^2}{\omega^2} \right]$$

(many factors cancel to get here)

In[ ]:= (\* Plot in Figure 8.6 \*)

(\* x =  $\omega/\omega_c$  \*)

$\beta = \text{Sqrt}[x / (1 - 1/x^2)] + (1/b + 1/a + (1/x^2))$ ;

fun[x\_] =  $\beta /. \{a \rightarrow 2, b \rightarrow 1\}$  // Simplify;

Show[

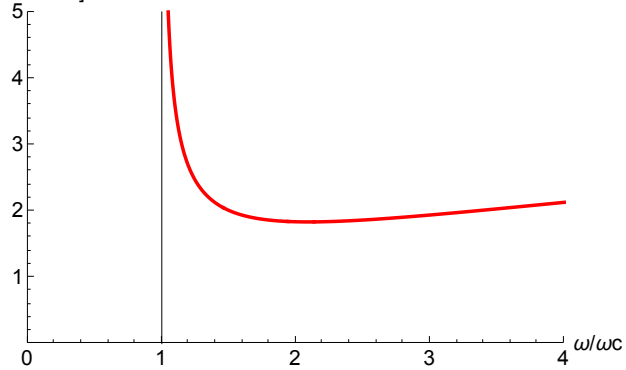
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AxesLabel -> {" $\omega/\omega_c$ ", " $\beta$  [arb. units]"},

PlotStyle -> {Red, AbsoluteThickness[3]}, BaseStyle -> 18],

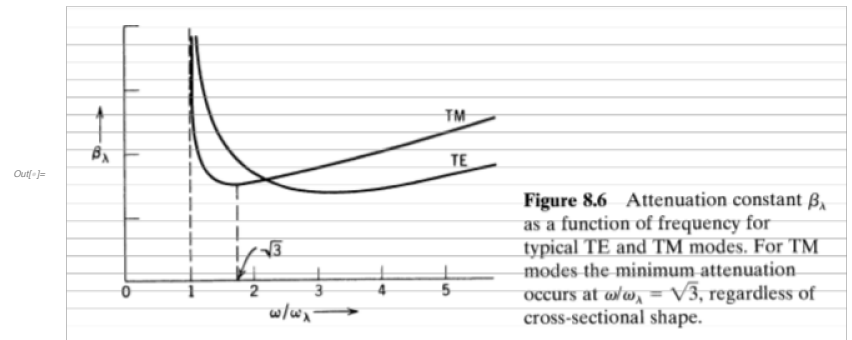
Graphics[ { Line[{{1, 0}, {1, 5}}] }]]

$\beta$  [arb. units]



Out[ ]:=

In[ ]:= fig8p6



**Figure 8.6** Attenuation constant  $\beta_\lambda$  as a function of frequency for typical TE and TM modes. For TM modes the minimum attenuation occurs at  $\omega/\omega_\lambda = \sqrt{3}$ , regardless of cross-sectional shape.