# *now for something*

**completely different.**

*"gauge theories"*

#### **a story**

*introduction uses of symmetry gauge principle weak interactions critical phenomena Broken symmetry*

*Higgs, et al. mechanism all together: the Weinberg-Salam Model*

# *we laugh at Kepler now*

### **he believed in a symmetry**

*built his world around it*

### **for others:**

*perfect symmetry ruled–circles*

### **are we different?**







## *what about Einstein?*

**He didn't invent the transformations**

*done before him.*

### **He didn't establish the mathematical rigor**

*done before him.*

**He derived the results**

*arguing for an a-priori prejudice about symmetry*

# *field theory*

**primer**

# *the players*

**Spin 0 Bosons:** *φ*

Spin 1 Vector bosons:  $A_\mu$ ,  $B_\mu$ ,  $W_\mu$ 

**Spin 1/2 Fermions:** *ψ*

# *use Lagrangians*

### **Lagrange's Equations**

*→ quantum equations of motion ∂<sup>µ</sup> → ∂/∂xµ*

# a catalog will suffice

FREE LAGRANGIANS scalar fields:

 $L = \frac{1}{2}$   $\frac{\partial}{\partial \mu} \phi \frac{\partial}{\partial \phi} + \frac{1}{2} m^2 \phi^2$ 

EQUATIONS OF MOTION

 $\partial_{\mu}\partial^{\mu}\phi + m^{2}\phi^{2} = 0$ 

### interactions

 $A_\mu$  $e_{f}$ 

INTERACTIONS -

=  $e_{\varepsilon} \overline{f}(x) \delta^{\prime\prime} f(x) A_{\mu}(x)$ Lelectronsagnetic - spin 12  $\chi_{\text{vacawa}} = g \phi(x) \overline{\psi}(x) \psi(x)$  $\phi$ 

## *particle creation*

PARTICLE SPECTRA- $\phi(x) = \int \frac{d^2k}{(2\pi)^2 2\omega_k} \left[ a(k) e^{-ikx} + a^4(k) e^{-ikx} \right]$ - creation operator annihilation operator

*just like the quantum oscillator from 1st year quantum mechanics*



### *symmetry in quantum mechanics*

**Group operations represented by operators,** *U***,** 

*generated by G in a linear vector space of vectors |*α >

vectors transform:  $|\alpha\rangle \rightarrow |\alpha\rangle = | \alpha|$ operators transform:  $\theta \rightarrow \theta' = U \theta U^{-1}$ 

If a system is symmetric wrt  $U$ ,  $[\mathcal{H}, G] = 0$ 

# *Noether's Theorem*

### **If a system has a symmetry**

*there is an associated conservation law space translation → momentum conservation,* **p** *time translation → energy conservation, E*

### **Also, for "internal symmetries"**

*phase transformation → charge conservation, Q*

OF PARTICULAR INTEREST ARE SYMMETRY GROUPS WITH REPRESENTATIONS LIKE  $U(\Sigma) = e^{-\lambda}$ **GENERATORS** OF THE GROUP & OPERATORS *UFINITESIM* **HAVING QUANTUM #'s PARAMETERS AS EIGENVALUES** 

# *charges and conserved currents* CONNECTION THROVEH "CHARGE" & A CONSERVED "CURRENT" - $Q \equiv \int d^3x \, j^o(x)$ where  $\partial_{\mu} j^{\mu}(x) = 0$  signifies a conservation law *Q plays a dual role: both a "charge" and the generator of the transformation*

### quantum field theory: I slide

- $\phi \rightarrow \phi' = u \phi u^{-1}$ •  $\phi(x)$  is AN OPERATOR =  $(1 - i \sum \epsilon^{i} \alpha^{j}) \phi(1 + i \sum \epsilon^{j} \alpha^{j})$ <br>=  $\phi + i \sum \epsilon^{j} [\alpha^{j}, \phi(\kappa)]$ so  $[a^j, \phi(x)] = \phi(x) \Rightarrow$ (note: often  $u \phi u^{-1} = exp(i \sum_i s^i q^i) \phi (x) ... a phase$ )
	- $\bullet$  SUPPOSE  $[H,Q] = O \Rightarrow \partial_0 Q = O$ LET  $H|\vec{P}_{h}\rangle = E_{h}|\vec{P}_{h}\rangle$ THEN  $QH|\vec{p}_n\rangle = E_n Q|\vec{p}_n\rangle$   $|\vec{p}_n\rangle \notin Q|\vec{p}_n\rangle$  ARE

 $H(x|\vec{p_n}) = E_n(x|\vec{p_n})$  BOTH EIGEN STATES OF H<br> $H(x|\vec{p_n}) = E_n(x|\vec{p_n})$  with same  $E_n$ - degenerate -> MAY REPRESENT ORTHOGONAL STATES WITH DISTINCT QUANTUM NUMBERS...

## I lied... 2 slides

. THERE IS A SPECIAL EIGEN STATE OF H. .. THE VACUUM. It  $|0\rangle = 0$  is ALWAYS TRUE FOR VACUUM STATE USUALLY, IT IS ASSUMED THAT, FOR U= e<sup>iQx</sup>  $U|0\rangle = |0\rangle$  FOR ALL SYMMETRIES  $\Rightarrow$   $\& \{o\} = 0$ 

IF GIOS # D, THEN THERE MUST BE DECEVERATE VACUA IF ALSO  $[H,Q]=0$ . Stay tuned!

# *a little bit of history...repeating*



### **Soon after general relativity**

*H. Weyl proposed:* 

HE ADDED INVARIANCE WITH RESPECT TO

a. 
$$
q_{\mu\nu} = \lambda(x)q_{\mu\nu}
$$
 same  $\lambda(x)$  phase  
b.  $A_{\mu} = A_{\mu} - \frac{\partial \lambda(x)}{\partial x^{\mu}}$ 



### **note: b. is E&M...a. is strange.**

*space and time can change...all over space and time he called it a "gauge"*

 $ds^2 = g_{\mu\nu}dx^{\mu}dx^{\nu} \longrightarrow \lambda ds^2$ : LENGTHS ARE

*The thing that holds spacetime together? The Photon*

# *Einstein dug it...sorta*

"Your ideas show a wonderful cohesion. Apart from agreement with reality, it is at any rate a grandiose achievement of mind."

**This early attempt to unify E&M with gravity failed.**

# *1927*

### **London revived the idea**

*Not a scale of spacetime A phase in quantum fields*

# first kind: GLOBAL U(1) symmetry

$$
u(\theta) = e^{i\theta G}
$$

#### "GLOBAL" => SAME PHASE, INDEPENDENT OF SPACETIME  $\theta \neq \theta(x)$

" $U(t)$ " => 1 PARAMETER LIE GROUP HAVING @ AS GENERATOR

 $\psi(x) \rightarrow \psi'(x) = u \psi(x) u^{-1}$  $= e^{i\theta q} \psi(x)$ 

# other kind: LOCAL U(1) symmetry

$$
u(\theta) = e^{i\theta(x)\theta}
$$

#### "LOCAL" => POTENTIALLY DIFFERENT PHASE AT ALL SPACETIME POINTS  $\theta = \theta(x)$

 $\psi(x) \rightarrow \psi'(x) = e^{\lambda \theta(x) q} \psi(x)$ 

NOT SO SIMPLE...

### *the derivative is trouble*

### **define a new divergence**

*to cancel the unwanted term*

as yet unnamed vector operator

*Goal: get the gradient to transform invariantly*

$$
(D_{\mu}\psi) \rightarrow (D_{\mu}\psi)' = e^{i q \Theta(\psi)} (D_{\mu}\psi)
$$

 $L = \overline{\psi}(x) \int \lambda \delta^{\mu} D_{\mu} - m \left[ \psi(x) \right]$ . START OUT WITH =  $\overline{\Psi}(x)[i\delta^{\mu}\partial_{\mu} + i\delta^{\mu}X_{\mu} - m]\Psi(x]$ 

transform  $4 - 4$ 

 $D_{\mu} = \partial_{\mu} + X_{\mu}$ 

$$
\mathcal{I}(\psi) \rightarrow \mathcal{L}(\psi') = \overline{\psi}(x) \{ i\delta^{\mu} [\partial_{\mu} + x_{\mu} - iq \partial_{\mu} \theta(x)] - u_i \} \psi'(x)
$$
  
STILL NOT RIGHT!

### one more ingredient

must simultaneously transform  $X_{\mu} \rightarrow X_{\mu} = X_{\mu} - iq\partial_{\mu}\theta(x)$ aha l Denote  $X_{\mu} \equiv \mu q A_{\mu}(x)$  so the gradient looks like  $D_{\mu} = \partial_{\mu} + \lambda q A_{\mu}$ 

& TOTAL TRANSFORMATION NECESSARY TO LEAVE R ALONE IS:



**Turns the utility of** *Ka***pauwhs** 

*upside down*

**If invariance with respect to a local U(1) symmetry is, a priori, of paramount importance:**



# *demanding a symmetry*

### **forces the inclusion of a spin-1 field specifies the interaction with spin-1/2 fields**

# *U(1) is good*

**How about SU(2)?**

*The project of Yang and Mills in 1954*

### **A local SU(2) symmetry**

*leads to an isotriplet of spin-1 fields*

$$
DEMANDING \quad U = e^{i \sum_{\alpha} \vec{\theta}(\alpha) \cdot \vec{\tau}/2} \longrightarrow \vec{b}_r(\alpha) \left\langle \frac{2 \text{ charged}}{1 \text{ neutral}} \right\rangle
$$
\n
$$
E = \frac{1}{2} \sum_{\substack{\alpha \in \text{total} \\ \alpha \neq \beta}} \vec{b}_r(\alpha) \left\langle \frac{2 \text{ charged}}{1 \text{ neutral}} \right\rangle
$$

# *Yang - Mills Theory*

$$
AGAIN: \quad \mathcal{L} = \mathcal{F}(i\delta^{m}\partial_{\mu} - m)\mathcal{V}
$$
\n
$$
w_{0}u \quad \mathcal{V} = \begin{pmatrix} \psi_{1} \\ \psi_{2} \end{pmatrix} \quad \text{as bases for } SU(2) \text{ operators}
$$

### *close, but notsomuch*

### **one might have hoped that the** *b* **might have turned out to be the** *W±* **Boson**

*But the weak interaction is short-ranged and so the W would be heavy*

**Masslessness of** *bμ* **was a fatal flaw.**



### **circa 1960...a primer**

# *Since Pauli and Fermi in 1930s*

### **There had been**

*20 years of contradictory experimental results a beautiful theory–1958 Feynman and Gell-Mann*



 $G_F \sim 10^{-5} M_h^2$ 

... HURISTICALLY DESCRIBED BY:  $W^{\pm}$ : charged isospin vaising/lowering **MESSive** 

### *there were problems:*



*Massless spin 1: 2 dof...e.g. L,R polarizations*

*MassIVE spin 1: 3 dof...e.g. L,R polarizations + longitudinal polarization*

 $\epsilon^\mu(\lambda=0) \sim \frac{k^\mu}{M}$ *M*

### *in E&M...2 photon production:*



*both graphs required because of gauge invariance*

*If you pretend that the photon had a mass... the bad behavior term cancels between the graphs*

# *spoiler:*

**in hindsight: this cancellation can be arranged for weak interactions:**

heavy electron  $\begin{pmatrix} \epsilon^+\\ \epsilon^- \end{pmatrix}$   $V$   $\epsilon^ \mu^-$ 

either, require a new. or require a new, heavy spin 1 field

# *E&M is magic*

**same coupling of photon to electron & proton:**

(messy hadron)



SAME COUPLING (in limit)

**ditto, weak interactions:**



## *could it be?*

**that the regal**

# electromagnetic interaction

**might be related to the rag-tag, ill-behaved, badly-bred** 

weak interaction?

# *many tried:*

### **Schwinger, Salam, Ward, Glashow, Weinberg...**

*all used Yang-Mills theory Salam: "dream" of Weak and Electromagnetic interaction unification...*

$$
\begin{pmatrix} W^+ \\ W^- \end{pmatrix} = \begin{pmatrix} W^+ \\ Z^c \\ W^- \end{pmatrix} \star \gamma \text{ massless}
$$

*masslessness of W always blocked progress*
# critical phenomena

### circa 1960...a primer



# **menu**

**un apéritif** 

ther modyna mics of phase transformations

**une entrée**

Mean Field theory and Ginsbu)rg-Landau phenomenology

**le plat principal**

Ferromagnetism as an example of a broken symmetry

**le fromage** 

Goldstone Theorem

**le dessert** 

Dilute Base Gas as an example of the G.T.

**un digestif** 

Superconductivity as an example of the loophole

# *what's a phase?*

#### **a region of analyticity of the free energy**



### *latent heat*



IMAGINE HEATING, WHILE MAINTAING EQUILIBRIUM BETWEEN  $S \nleq G$ ,  $C \rightarrow d$ 

$$
dG_S=dG_G
$$

$$
dG_S = dG_G \qquad \qquad dG_i = V_i dP - S_i dT
$$

$$
\frac{dP}{dT} = \frac{S_S - S_G}{V_S - V_G} = \frac{\Delta S}{\Delta V}
$$
\n
$$
= \frac{L}{T\Delta T}
$$
lament heat

### *action in the derivatives*



#### **Crucial: the concept of the symmetry of the phases**

### *symmetry*

**due to Pierre Curie, actually:**

*If there is a symmetry change, a Phase Transition has occurred.*

*high degree of symmetry* ⇒ *lack of order* ⇒ ⇒

*more symmetry operations* ⇒ *high entropy*

*related to higher temperatures*

# *the more things are the same*





#### **but...plot differently:**





*2nd order*



### *order parameter*

### **Landau and Ginsberg invented a parameter**

*to measure the order in a system η(T) the order parameter universalizing the study of phase transitions* **If** *η* **= 0, then the system is in an ordered phase If** *η* **≠ 0, then the system is in a disordered phase If** *η(T)* → **0 continuously, the P.T. is second order**

# *here they are:*

647

 $1044$ 

 $\overline{z}$ 

 $\overline{7}$ 

 $323$ 

739

P













### *near TC:*

**Landau postulated:**

*a function, L (the Landau Free Energy)...related to G*

 $L(P, T, \eta) = L_0 + \beta(P, T) \eta^2 + \delta(P, T) \eta^4$ 

 $\delta$  > 0  $\beta > 0 \Rightarrow T \geq T_C$  $\beta < 0 \Rightarrow T < T_C$ *flipping above and below the transition*

# *ground state? minimize L*

 $L = L_0 + b(T - T_c) \eta^2 + \delta \eta^4$ 



#### two important things for  $T < T_c$ :

*the ground state energy is lowered there are multiple ground state configurations*

# *ferromagnetism*



*Symmetry said to be "spontaneously broken" Better: symmetry is "hidden"*

# beautiful example





### here's another one: Euler



SYMMETRY IS COST ... HIDDEN (same equation of motion)

-> ROD COULD HAVE PICKED AN INFINITE NUMBER OF DIRECTIONS TO BULDGE. IN ACCORDANCE WITH ORIGINAL SYMMETRY

... just like ferromagnet

# *CMP theorists were playing*

#### **with these ideas...exploring broken symmetries**

### **Steven Weinberg got a whiff of this...**

*but, he failed to apply it correctly*

### **Because of the dreaded Goldstone Boson.**

# *Goldstone Theorem*

**A system which has a spontaneously broken symmetry must have massless, Bose-like excitations in its spectrum.**

*There are no spinless, massless particles. So, Weinberg's initial attempts failed.*

### **Works great in CMP!**

```
as ferromagnetism
                ? no...that's not what magnets do
 7777777GROUND STATE
                1 EXCITED STATE
energetics favor: 17 - 3 b b d d e e ? T T
long wavelength
```
### *spin waves*

 $17.9 \rightarrow 4666442777$ 

#### **long wavelength, macroscopic, quantizable, excitations**

*with an energy dispersion:* 

$$
\epsilon = \hbar^2 S \sum_{\vec{a}} (1 - \cos(\vec{q} \cdot \vec{a}))
$$
  
as  $q \to 0$ , the energy goes to 0  

$$
\epsilon = \sqrt{q^2 c^2 + m^2 c^4}
$$
 bingo: massless

# *But...*

### **The Hamiltonian - and the ground state - still respect the original symmetry.**

*If you lived inside of the magnet,* 

*how would you ever discover that the symmetry of the Hamiltonian is SO(3)?*

**That's our situation.**

# *proof of the Goldstone Theorem*

**not here...in the handout**

But, the consequences are the foll

*But:* what's the "ground state" of a *quantum field?*

 $The vacuum.$ 

It's typically simple: it carries H dimensional representation of

*groups.*



### *response of the vacuum to U:*

#### **Two ways:**

*the normal way:*

 $U(Q)|0\rangle = |0\rangle$ 

$$
U(Q) = e^{-iQ\theta} \qquad \Longrightarrow \qquad Q|0> = 0
$$

 $the$  condensed matter way:  $U(Q)|0> \neq |0>$ 

 $Q|0\rangle \neq 0$ 

### *Remember:*

#### **Relativistic quantum fields are operators:**

*they satisfy an algebra:*

 $[Q, \phi(x)] = \phi'(x) \neq 0$ 

*take the "vacuum expectation value"...aka "vev"*

 $< 0 |[Q, \phi(x)]|0> = <0 |\phi'(x)|0> \neq 0$ 

*which says:* 

*the field φ in the vacuum is non-vanishing!*





*Observation of such a thing is a trigger for the Goldstone Theorem*

### *Dilute Bose Gas*

**remember your Stat Mech?**

*remember the occupation number for bosons? you treat the ground state differently*



# *problem for a field theory*

### **condensing into the ground state was a headscratcher**

*in field theory–relativistic or non-relativistic–*

*need to build a particle spectrum from an empty vacuum*

 $a^{\dagger}$  $|0 \rangle = |1 \rangle \Rightarrow |a|0 \rangle = 0$ 

*But, this Bose-Einstein Condensate is a full vacuum!*

# *Bogoliubov trick*

**the way out.** 

$$
H = \int d^{3}x \ \psi^{+}(x) \left[ -\frac{\pi^{2}}{\epsilon m} \nabla^{3} \right] \psi(x)
$$
\n
$$
= \int d^{3}x \int d^{3}x' \ \psi^{+}(x) \psi^{+}(x') \ \upsilon^{+}(x,x') \ \psi(x') \psi^{+}(x')
$$
\n
$$
= \int d^{3}x \int d^{3}x \ \psi^{+}(x) \psi^{+}(x')
$$
\n
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= \int d^{3}x \ \psi^{+}(x) \psi^{+}(x')
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\n
$$
= \int d^{3}x \ \psi^{+}(x) \psi^{+}(x')
$$
\n<

#### *µ* **is zero in the condensate**

the number operator:  $N = a^\dagger a \sim \bar{\psi}\psi$ 

$$
a|0>_{N}=N^{1/2}|0>_{N-1}\sim N^{1/2}|0>_{N}
$$
  
For large N  
like:  $\sim$   $0|\psi|0>\neq 0$ 

### *some broken symmetry*

### **Number operator symmetry is broken**

$$
e^{i\lambda N} \t\t U|0 > N \neq |0 > N
$$
  

$$
N|0 > N \neq 0
$$

### **shift it away**

 *almost "c-numbers" substitute into H*  $a^{\dagger}$  and  $a$  *almost* "c-numbers"  $a^{\dagger} \approx a \approx \sqrt{n_0}$  $\psi(x) = \text{ vacuum value } + \chi(x)$  $\chi(x) \sim \sum a_{\vec k} e^{i \vec k \cdot \vec x}$  $\vec{k} \neq 0$  $< 0 | \chi | 0 > = 0$ 

### *yadda yadda yadda*

$$
H = N^{2} + \sum_{\vec{k}\neq o} \omega_{k} a_{k}^{\dagger} a_{k} + N \sum_{\vec{k}\neq o} (a_{k} a_{k} + a_{k}^{\dagger} a_{-k}^{\dagger})
$$
  
where  $\omega_{k} = \frac{\vec{n}^{2} k^{2}}{2m} + 2Nf(\vec{k})$ 

### **a mess...diagonalize with a canonical transformation:**

 $\alpha_{\overrightarrow{n}} \equiv u_{h}a_{h}^{t} + v_{h}a_{-h}^{t}$   $\alpha'_{s}$  have same commutation  $\alpha_{-\overrightarrow{h}} \equiv u_{-h}a_{-h} + v_{-h}a_{h}^{t}$  relations as a's.

**the create and annihilate a new particle spectrum**

*a quasi particle spectrum*

$$
H = N^2 - \frac{1}{2} \sum_{k \neq 0} (w_k - \varepsilon_k) + \frac{1}{2} \sum_{k \neq 0} \varepsilon_k \alpha_k^{\dagger} \alpha_k
$$

$$
\Sigma_{k} = \sqrt{\frac{\pi^{4}k^{4}}{4m^{2}} + \frac{4\pi^{2}k^{2}f(l)}{2m}}
$$

### phonons

#### The Goldstone Boson of the Bose Gas

<sup>2</sup> - free particles<br>- Superfulio PAASE, ROTONIS. ξ k.

# *multiple things going on*



# *build a toy theory*

### **A relativistic quantum field theory**

*Jeffery Goldstone, "Field Theories with Superconductor Solutions" 1960* 



# *phase transition, ala' L&G*

**before the phase transition:**

/ => <ol¢lo>= 0<br>partide spectra…

### **induce the phase transition as Landau-Ginsburg**

 $a \rightarrow -|a|$  $V(\phi) = -a\mu^2 \phi^2 + \frac{\lambda}{4} \phi^4$ 

**and minimize to find GS:**

**pick one of the vacua...**

*shift & build a particle spectrum*

vio VACULUM" OCCURS AT FINITE  $65$  Cololo)  $V(b)$ 

### *and substitute it back:*

 $\mathcal{L}(\kappa) = \frac{1}{2} \partial_{\mu} \kappa \partial^{\mu} \chi - |\alpha| \mu^2 \kappa^2 + q \nu \omega \hbar c$  ? cubic self interactions

### *the correct form for a massive boson!*

#### **Was the Goldstone Theorem violated?**

*no: this was a discrete symmetry Goldstone Theorem holds for continuous symmetries*



#### a 2-component field

FOR A CONTINUOUS SYMMETRY, NEED MORE THAN 1. COMPOMENT  $\varphi_1 \neq \varphi_2$  or  $\varphi \neq \varphi^+ = \varphi_1 \pm i \varphi_1$ OBJECT:  $x(\varphi) = \frac{1}{2} \partial_{\mu} \varphi^{\dagger} \partial^{\mu} \varphi - \frac{1}{2} a \varphi^{\dagger} \varphi - \frac{1}{4} \lambda (\varphi^{\dagger} \varphi)^2$ SYMMETRY :  $\phi \rightarrow \varphi' = e^{i\theta} \varphi$  (EAVES R ALONE...  $or$   $\begin{pmatrix} q_1 \\ q_2 \end{pmatrix} \rightarrow \begin{pmatrix} q_1 \\ q_2 \end{pmatrix} = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} q_1 \\ q_2 \end{pmatrix}$ 

... Global Uli) or SO(2), which are isomorphic.

 $\mathcal{L}(\varphi) = \frac{1}{2} \partial_{\mu} \varphi_{1} \partial^{\mu} \varphi_{1} + \frac{1}{2} \partial_{\mu} \varphi_{2} \partial^{\mu} \varphi_{2} - \frac{a \mu^{2}}{2} (\varphi_{1}^{2} + \varphi_{2}^{2}) - \frac{\lambda}{4} (\varphi_{1}^{2} + \varphi_{2}^{2})$  $V(\varphi_{1}\varphi_{2}) = \alpha \underline{\mu}^{2} (\varphi_{1}^{2} + \varphi_{2}^{2}) + \frac{\lambda}{\alpha} (\varphi_{1}^{2} + \varphi_{2}^{2})^{2}$ MINIMIZATION LEADS TO:
## do the L-G thing

$$
a \rightarrow -a \quad \text{in:} \quad \sqrt{a_1 a_2} = a_2 a_1^2 (q_1^2 + q_2^2) + \frac{\lambda}{4} (q_1^2 + q_2^2)
$$

 $-2$   $2$   $1 - 2$ MINIMIZATION LEADS TO:

$$
\varphi_i^-\cdot\varphi_k = \frac{|\alpha\mu|}{\lambda}
$$

υ

number of vacua is now infinite SCHOICE OF ONE INVOVEES A SLICE IN  $4 - 4$ BREAKS THE SOR) **SYMMETRY**  $Q_2$ a circle **@RADIUS**  $v = \sqrt{a\mu^2}$  $Locus: \langle O|\psi|_0\rangle = ve^{i\alpha} = v \cos\alpha + iv \sin\alpha$ 

## *gotta pick a direction*

**to break the symmetry...and build a Bogoliubov-like spectrum:**

```
CHOOSE TO BREAK SYMMETRY BY X = 0
```
 $\langle o | \phi_1 | o \rangle = v$  $A \quad 4.70$  SLICE  $CO(\frac{6}{2}|\phi\rangle = 0$  $\langle o | \begin{pmatrix} \varphi_1 \\ \varphi_2 \end{pmatrix} | o \rangle = \begin{pmatrix} \nu \\ o \end{pmatrix}$ 

SHIFT FIELDS USING COMPLEX REPRESENTATION...

 $\varphi = v + \sigma(x) + i\gamma(x)$  To QUASI PARTICLE SET.<br>  $\varphi$ ,  $i\varphi$   $\Gamma(x) = \frac{1}{\gamma(x)}$ .

 $x = \frac{1}{2} \partial_{\mu} \eta \partial^{\mu} \eta + \frac{1}{2} \partial_{\mu} \sigma \partial^{\mu} \sigma = a |\mu^{2}| \sigma^{2} + \omega b i c \xi$  quartic interactions  $no \tq^2$  term P2 LOST ITS MASS... 11 IS MASSLESS (THE GOCDSTONE BOSON)  $\sigma$  is MASSIVE,  $M_0 = \sqrt{2\alpha} \mu$ 



## *single loophole*

### **Remember Local U(1) symmetries?**

*the Goldstone theorem: Global symmetries*

**Remember the routine:**

 $x = \frac{1}{2} \partial_{r} \varphi^{+} \partial^{\mu} \varphi - \Delta \mu^{2} \varphi^{+} \varphi - \frac{\lambda}{4} ( \varphi^{+} \varphi)^{2}$ WE KNOW HOW TO MAKE THIS LOCALLY GAVGE INVARIANT...  $\partial^{\mu} \rightarrow \partial^{\mu} + iga^{\mu}$  SUBSTITUTION + TRANSFORMATIONS:  $\varphi \rightarrow \varphi' = e^{i\varphi \theta(x)} \varphi(x) \neq a^{\mu} \rightarrow a^{\mu} = a^{\mu} - \partial_{\mu} \theta(x)$  $x = -\frac{1}{4}F_{\mu\nu}F^{\mu\nu} + \frac{1}{2}(D_{\mu\nu}\varphi)^{\mu}D^{\nu}\varphi - \frac{a\mu^2}{2}\varphi^{\mu}\varphi - \frac{1}{2}(\varphi^{\mu}\varphi)^2$ encryption of a- p interaction  $\frac{1}{2} \partial_{\mu} \varphi^{+} \partial^{\mu} \varphi + \frac{1}{2} g^{2} a^{\mu} a_{\mu} \varphi^{+} \varphi$ 

## *force a phase transformation*

FORCE 
$$
a \rightarrow -|a|
$$
 AND SMET FIGCDS...  
\n $\langle o | \varphi_1 | o \rangle = v = \frac{a \mu^2}{\lambda}$   $\langle o | \varphi_2 | o \rangle = 0$   
\n $\varphi = v + r + i \eta$  AGAIN

### **and substitute back...**

magic

$$
\alpha = \frac{1}{2} \partial_{\mu} \sigma \partial^{\mu} \sigma + \frac{1}{2} \partial_{\mu} \eta \partial^{\mu} \eta - \frac{1}{4} F_{\mu\nu} F^{\mu\nu}
$$
  
- $\frac{1}{2} 2 g v \partial_{\mu} \eta a^{\mu} + g^{2} v \sigma a^{2} + \frac{1}{2} g^{2} v^{2} a^{2} - a \mu^{2} \sigma + \text{ cubic's quart.}$   
interactions

LOOK AT WELL TERMS...

$$
\frac{1}{2} \left( \frac{1}{\phi} \eta \frac{\partial^m \eta - 2 \xi^m \partial^m \eta \alpha^m + \xi^2 \nu^2 \alpha^2} \right)
$$
  
= 
$$
\frac{1}{2} \left( \frac{1}{3} \nu a_{\mu} - \frac{1}{\phi} \eta \right)^2 = \frac{1}{2} \frac{1}{3} \nu^2 \left( a_{\mu} - \frac{1}{5} \nu a_{\mu} \eta \right)^2
$$

**V** 

### $tan-da$

$$
\chi = \frac{1}{2} \partial_{\mu} \sigma \partial^{\mu} \sigma - \frac{1}{4} \Phi_{\mu\nu} \Phi^{\mu\nu} + \frac{1}{2} \delta^2 u^2 \alpha^2 - a \mu^2 \sigma^2 + \hat{u} \partial^2 t
$$

### The  $\eta$  has disappeared!

no massless bosons

### The  $\sigma$  is still there

but has gained a mass!

 $m_{\sigma} = \sqrt{2a\mu^2}$ 

The  $a_{\mu}$  has disappeared and replaced by  $a_{\mu}$  $=\frac{gv}{\sqrt{2}}$ but has gained a mass!  $\overline{m}_{\alpha}$ 

## *Higgs Mechanism*

**the original, massless** *aµ*

*had 2 dof*

**the original** *η*

*together, making 3 dof!*

*existed as a gradient, ∂µη*(*x*)

### **The Goldstone boson was "eaten" by the (gradient of the) spin 1 massless field**

*to become a spin 1 massive field.*

**Discovered by:**

*Anderson, Nambu, Englert, Brout, Gilbert, Guralnik, Higgs, Hagen, and Kibble around 1964 so naturally called the Higgs Mechanism*

## *that's superconductivity*

**Start out with:**

*2 component, degenerative Boson pair massless spin 1 vector Boson*

*so, a local U(1) symmetry is assured–Gauge invariant*

### **Do the Landau-Ginsburg mechanical inducement of a phase transition**

### **End up with:**

*1 massive spin 0 Boson Higgs Boson*

*1 massive spin 1 Boson Makes you think of the W*

## *"superconductivity," you say?*

**In a superconductor, the order parameter:**

*Cooper Pairs–a Bose-like excitation*

*A breaking of charge invariance*

### **What happens when a magnetic field impinges on a superconductor?**

*It's quenched within a skin-depth: Meisner Effect.*



## *bingo*

### **When an electromagnetic field encounters a superconductor**

*it gains a mass.*

### **That's where we live: inside a Universal Superconductor.**

*where some "photons" are massive.*

## *the chain of events:*







## *SU(2)* ⊗ *U(1)*

### **Weinberg, "A Model of Leptons" 1967**

## less than 3 pages.

#### VOLUME 19, NUMBER 21

#### PHYSICAL REVIEW LETTERS

 $^{\rm 11}$  In obtaining the expression (11) the mass difference between the charged and neutral has been ignored. <sup>12</sup>M. Ademollo and R. Gatto, Nuovo Cimento 44A, 282 (1966); see also J. Pasupathy and R. E. Marshak, Phys. Rev. Letters 17, 888 (1966).

 $^{13}$ The predicted ratio [eq. (12)] from the current alge-

 $\Gamma(\gamma \gamma)$  calculated in Refs. 12 and 14. 460 (1962).

and on a right-handed singlet

A MODEL OF LEPTONS\*

Steven Weinbergt Laboratory for Nuclear Science and Phys: Massachusetts Institute of Technology, Cambrid (Received 17 October 1967)

Leptons interact only with photons, and with the intermediate bosons that presumably mediate weak interactions. What could be more natural than to unite<sup>1</sup> these spin-one bosons into a multiplet of gauge fields? Standing in the way of this synthesis are the obvious differences in the masses of the photon and intermediate meson, and in their couplings. We might hope to understand these differences by imagining that the symmetries relating the weak and electromagnetic interactions are exact symmetries of the Lagrangian but are broken by the vacuum. However, this raises the specter of unwanted massless Goldstone bosons.<sup>2</sup> This note will describe a model in which the symmetry between the electromagnetic and

Galde de la Dins Les ave  $\frac{\text{tr}}{\text{tr} \cdot \text{tr} \cdot \text{tr} \cdot \text{tr}}$ boson fields as gauge  $f$   $d$ lds.<sup>3</sup> The model may be renormalizable.

werk interactions is spontaneously bre

We will restrict our attention to symmetry groups that connect the observed electron-type leptons only with each other, i.e., not with muon-type leptons or other unobserved leptons or hadrons. The symmetries then act on a lefthanded doublet

 $(1)$ 

bra is sli tha that (0.23%) obtained from  $det$  of Ref. 2. This seems to be true also in the other case of the ratio  $\Gamma(\eta \to \pi^+\pi^-\gamma)/$  $^{14}$ L. M. Brown and P. Singer, Phys. Rev. Letters 8,

20 NOVEMBER 1967

 $R \equiv \left[\frac{1}{2}(1-\gamma_{\rm s})\right]e$ .

 $(2)$ 

The largest group that leaves invariant the kinematic terms  $-\overline{L}\gamma^{\mu}\partial_{\mu}L-\overline{R}\gamma^{\mu}\partial_{\mu}R$  of the Lagrangian consists of the electronic isospin  $\vec{T}$  acting on L, plus the numbers  $N_L$ ,  $N_R$  of left- and right-handed electron-type leptons. As far as we know, two of these symmetries are entirely unbroken: the charge  $Q = T_2 - N_R - \frac{1}{2}N_I$ . and the electron number  $N = N_R + N_I$ . But the gauge field corresponding to an unbroken symmetry will have zero mass,<sup>4</sup> and there is no massless particle coupled to  $N<sup>5</sup>$  so we must form our gauge group out of the electronic iso-The distribution of the person of the strategy of  $\overline{T}$  and  $\overline{T}$ . ian out of L and R, plus gauge fields  $\overrightarrow{A}_{11}$  and  $B_{11}$  coupled to  $\vec{T}$  and Y, plus a spin-zero dou-

 $(3)$ 

whose vacuum expectation value will break  $\bar{T}$ and Y and give the electron its mass. The only renormalizable Lagrangian which is invariant under  $\overline{T}$  and Y gauge transformations is

$$
-\frac{1}{4}(\partial_{\mu}\vec{\Lambda}_{\nu}-\partial_{\nu}\vec{\Lambda}_{\mu}+g\vec{\Lambda}_{\mu}\times\vec{\Lambda}_{\nu})^{2}-\frac{1}{4}(\partial_{\mu}B_{\nu}-\partial_{\nu}B_{\mu})^{2}-\overline{R}\gamma^{\mu}(\partial_{\mu}-ig^{\prime}B_{\mu})R-L\gamma^{\mu}(\partial_{\mu}ig\vec{\tau}\cdot\vec{\Lambda}_{\mu}-i\frac{1}{2}g^{\prime}B_{\mu})L
$$
  

$$
-\frac{1}{2}[\partial_{\mu}\varphi-ig\vec{\Lambda}_{\mu}\cdot\vec{\tau}\varphi+i\frac{1}{2}g^{\prime}B_{\mu}\varphi]^{2}-G_{e}(\overline{L}\varphi R+\overline{R}\varphi^{\dagger}L)-M_{1}^{2}\varphi^{\dagger}\varphi+h(\varphi^{\dagger}\varphi)^{2}. \quad (4)
$$

We have chosen the phase of the R field to make  $G_e$  real, and can also adjust the phase of the L and Q fields to make the vacuum expectation value  $\lambda = \langle \varphi^0 \rangle$  real. The "physical"  $\varphi$  fields are then  $\varphi$ 

VOLUME 19, NUMBER 21

in the following.

and. assumi

The condition that  $\varphi_1$  have zero vacuum expec-

ory tells us that  $\lambda^2 \cong M_1^2/2h$ , and therefore the

zero. But we can easily see that the Goldstone

ical coupling. The Lagrangian is gauge invariant, so we can perform a combined isospin

and hypercharge gauge transformation which

ing anything else. We will see that  $G_e$  is very

The effect of all this is just to replace  $\varphi$  ev-

so the  $\varphi$ , couplings will also be disregarded

erywhere by its vacuum expectation value

the rest of the Lagrangian becomes

 $-\frac{1}{8}\lambda^2 g^2 [(A_{\mu}^{\ 1})^2 + (A_{\mu}^{\ 2})^2]$ 

 $\langle \varphi \rangle = \lambda \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ 

The first four terms in  $\mathcal L$  remain intact, while

tation value to all orders of perturbation the-

and

PHYSICAL REVIEW LETTERS

**20 NOVEMBER 1967** 



so  $A_{ij}$  is to be identified as the photon field. The interaction between leptons and spin-1 mesons is

$$
\begin{split} \frac{i g}{\sqrt{2}} \, \overline{e} \, \gamma^{\mu} (1 + \gamma_5) \nu W_{\mu} + \text{H.c.} + \frac{i g g^{\prime}}{(g^2 + g^{\prime 2})^{1/2}} \overline{e} \gamma^{\mu} e A_{\mu} \\ + \frac{i (g^2 + g^{\prime 2})^{1/2}}{4} \left[ \left( \frac{3 g^{\prime 2} - g^2}{g^2} \right) \overline{e} \gamma^{\mu} e - \overline{e} \gamma^{\mu} \gamma_5 e + \overline{\nu} \gamma^{\mu} (1 + \gamma_5) \nu \right] Z_{\mu}. \end{split}
$$

 $-{\textstyle\frac{1}{8}}\lambda^2(gA_\mu^{\ 3}+g'B_\mu)^2-\lambda G_e\overline{e}e\,. \eqno(7)$ 

We see that the rationalized electric charge is

$$
e = gg'/(g^2 + g'^2)^{1/2}
$$

$$
58 / (8 + 8)
$$
  
hat W<sub>11</sub> couples as usual

and, assuming that 
$$
W_{\mu}
$$
 couples as usual to hadrons and muons, the usual coupling constant of weak interactions is given by

$$
(16)
$$

 $(15)$ 

 $G_{\text{tt}}/\sqrt{2} = g^2/8M_{\text{tt}}^2 = 1/2\lambda^2$ . Note that then the  $e-\varphi$  coupling constant is

$$
G_e = M_e / \lambda = 2^{1/4} M_e G_W^{1/2} = 2.07 \times 10^{-6}
$$

The coupling of  $\varphi$ , to muons is stronger by a factor  $M_{II}/M_{\rho}$ , but still very weak. Note also that  $(14)$  gives g and g' larger than e, so (16) tells us that  $M_W > 40$  BeV, while (12) gives  $M_Z > M_W$  and  $M_Z > 80$  BeV.

The only unequivocal new predictions made

by this model have to do with the couplings of the neutral intermediate meson  $Z_{\mu}$ . If  $Z_{\mu}$ does not couple to hadrons then the best place to look for effects of  $Z_{\mu}$  is in electron-neutron scattering. Applying a Fierz transformation to the  $W$ -exchange terms, the total effective  $e$  -  $\nu$  interaction is

$$
\frac{G_{W}}{\sqrt{2}}\mathcal{v}_{\gamma_{\mu}}(1+\gamma_{5})\nu\sqrt{\frac{(3g^{2}-g^{\prime2})}{2(g^{2}+g^{\prime2})}}\mathcal{e}_{\gamma}^{\mu}e+\tfrac{3}{2}\mathcal{e}_{\gamma}^{\mu}\gamma_{5}e\bigg\}.
$$

If  $g \gg e$  then  $g \gg g'$ , and this is just the usual  $e$ - $\nu$  scattering matrix element times an extra factor  $\frac{3}{2}$ . If  $g \approx e$  then  $g \ll g'$ , and the vector interaction is multiplied by a factor  $-\frac{1}{2}$  rather than  $\frac{3}{2}$ . Of course our model has too many arbitrary features for these predictions to be

 $\Omega =$ 

 $(14)$ 

## really... 2 pages.

#### VOLUME 19, NUMBER 21

PHYSICAL REVIEW LETTERS

**20 NOVEMBER 1967** 

taken very seriously, but it is worth keeping in mind that the standard calculation<sup>8</sup> of the electron-neutrino cross section may well be wrong.

Is this model renormalizable? We usually do not expect non-Abelian gauge theories to be renormalizable if the vector-meson mass is not zero, but our  $Z_{\mu}$  and  $W_{\mu}$  mesons get their mass from the spontaneous breaking of the symmetry, not from a mass term put in at the beginning. Indeed, the model Lagrangian we start from is probably renormalizable. so the question is whether this renormalizability is lost in the reordering of the perturbation theory implied by our redefinition of the fields. And if this model is renormalizable, then what happens when we extend it to include the couplings of  $\overline{A}_{\mu}$  and  $B_{\mu}$  to the hadrons?

I am grateful to the Physics Department of MIT for their hospitality, and to K. A. Johnson for a valuable discussion.

\*This work is supported in part through funds provided by the U.S. Atomic Energy Commission under Contract No. AT(30-1)2098).

†On leave from the University of California, Berkeley, California.

<sup>1</sup>The history of attempts to unify weak and electromagnetic interactions is very long, and will not be reviewed here. Possibly the earliest reference is E. Fer-

mi, Z. Physik 88, 161 (1934). A model similar to ours was discussed by S. Glashow, Nucl. Phys. 22, 579 (1961); the chief difference is that Glashow introduces symmetry-breaking terms into the Lagrangian, and therefore gets less definite predictions.

<sup>2</sup>J. Goldstone, Nuovo Cimento 19, 154 (1961): J. Goldstone, A. Salam, and S. Weinberg, Phys. Rev. 127, 965 (1962).

<sup>3</sup>P. W. Higgs, Phys. Letters 12, 132 (1964), Phys. Rev. Letters 13, 508 (1964), and Phys. Rev. 145, 1156 (1966): F. Englert and R. Brout, Phys. Rev. Letters 13, 321 (1964); G. S. Guralnik, C. R. Hagen, and T. W. B. Kibble. Phys. Rev. Letters 13, 585 (1964).

<sup>4</sup>See particularly T. W. B. Kibble, Phys. Rev. 155, 1554 (1967). A similar phenomenon occurs in the strong interactions: the  $\rho$ -meson mass in zeroth-order perturbation theory is just the bare mass, while the  $A_1$  meson picks up an extra contribution from the spontaneous breaking of chiral symmetry. See S. Weinberg, Phys. Rev. Letters 18, 507 (1967), especially footnote 7; J. Schwinger, Phys. Letters 24B, 473 (1967); S. Glashow, H. Schnitzer, and S. Weinberg, Phys. Rev. Letters 19, 139 (1967), Eq. (13) et seq.

<sup>5</sup>T. D. Lee and C. N. Yang, Phys. Rev. 98, 101 (1955).  ${}^{6}$ This is the same sort of transformation as that which eliminates the nonderivative  $\tilde{\pi}$  couplings in the  $\sigma$  model; see S. Weinberg, Phys. Rev. Letters 18, 188 (1967). The  $\bar{\pi}$  reappears with derivative coupling be-

cause the strong-interaction Lagrangian is not invariant under chiral gauge transformation. <sup>7</sup>For a similar argument applied to the  $\sigma$  meson, see

Weinberg, Ref. 6. <sup>8</sup>R. P. Feynman and M. Gell-Mann, Phys. Rev. 109,

193 (1957).

SPECTRAL-FUNCTION SUM RULES,  $\omega$ - $\varphi$  MIXING, AND LEPTON-PAIR DECAYS OF VECTOR MESONS\*

#### R. J. Oakest

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#### and

#### J. J. Sakurai

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Within the framework of vector-meson dominance, the current-mixing model is shown to be the only theory of  $\omega$ - $\varphi$  mixing consistent with Weinberg's first sum rule as applied to the vector-current spectral functions. Relations among the leptonic decay rates of  $\rho^0$ ,  $\omega$ , and  $\varphi$  are derived, and other related processes are discussed.

We begin by considering Weinberg's first sum rule<sup>1</sup> extended to the  $(1+8)$  vector currents of the eightfold  $way^2$ :

 $\int dm^2[m^{-2}\rho_{\alpha\beta}^{(1)}(m^2)+\rho_{\alpha\beta}^{(0)}(m^2)]=S\delta_{\alpha\beta}^{(1)}+S'\delta_{\alpha0}\delta_{\beta0}^{(1)},$ 

### that's it.

### Citations in the next 4 years?

something like 3...all by Weinberg

### Then, all hell broke loose in 1979

## *definitive predictions:*

### **The W exists and the Z exists**

### **The Z would couple everywhere that** *γ* **couples** *like atoms 1984 like interfering with electron scattering*  $\sim$  | 990? *like "weak neutral currents" 1979*

**The mass of the Z is related to the mass of the W**

$$
cos\theta_W = \frac{M_W}{M_Z} \quad \text{1983}
$$

$$
\begin{pmatrix} \gamma \\ Z^0 \end{pmatrix} = \begin{pmatrix} \cos \theta_W & \sin \theta_W \\ -\sin \theta_W & \cos \theta_W \end{pmatrix} \begin{pmatrix} B^0 \\ W^0 \end{pmatrix}
$$

## **The Standard Model** is the most precise theory in the history **of\$physics**

### pretty damn good.



#### The Review of Particle Physics W.-M. Yao et al., Journal of Physics, G 33, 1 (2006)

### Newtonian gravity





**The Standard Model is** also a model of the **Universe** 

- **OVVVVVO**  $a^0$
- **OVVVVVO**  $B^0$
- $+$  MMM  $B^+$
- WWW  $B<sup>-</sup>$

$$
\phi \left( \begin{array}{c} + - - - - - \\ 0 - - - - - \\ \phi^* \left( \begin{array}{c} - - - - - - \\ 0 - - - - - \end{array} \right) \end{array} \right)
$$



 $t = 10^{-12}$  s

### $t =$  the beginning 0 s



The "Higgs Mechanism" This...is:

The remaining primordial *H*<sup>0</sup> scalar is the Higgs Field.

The Higgs Boson creates mass mass may not be an inherent property... but an *acquired* one

## about nothing





## the action

in the

# VACUUM

## a tiny ball of spa **13.7 Bill**



## ime, and energy ears ago















## Nature is clumpy



## HiggsBosch





(after David Miller)














**By constraining SM measurements:**  ${M_H < 182 \text{ GeV}/c^2}$ ; > 114 GeV/c<sup>2</sup> } and: M<sub>H</sub> = 76 +36 -24 GeV/c<sup>2</sup>

## **SM is a renormalizable theory**

with issues... Higgs loops. and Gravity.





