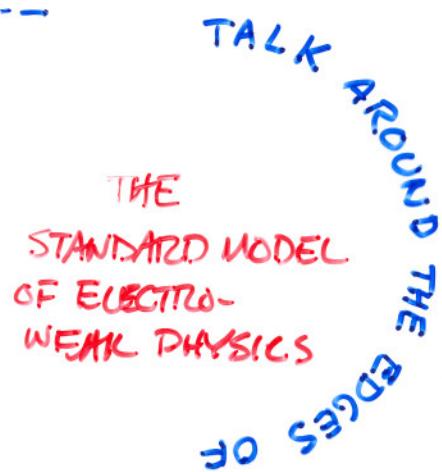


# NOW FOR SOMETHING COMPLETELY DIFFERENT...

THE BASES ON WHICH THE ARCANE MECHANICS OF  
THE "STANDARD MODEL" ARE BUILT ARE NOT ALWAYS  
TAUGHT/WRITTEN ... but they are fun.

- THE DEVELOPMENT OF ESPECIALLY THE ELECTROWEAK MODEL IS FULL OF INTERESTING HISTORY, FALSE STARTS, INTRIGUE, MYSTERY, & SOME PRETTY NON-HEP.
- I PROPOSE TO SCHEMATICALLY... WITH A MINIMUM OF MATHEMATICS...



- QCD has a couple of important uses... one of which is as an important systematic's tool in EW Physics.
- we wanted to give a flavor  $\Rightarrow$  knowing something about standard model.
- We're all high energy physicists here -- so we all share a common characteristic -- we think that we're pretty special!
- What I'd like to do is to chip away a bit at the large measure of hubris which is a necessary personality trait in our species — by appealing to "the guys in the basement"
  - every physics dept. has a set of low temperature physicists --  
they explore temperatures which are inherently interesting:

note The universe has presumably never been colder than 3K -- so any exploration of the states of matter below that temperature is NEW -- not been created naturally ever.

Who cares? We do.

# GAUGE - THEORIES

## - an eccentric introduction

A RECREATION IN THE HISTORICAL AND  
CROSS-CULTURAL ROOTS OF MODERN  
GAUGE THEORIES OF THE ELECTROMAGNETIC,  
WEAK, AND STRONG INTERACTIONS

introduction

uses of symmetry & invariance in physics

gauge principle

weak interactions

critical phenomena

BROKEN symmetry

Higgs, et. al. mechanism

PUTTING IT TOGETHER → WEINBERG & SALAM MODEL

I WANT TO TELL YOU a story...

previous lectures → meat & potatoes.

Desert.

PC

pedagogically complete

# introduction: field theory primer

# A CATALOG WILL SUFFICE...

## FREE LAGRANGIANS

scalar fields:

$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2$$

EQUATIONS OF MOTION

$$\partial_\mu \partial^\mu \phi + m^2 \phi^2 = 0$$

spin  $\frac{1}{2}$  fields:

$$\mathcal{L} = \bar{\psi}(x) [i \gamma^\mu \partial_\mu - m] \psi(x) = 0$$

$$(i \gamma^\mu \partial_\mu - m) \psi(x) = 0$$

spin 1, massless fields:

$$\mathcal{L} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu}$$

$$\partial_\mu F^{\mu\nu} = 0$$

$$F^{\mu\nu} = \partial^\mu A^\nu - \partial^\nu A^\mu$$

spin 1, massive fields:

$$\mathcal{L} = -\frac{1}{4} f^{\mu\nu} f_{\mu\nu} + \frac{1}{2} M^2 B^\mu B_\mu$$

$$\partial_\mu f^{\mu\nu} + m^2 B^\nu = 0$$

$$f^{\mu\nu} = \partial^\mu B^\nu - \partial^\nu B^\mu$$

## INTERACTIONS -

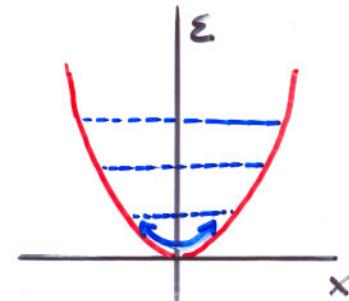
$$\mathcal{L}_{\text{electromagnetic - spin } \frac{1}{2}} = e_f \bar{\psi}(x) \gamma^\mu f(x) A_\mu(x)$$

$$\mathcal{L}_{\text{Yukawa}} = g \phi(x) \bar{\psi}(x) \psi(x)$$

## PARTICLE SPECTRA -

$$\phi(x) = \int \frac{d^3 k}{(2\pi)^3 2\omega_k} [a(k) e^{-ikx} + a^\dagger(k) e^{ikx}]$$

... just like  
quantum oscillator from 1<sup>st</sup> year quantum mechanics



## Quick lesson on symmetry in quantum mechanics 1/4:

### OUR FAITH HAS COME FULL CIRCLE...

- We are amused at the image of Kepler, among many others, trying to bend the observed universe into an a priori notion of how it ought to be — for Kepler, it ought to have something to do with the Platonic Solids. For others, c "perfect geometry", circles... then ellipses...
- We are no different now! One of my messages...
- WHAT DID EINSTEIN DO IN SPECIAL RELATIVITY?
  - HE DIDN'T INVENT THE TRANSFORMATIONS NECESSARY  
Lorentz did that earlier
  - HE DIDN'T ESTABLISH THE MATHEMATICAL RIGOR  
Poincaré did that earlier
- WHAT HE DID WAS DERIVE THOSE RESULTS BY ARGUING FROM AN A PRIORI PREJUDICE REGARDING A PREFERENCE FOR SYMMETRY

 THAT WAY OF THINKING CAUGHT ON... SPACETIME SYMMETRIES TOOK ON A FUNDAMENTAL IMPORTANCE IN PHYSICS...

only to be confused & frustrated by:

1. The discovery of non-spacetime symmetries (eg, isospin.. the "INTERNAL" SYMMETRIES)
- ★ 2. The discovery that Nature is actually RARELY symmetric! ... approximate symmetries!

# QUANTUM MECHANICS:

- GROUP OPERATIONS REPRESENTED BY UNITARY OPERATORS,  $U$ , IN A LINEAR VECTOR SPACE OF STATE VECTORS,  $|x\rangle$

vectors transform:  $|x\rangle \rightarrow |x'\rangle = U|x\rangle$

operators transform:  $\Theta \rightarrow \Theta' = U\Theta U^{-1}$

↑  
generated  
by  $G$

- IF SYSTEM IS SYMMETRIC wrt GROUP,  $[H, G] = 0$

- An important theorem came out of the incredible mathematics group of F. Klein in Göttingen - written down by Emmy Noether:

SYMMETRY  $\Leftrightarrow$  CONSERVATION LAW

- OF PARTICULAR INTEREST ARE SYMMETRY GROUPS WITH

REPRESENTATIONS LIKE  $U(\varepsilon) = e^{-i \sum_j \varepsilon^j Q_j}$

(INFINITESIMAL  
PARAMETERS)

"GENERATORS" OF THE  
GROUP & OPERATORS  
HAVING QUANTUM #'S  
AS EIGENVALUES

- CONNECTION THROUGH "CHARGE" & A CONSERVED "CURRENT" -

$$Q \equiv \int d^3x j^0(x)$$

where  $\partial_\mu j^\mu(x) = 0$  signifies a conservation law

Quick lesson on symmetry in quantum mechanics 2:

# QUANTUM FIELD THEORY:

- $\phi(x)$  IS AN OPERATOR

$$\phi \rightarrow \phi' = U\phi U^{-1}$$

$$= (I - i \sum_j \varepsilon^j Q^j) \phi (I + i \sum_j \varepsilon^j Q^j)$$
$$= \phi + i \sum_j \varepsilon^j [Q^j, \phi(x)]$$

so  $[Q^j, \phi(x)] = \phi'(x) \Rightarrow$

(note: often  $U\phi U^{-1} = \exp(i \sum_j \varepsilon^j q^j) \phi(x)$  ... a phase )  
↑ eigenvalues of  $Q^j$

- SUPPOSE  $[H, Q] = 0 \Rightarrow \partial_\alpha Q = 0$

LET  $H|\vec{p}_n\rangle = E_n|\vec{p}_n\rangle$

THEN  $QH|\vec{p}_n\rangle = E_n Q|\vec{p}_n\rangle$       }  
      "    }  
 $HQ|\vec{p}_n\rangle = E_n Q|\vec{p}_n\rangle$       }  
    }  
    }  
 $|\vec{p}_n\rangle \notin Q|\vec{p}_n\rangle$  ARE  
BOTH EIGENSTATES OF  $H$   
WITH SAME  $E_n$ -degenerate  
→ MAY REPRESENT ORTHOGONAL  
STATES WITH DISTINCT  
QUANTUM NUMBERS...

- THERE IS A SPECIAL EIGENSTATE OF  $H$ ... THE VACUUM.

$$H|0\rangle = 0 \text{ IS ALWAYS TRUE FOR VACUUM STATE}$$

USUALLY, IT IS ASSUMED THAT, FOR  $U = e^{iQ\alpha}$

$$U|0\rangle = |0\rangle \text{ FOR ALL SYMMETRIES}$$

$$\Rightarrow Q|0\rangle = 0$$

IF  $Q|0\rangle \neq 0$ , THEN THERE MUST BE DEGENERATE VACUA

IF ALSO  $[H, Q] = 0$ . stay tuned!

gauge symmetries 1:

# HISTORICALLY...

- SOON AFTER GENERAL RELATIVITY WAS WRITTEN BY EINSTEIN, H. WEYL PROPOSED A MODIFICATION...

HE ADDED INVARIANCE WITH RESPECT TO

$$\begin{aligned} \text{a. } g_{\mu\nu}' &= \lambda(x) g_{\mu\nu} && \text{same } \lambda(x) \text{ phase} \\ \text{b. } A_\mu' &= A_\mu - \frac{\partial \lambda(x)}{\partial x^\mu} \end{aligned}$$

b. is the regular ambiguity required of electromagnetic potentials.

a. is weird.  $\Rightarrow ds^2 = g_{\mu\nu} dx^\mu dx^\nu \rightarrow \lambda ds^2$ : LENGTHS ARE RE-GAUGED

- suggests an invariance even though space & time can change over all space and time.
- the mediator which holds the spacetime structure together would be the electromagnetic field.

→ ALL CALLED A "GAUGE TRANSFORMATION"

"Your ideas show a wonderful cohesion. Apart from the agreement with reality, it is at any rate a grandiose achievement of mind." A. Einstein to H. Weyl 1919.

THE THEORY... AN EARLY ATTEMPT TO UNIFY GRAVITATION WITH ELECTROMAGNETISM... DIDN'T WORK.

... but, the name stuck.

IN 1927 London revived the idea... but the symmetry isn't the scale of spacetime, rather the phase of the wave function.

Gauge symmetries 2:

# GLOBAL, U(1) SYMMETRIES:

$$U(\theta) = e^{i\theta Q}$$

"GLOBAL"  $\Rightarrow$  SAME PHASE, INDEPENDENT OF SPACETIME  $\theta \neq \theta(x)$

"U(1)"  $\Rightarrow$  1 PARAMETER LIE GROUP HAVING  $Q$  AS GENERATOR

$$\psi(x) \rightarrow \psi'(x) = U \psi(x) U^{-1}$$
$$= e^{i\theta Q} \psi(x)$$

SIMPLE EXERCISE 1: For the Dirac free field, show that a local U(1) transformation leads to an invariance, and hence conserved quantum numbers,  $q$ .  
i.e. show  $\delta \mathcal{L} = \mathcal{L}(\psi) - \mathcal{L}(\psi') = 0$ .

GLOBAL SYMMETRIES NOT VERY RESTRICTIVE & NOT REALLY CONSISTENT WITH RELATIVITY & LOCAL FIELD THEORY...

# LOCAL, U(1) SYMMETRIES:

$$U(\theta) = e^{i\theta(x) Q}$$

"LOCAL"  $\Rightarrow$  POTENTIALLY DIFFERENT PHASE AT ALL SPACETIME POINTS  $\theta = \theta(x)$

$$\psi(x) \rightarrow \psi'(x) = e^{i\theta(x) q} \psi(x)$$

NOT SO SIMPLE...

$$\mathcal{L}(\psi) \rightarrow \mathcal{L}(\psi') = e^{-i\theta(x) q} \bar{\psi}(x) [\underline{i\gamma^\mu \partial_\mu - m}] e^{i\theta(x) q} \psi(x)$$

$$= \bar{\psi}(x) [\underline{i\gamma^\mu \partial_\mu - m}] \psi(x) - q \underline{\partial_\mu \theta(x) \bar{\psi}(x) \gamma^\mu \psi(x)} \neq \mathcal{L}(\psi)$$

SIMPLE EXERCISE 2: For  $\psi = \begin{pmatrix} u(x) \\ d(x) \end{pmatrix}$  and  $U = e^{i\theta Q}$  ... what is the physical symmetry for Global U(1)?

### gauge symmetries 3:

Derivative term causes trouble... define a new divergence operator to cancel the unwanted term!

$$D_\mu \equiv \partial_\mu + X_\mu \quad \text{as-yet unnamed vector operator}$$

goal is to get the gradient term to transform simply...

$$(D_\mu \psi) \rightarrow (D_\mu \psi)' = e^{iq\theta(x)} (D_\mu \psi)$$

- START OUT WITH  $\mathcal{L} = \bar{\psi}(x)[i\gamma^\mu D_\mu - m]\psi(x)$

$$= \bar{\psi}(x)[i\gamma^\mu \partial_\mu + i\gamma^\mu X_\mu - m]\psi(x)$$

transform  $\psi \rightarrow \psi'$

$$\mathcal{L}(\psi) \rightarrow \mathcal{L}(\psi') = \bar{\psi}'(x) \left\{ i\gamma^\mu [ \partial_\mu + X_\mu - iq\partial_\mu \theta(x) ] - m \right\} \psi'(x)$$

STILL NOT RIGHT!

must simultaneously transform  $X_\mu \rightarrow X'_\mu = X_\mu - iq\partial_\mu \theta(x)$

aha! Denote  $X_\mu \equiv iqA_\mu(x)$  so the gradient looks like

$$D_\mu \equiv \partial_\mu + iqA_\mu$$

‡ TOTAL TRANSFORMATION NECESSARY TO LEAVE  $\mathcal{L}$  ALONE IS:

$$\psi(x) \rightarrow \psi'(x) = e^{iq\theta(x)} \psi(x)$$

$$A_\mu(x) \rightarrow A'_\mu(x) = A_\mu(x) - \partial_\mu \theta(x)$$

GAUGE INVARIANCE OF 2nd KIND -

$$\mathcal{L} = \underbrace{\bar{\psi}(i\gamma^\mu \partial_\mu - m)\psi}_{\text{free } \psi} - q \underbrace{A_\mu \bar{\psi} \gamma^\mu \psi}_{\text{"interaction"}} - \underbrace{\frac{1}{4} F_{\mu\nu} F^{\mu\nu}}_{\text{added free } A_\mu} \quad \begin{array}{l} \mathcal{L} \text{ IS} \\ \text{GAUGE INVARIANT} \end{array}$$

# TURNING THE UTILITY OF SYMMETRY upside-down...

IF INVARIANCE WITH RESPECT TO LOCAL, U(1) SYMMETRY  
IS, a priori, OF PARAMOUNT IMPORTANCE...  
one is forced to invent the photon.

DEMAND OF A SYMMETRY... GET NEW FIELDS AND DYNAMICS !!

OTHER SYMMETRIES → NEW SPIN 1, 2... FIELDS ?

THE INTRIGUING RESEARCH PROJECT IN 1954 OF  
YANG & MILLS.. AND INDEPENDENTLY BY SHAW

a) LOCAL SU(2) SYMMETRY → ISOTRIPLET OF SPIN 1 FIELDS

b) GRAVITON?

DEMANDING  $U = e^{i \sum_a \vec{\theta}(x) \cdot \vec{\tau}_a / 2}$  →  $\vec{b}_r(x)$  ↗ 2 charged  
isovector &  
Lorentz vector

## Yang Mills 1:

$$\text{AGAIN: } \mathcal{L} = \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi$$

now  $\psi = \begin{pmatrix} \psi_1 \\ \psi_2 \end{pmatrix}$  as bases for  $su(2)$  operators

DEFINE A NEW COVARIANT DERIVATIVE...

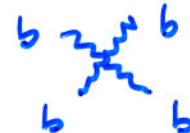
$$\partial_\mu \equiv \partial_\mu + ig \vec{b}_\mu \cdot \vec{\tau}_{1/2} \quad \nmid \text{ substitute } \nmid \text{ lots of algebra-}$$

$$\mathcal{L} = \bar{\psi} (i\gamma^\mu \partial_\mu - m) \psi - g \bar{\psi} \gamma^\mu \vec{\tau} \psi \cdot \vec{b}_\mu - \frac{1}{4} \vec{f}_{\mu\nu} \cdot \vec{f}^{\mu\nu}$$

$\rightarrow$   $\vec{b}$  complicated

$$\begin{aligned} -\frac{1}{4} \vec{f}^{\mu\nu} \cdot \vec{f}_{\mu\nu} &= -\frac{1}{2} (\partial_\nu \vec{b}_\mu - \partial_\mu \vec{b}_\nu) \cdot \partial^\nu \vec{b}^\mu \\ &\quad + g \vec{b}_\nu \times \vec{b}_\mu \cdot \partial^\nu \vec{b}^\mu \quad \begin{array}{c} b \\ \sim \sim \sim \\ b \end{array} \\ &\quad - \frac{1}{4} g^2 [(\vec{b}_\nu \cdot \vec{b}^\nu)^2 - (\vec{b}_\nu \cdot \vec{b}_\mu)(\vec{b}^\mu \cdot \vec{b}^\nu)] \end{aligned}$$

- get self-couplings for  $\vec{b}$ 's.

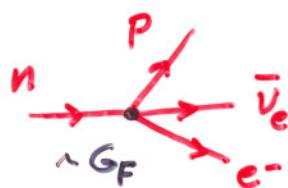


$\vec{b}_\mu$  FIELD IS STILL MASSLESS

ONE MIGHT HAVE HOPED THAT THE  $\vec{b}_\mu$  WOULD HAVE FOUND WORK AS  $\vec{W}_\mu$  -- but masslessness is a fatal flaw.

weak interactions, circa 1960 :

# SINCE PAULI & FERMI IN 1930's...

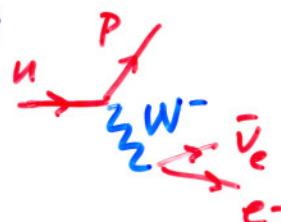


$$G_F \sim 10^{-5} / M_P^2$$

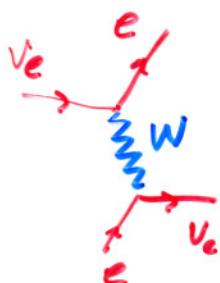
20 YEARS OF CONTRADICTORY EXPERIMENTAL RESULTS,  
SURPRISES, BEAUTIFUL THEORY (1958 Feynman & Gell-Mann)...  
A RAG-TAG BUNDLE OF DECAYS WERE FINALLY ALL  
RECOGNIZED TO BE "WEAK" & PARITY-VIOLATING

... HURISTICALLY DESCRIBED BY:

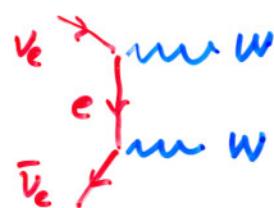
$W^\pm$  : charged  
isospin raising/lowering  
massive



THERE WERE WELL-KNOWN PROBLEMS



violates Unitarity



r unbounded



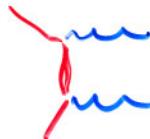
2W Production



Contains an important hint...

hint 1:

THE PROBLEM WITH



LIE WITH THE

LONGITUDINAL DEGREE OF FREEDOM

- MASSLESS SPIN 1 FIELDS HAVE 2 dof ... polarizations, L,R  
(Gauge Invariance)

- MASSIVE SPIN 1 FIELDS HAVE 3 dof ... USUALLY TAKEN AS  
L,R, & LONGITUDINAL

$$\Sigma^{\mu}(\lambda=0) \sim \frac{k^{\mu}}{M} \text{ at high energy}$$

HINT IN ELECTROMAGNETISM...  $Z\gamma$  PRODUCTION



BOTH GRAPHS  
REQUIRED BECAUSE  
REQUIRE GAUGE  
INVARIANCE...

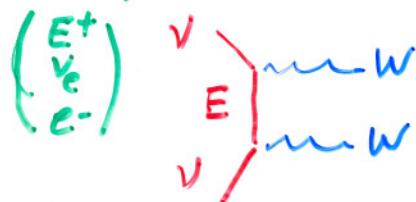
PRETEND THAT  $\gamma$  HAD A MASS... & THEREFORE A  
LONGITUDINAL dof.

THIS BADLY-BEHAVED POLARIZATION TERM  
CANCELS BETWEEN THE GRAPHS...

IN Hindsight, CANCELLATION CAN BE ARRANGED FOR W.I.

either, require a new,  
heavy electron

or, require a new, heavy  
spin 1 field

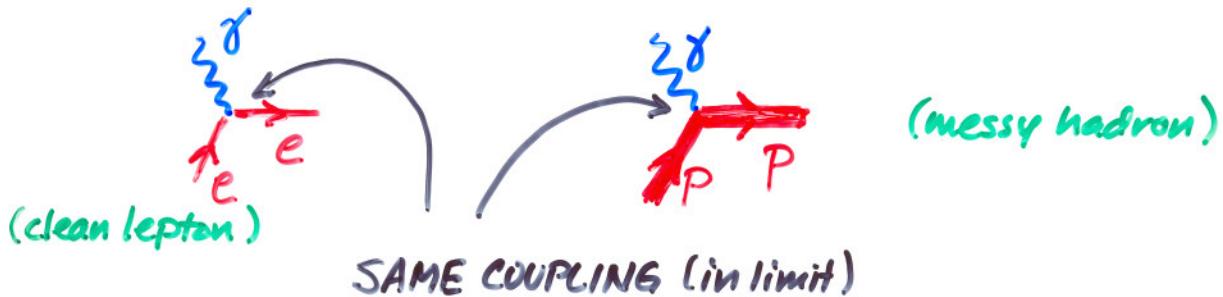


stay tuned.

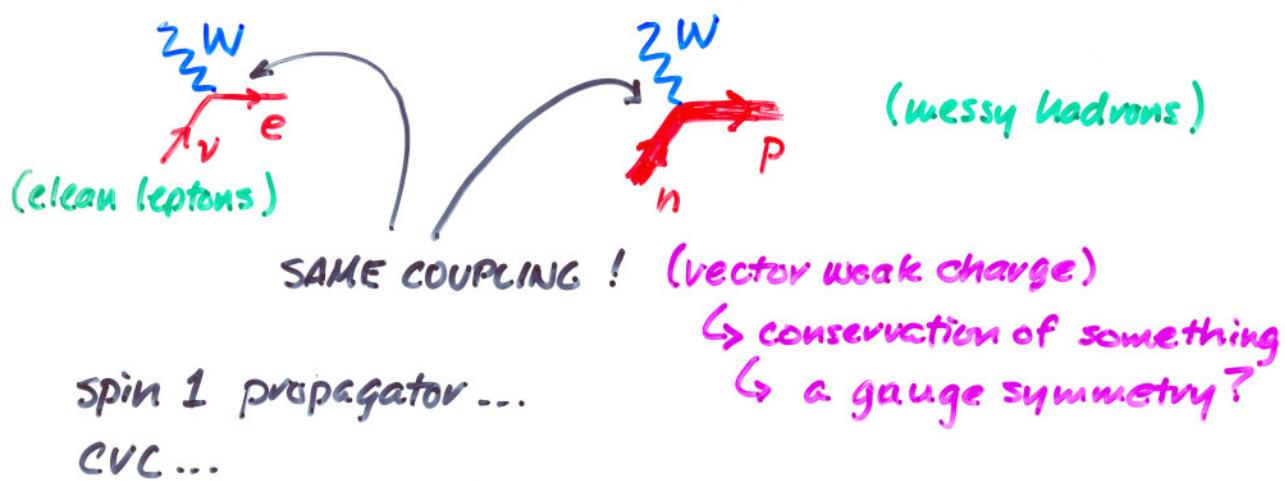
hint 2:

# ENCOURAGEMENT (!)...

ELECTROMAGNETISM EXHIBITS A MAGICAL BEHAVIOR...



SO DO WEAK INTERACTIONS...



COULD THE WELL-BEHAVED ELECTROMAGNETIC INTERACTION  
BE RELATED TO THE ILL-BEHAVED, BADLY-BRED WEAK?

Schwinger, Salam, Ward, Glashow, Weinberg... — Using Yang-Mills ideas...!

$$\begin{pmatrix} W^+ \\ \gamma \\ W^- \end{pmatrix} ? \quad \begin{pmatrix} W^+ \\ Z^0 \\ W^- \end{pmatrix} \notin \gamma$$

BUT... YANG-MILLS FIELDS MUST BE MASSLESS... \*sigh\*

*Critical phenomena I:*

# ... AN INTERLUDE ...

MEANWHILE - CONDENSED MATTER PHYSICS WAS HAVING  
GREAT CONCEPTUAL & EXPERIMENTAL SUCCESS  
WITH 2<sup>nd</sup> ORDER PHASE TRANSITIONS  
... cooperative phenomena in many-body physics

~ MINI-AGENDA ~

- LIGHT-SPEED REVIEWS OF
  - the thermodynamics of phase transitions
  - Mean Field Theory & the Ginzburg-Landau phenomenology
- FERROMAGNETISM AS AN EXAMPLE OF A "BROKEN SYMMETRY"
  - ... AN INTERLUDE WITHIN AN INTERLUDE ...
- GOLDSTONE THEOREM
- DILUTE BOSE GAS AS AN EXAMPLE OF THE GOLDSTONE THEOREM
- GOLDSTONE - not!
  - superconductivity

BACK TO PARTICLE PHYSICS WITH THE SOLUTION — 1967

thermodynamics of phase transitions I:

# WHAT IS A PHASE?

FORMALLY... A REGION OF ANALYTICITY OF THE FREE ENERGY...

$$f = -k_B T \ln Z$$

from statistical mechanics

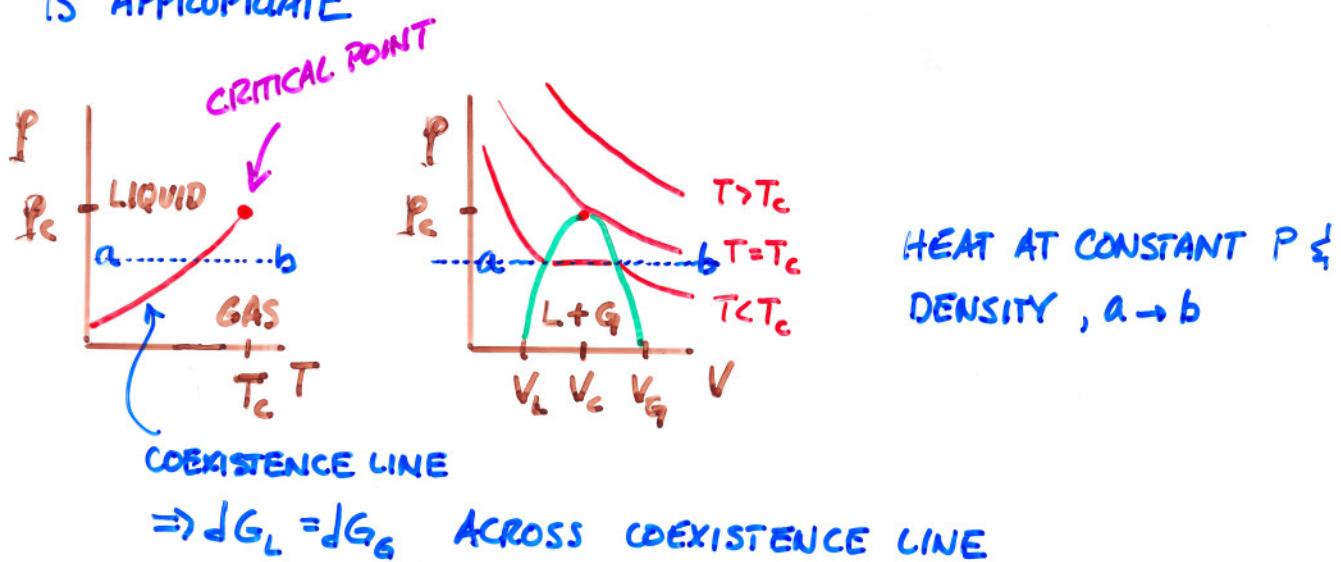
$$\hookrightarrow \text{Tr. } e^{-H/k_B T}$$

thermodynamics comes from derivatives of  $f$

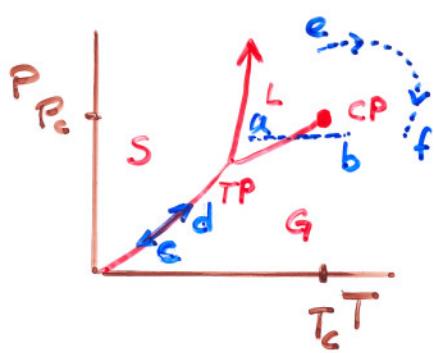
f:  $F = U - TS$  (Helmholtz)      }  
 $G = F + PV$  (Gibbs)      } from thermodynamics

$$S = \left( -\frac{\partial G}{\partial T} \right)_{P,N} = \left( -\frac{\partial F}{\partial T} \right)_{V,N}$$

- A PARTICULAR PHASE MIGHT BE REALIZED WITH MINIMUM G...
- MORE THAN 1 PHASE MIGHT BE POSSIBLE (WITH SAME H), SUGGESTING THAT ANALYSIS OF f FOR NON-ANALYTIC BEHAVIOR IS APPROPRIATE



## thermodynamics of phase transitions 2:



IMAGINE HEATING, WHILE MAINTAINING EQUILIBRIUM BETWEEN S & G, C → d

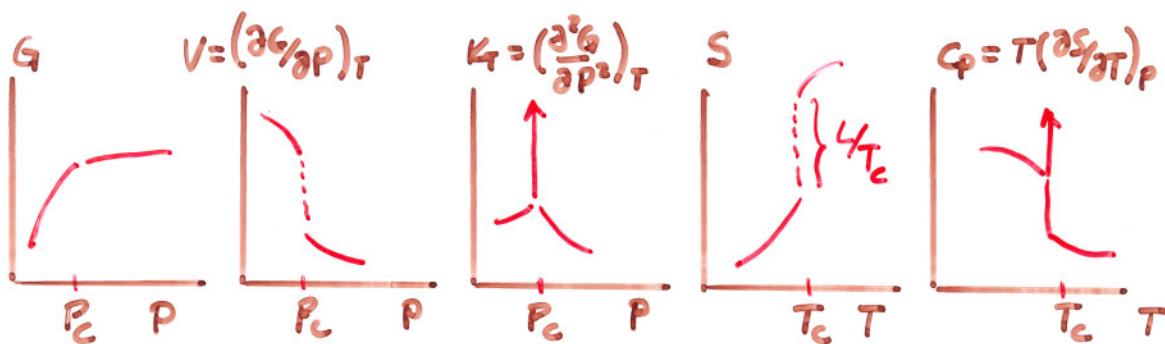
$$dG_S = dG_G \quad \text{where} \quad dG_i = V_i dP - S_i dT$$

↓

$$\frac{dP}{dT} = \frac{S_S - S_G}{V_S - V_G} = \frac{\Delta S}{\Delta V} = \frac{L}{T \Delta V} \quad (\text{Clausius-Clapeyron})$$

entropy change  $\Rightarrow$  heat absorbed in "crossing the line"

→ latent heat

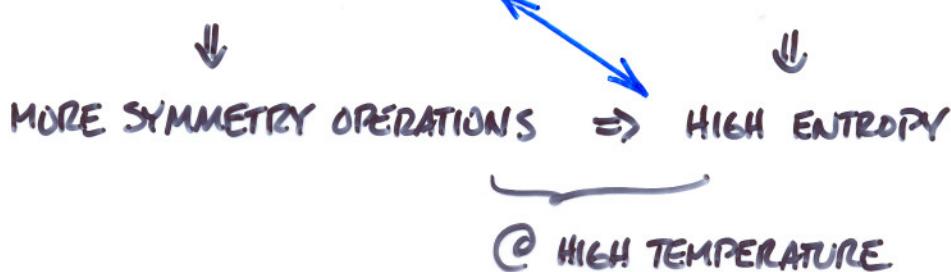


FIRST DERIVATIVE  
OF G IS DISCONTINUOUS  $\Rightarrow$  "1<sup>st</sup> ORDER P.T."

TAKES PLACE ACROSS  
COEXISTENCE CURVE

CRUCIAL CONCEPT IS THE SYMMETRY OF THE PHASES...

- A SYSTEM EITHER HAS A SYMMETRY... OR IT DOESN'T
  - IF THERE IS A SYMMETRY CHANGE  $\rightarrow$  P.T. HAS TAKEN PLACE
- HIGH DEGREE OF SYMMETRY  $\Rightarrow$  LACK OF ORDER



NOTE: e → f DOESN'T INVOLVE A SYMMETRY CHANGE.

COVER

$\uparrow$  NO  
 $\uparrow$  DISTINCTION

$\uparrow$  NO  
 $\downarrow$  DISTINCTION

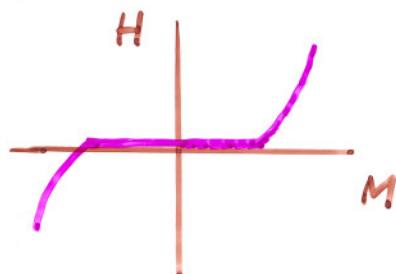
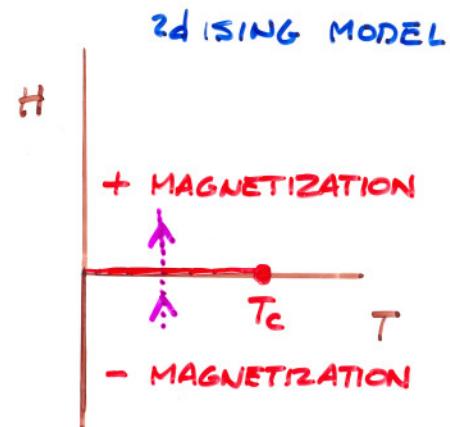
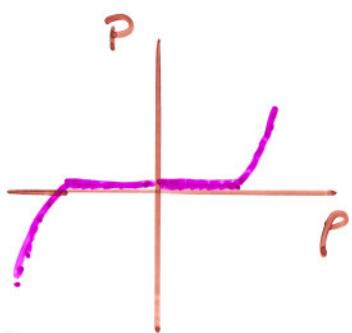
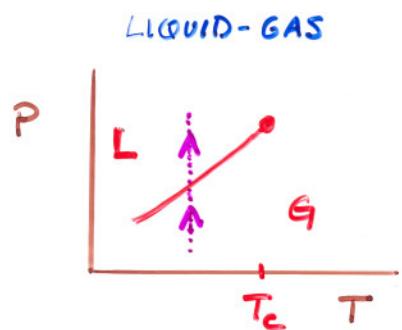


WHILE VERY DIFFERENT, THERE IS CLEARLY  
SOMETHING THE SAME ABOUT DENSITY IN  
A FLUID & MAGNETIZATION IN A FERROMAGNET.

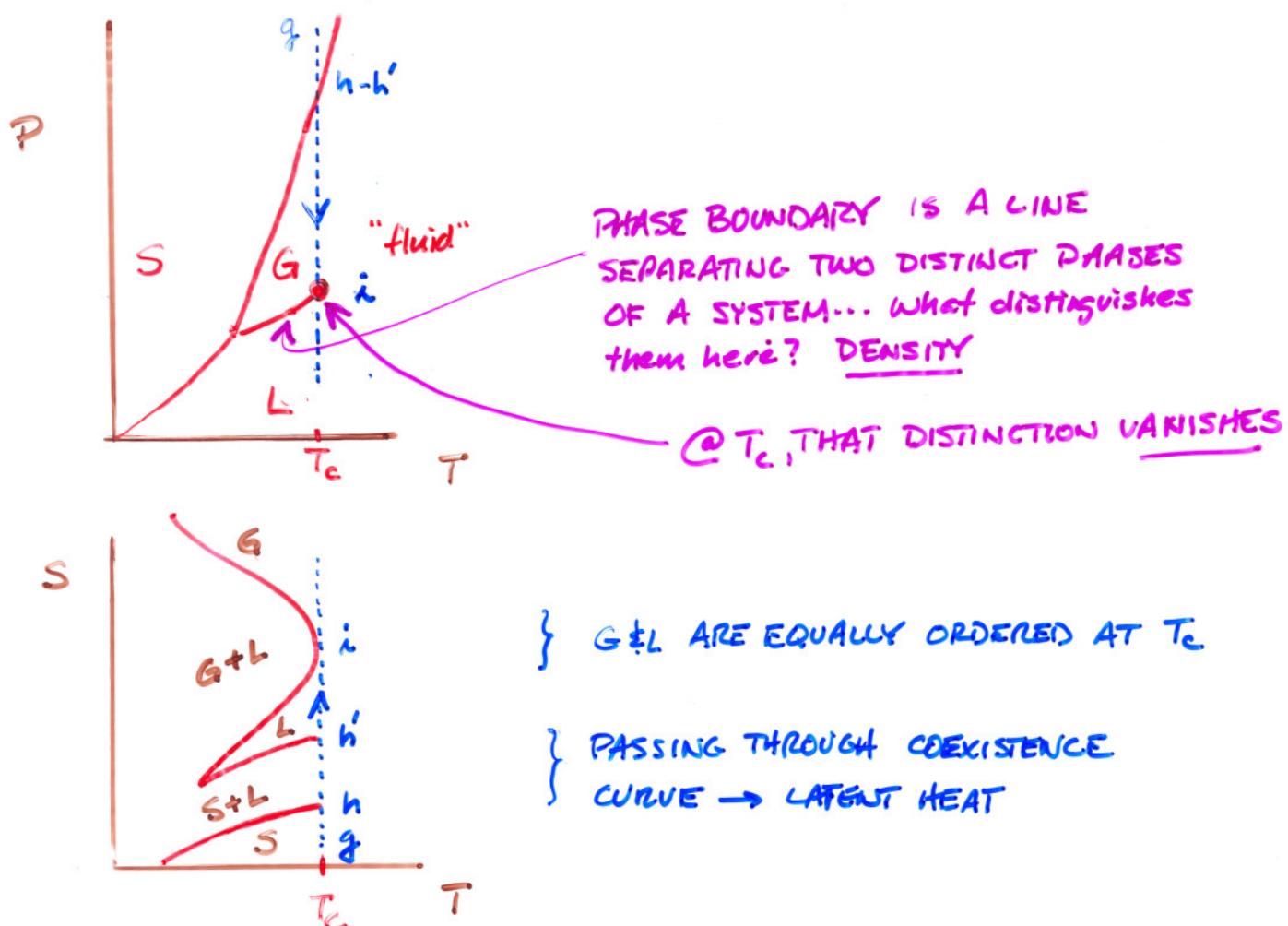
... a universality.



# thermodynamics of phase transitions 2/4



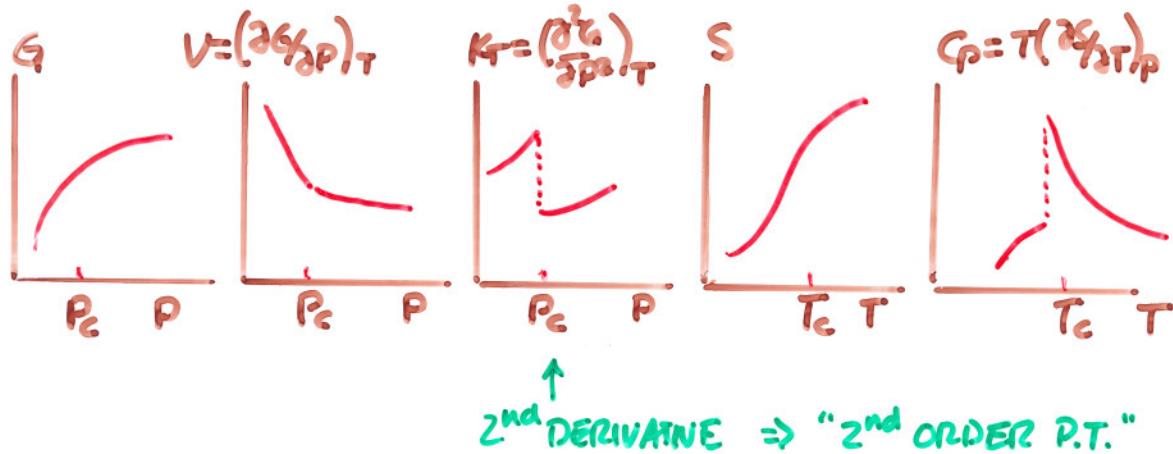
## thermodynamics of phase transitions 2 $\frac{1}{2}$ :



TRANSITIONS WHICH DON'T DISPLAY A SUDDEN STATE CHANGE &  
HAVE A CONTINUOUS ENTROPY CHANGE --- CALLED "ORDER-DISORDER"  
TRANSITIONS ... DERIVATIVES ARE DISCONTINUOUS -- "LAMBDA" TR.

## thermodynamics of phase transitions 3:

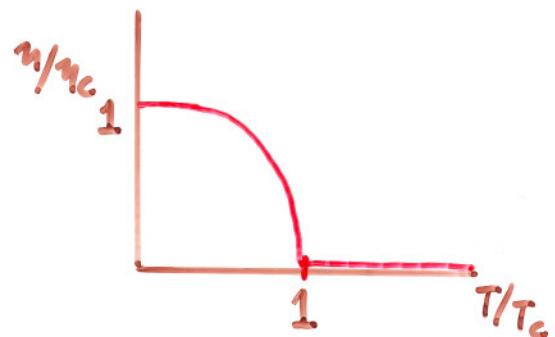
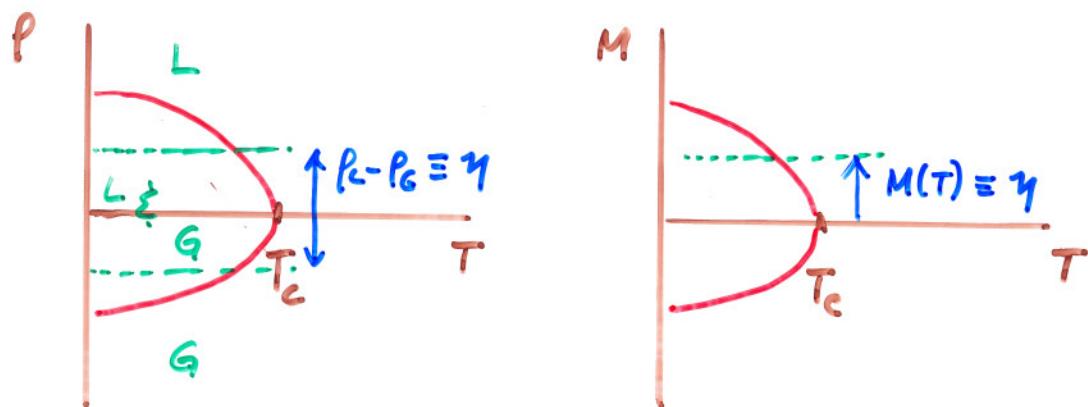
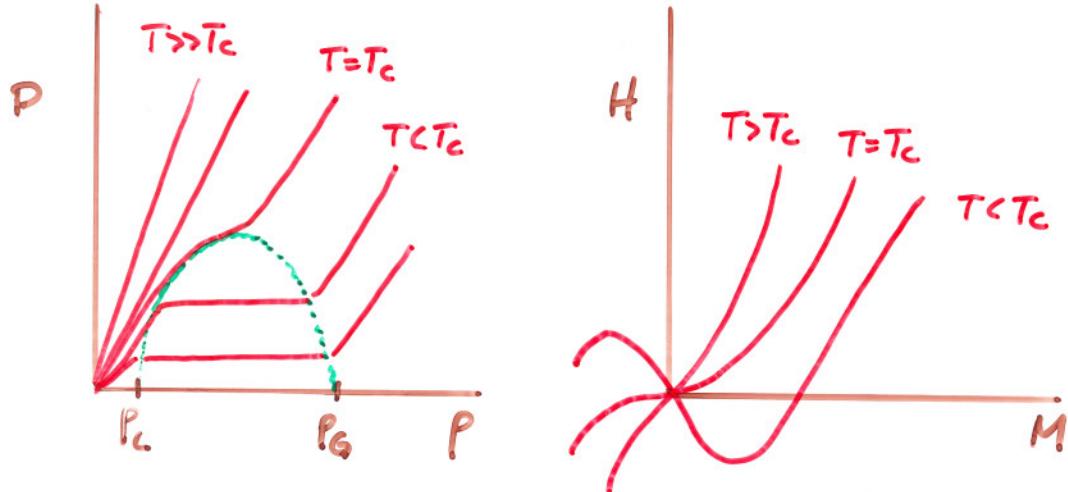
THERE ARE P.T. WHICH ARE CONTINUOUS AT 1<sup>st</sup> ORDER



- FOLLOWING ON SYMMETRY FOCUS.. LANDAU & GINSBURG INVENTED A DEGREE OF FREEDOM TO MEASURE THE ORDER IN A SYSTEM : THE ORDER PARAMETER ,  $\eta(T)$ . ... and in so doing, universalized the study of phase transitions
- IF  $\eta = 0$  THEN SYSTEM IS IN ORDERED PHASE  
 $|\eta| \neq 0$  THEN SYSTEM IS IN DISORDERED PHASE
- IF  $\eta(T) \rightarrow 0$  CONTINUOUSLY THEN P.T. IS 2<sup>nd</sup> ORDER

SYSTEM	$\eta$	EXAMPLE	$T_c$ (K)
liquid-gas	$P_L - P_G$	$H_2O$	647
ferromagnet	$M$	$Fe$	1044
superfluid	$\psi_{\text{ground state}}$	${}^4He$	2
superconductivity	$\psi_{\text{Cooper pairs}}$	$Pb$	7
ferroelectrics	$P$	triglycerine sulfate	323
binary alloys	concentration	$Cu-Zn$	739

## thermodynamics of phase transitions 4:



## Thermodynamics of phase transitions 5:

NEAR  $T_c$ , LANDAU POSTULATED THAT WE CAN WRITE A FUNCTION,  $L$  (Landau free energy) ... RELATED TO  $G \propto V$

$$L(P, T, \eta) = L_0 + \beta(P, T)\eta^2 + \delta(P, T)\eta^4 \dots$$

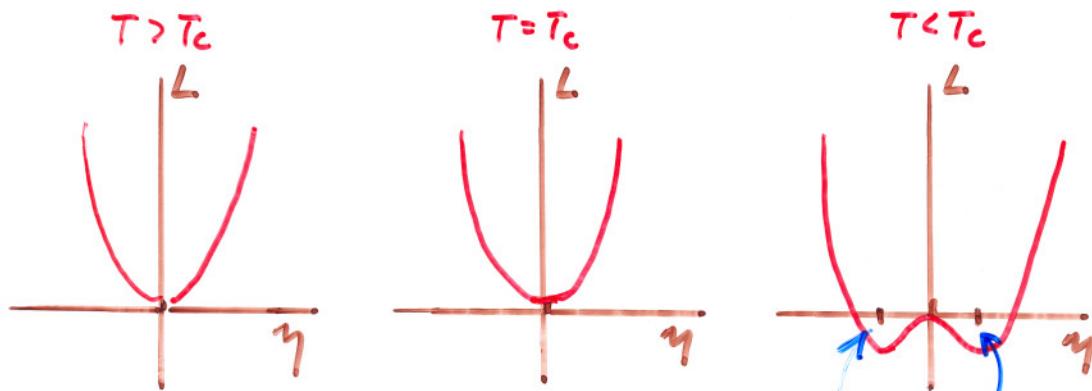
$$\begin{aligned} \beta > 0 &\Rightarrow T \geq T_c \\ \beta < 0 &\Rightarrow T < T_c \end{aligned} \quad \left. \begin{array}{l} \text{FLIP, ABOVE \& BELOW} \\ \text{PHASE TRANSITION} \end{array} \right\}$$

$\delta > 0$  ALWAYS

- MINIMIZATION OF  $L$  GUARANTEES A STABLE GROUND STATE

... PRESUME GOOD BEHAVIOR NEAR  $T_c$ :  $\beta(P, T) = b(P)(T - T_c) + \dots$

$$L = L_0 + b(T - T_c)\eta^2 + \delta\eta^4$$



SIMPLE EXERCISE 3:

SHOW

$$\left\{ \begin{array}{l} \eta = \pm \sqrt{\frac{b}{2\delta}(T - T_c)} \\ L = -\frac{b^2}{2\delta}(T - T_c)^2 \end{array} \right.$$

TWO CHARACTERISTICS FOR  $T < T_c$ :

1. GROUND STATE ENERGY LOWED

2. MULTIPLE GROUND STATE CONFIGURATIONS POSSIBLE

# SYMMETRY IN PHASE TRANSITIONS

... FERROMAGNET

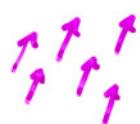
$T > T_c$



$$\langle M \rangle = 0$$

- ALL DIRECTIONS EQUALLY PROBABLE... GROUND STATE IS INVARIANT wrt  $SO(3), U_3$
- $[H, G] = 0$   
⇒ HAMILTONIAN INVARIANT wrt  $SO(3)$

$T < T_c$



$$\langle M \rangle \neq 0$$

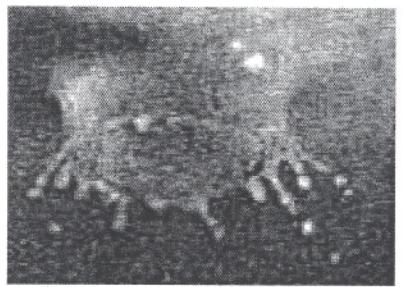
- A SINGLE, RANDOM DIRECTION IS SINGLED OUT
- SYMMETRY OF GROUND STATE IS LOWERED  $SO(3) \rightarrow SO(2)$
- $[H, G] = 0$  still

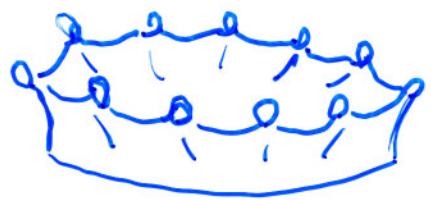
- SPECIAL STATE OF AFFAIRS... common to 2<sup>nd</sup> order p.t. ... SYMMETRY OF GROUND STATE IS LOWERED FROM THAT OF THE HAMILTONIAN
- SYMMETRY IS SAID TO BE "SPONTANEOUSLY BROKEN". (lousy phrase.. better is "HIDDEN SYMMETRY")

SYSTEMS WITH SYMMETRIES WHICH ARE NOT BROKEN ARE RARE!  
classically ≠ quantum mechanically

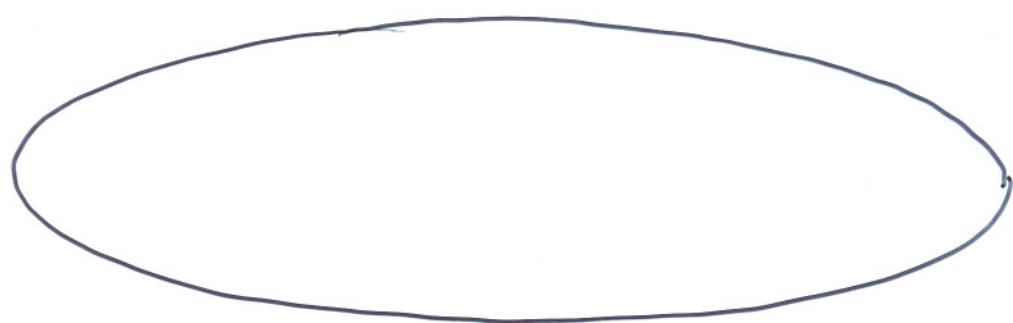


WORRINGTON - turn of the century





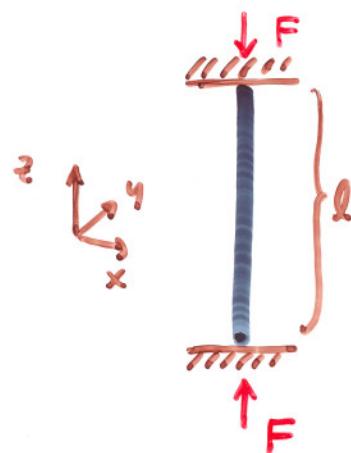
WHERE DOES THE SYMMETRY "GO"?  
IT'S STILL THERE... INSIDE OF THE  
ENSEMBLE OF ALL POTENTIAL SPLASHES



# Spontaneously broken symmetries I:

HOW DOES SYMMETRY GET LOST? WHERE DOES IT GO?

CLASSIC ... CLASSICAL ... EXAMPLE (solved by Euler):



$$\left. \begin{array}{l} EI \frac{d^4x}{dz^4} + F \frac{d^2x}{dz^2} = 0 \\ EI \frac{d^4y}{dz^4} + F \frac{d^2y}{dz^2} = 0 \end{array} \right\} x=y=0 \text{ is a solution}$$

$$\text{BUT, WHEN } F > \frac{4\pi^2 EI}{l^2} \equiv F_c$$

$$x \text{ (or } y) = C \sin kz \quad k = \sqrt{|F|/EI}$$



SYMMETRY IS LOST ... HIDDEN (same equation of motion)

→ ROD COULD HAVE PICKED AN INFINITE NUMBER OF DIRECTIONS TO BULGE ... IN ACCORDANCE WITH ORIGINAL SYMMETRY

... just like ferromagnet

~ INTERLUDE ~ AROUND 1960 HEP THEORISTS WERE STRUGGLING WITH A NUMBER OF BROKEN SYMMETRIES:  $SU(3)$ ,  $SU(2)$ , PARITY ...

★ Weinberg got a whiff of CMP's success & began trying to apply some of these ideas → idea that symmetry isn't gone, but hidden was appealing to him ... and wrong.

*Goldstone theorem 1:*

# WRONG BECAUSE...

GOLDSTONE THEOREM: A SYSTEM WHICH HAS A SPONTANEOUSLY  
(G.T.) BROKEN CONTINUOUS SYMMETRY MUST  
HAVE MASSLESS, BOSE-EXCITATIONS.

(This spoiled Weinberg's hopes, as there are no massless spin zero particles...)

G.T. WORKS FINE FOR CMP...

e.g. ferromagnetism

$\uparrow \uparrow \uparrow \uparrow \uparrow \uparrow$

GROUND STATE

$\uparrow \uparrow \uparrow \downarrow \uparrow \uparrow$

1 EXCITED STATE

but that's not what magnets do (large magnets...!)

energetics favor:  $\uparrow \uparrow \rightarrow \downarrow \downarrow \downarrow \downarrow \downarrow \leftarrow \leftarrow \uparrow \uparrow$

get a long-wavelength MACROSCOPIC, QUANTIZABLE  
excitation with energy

$$\epsilon = \hbar^2 S \sum_{\vec{q}} (1 - \cos \vec{q} \cdot \vec{a}) \quad (\text{"dispersion"})$$

AS  $\vec{q} \rightarrow 0$ ,  $\epsilon \rightarrow 0 \Rightarrow \text{"MASSLESS"}$

... as if the ground state is full of SPIN WAVE excitations...

IF YOU LIVED INSIDE AT  $T < T_c$ , HOW WOULD YOU RECOGNIZE  
THAT THE SYMMETRY OF THE HAMILTONIAN IS  $SO(3)$ !?

... that's our situation.

Goldstone Theorem 2:

# PROOF:

- SUPPOSE WE HAVE A CONSERVED CURRENT,  $\partial_\mu j^\mu(x) = 0$   
FOR SOME SYSTEM CHARACTERIZED BY FIELDS  $\phi(x)$

$$\partial_\mu [j^\mu(x), \phi(x')] = 0$$

$$\partial_0 [j^0(x), \phi(x')] - \vec{\nabla} \cdot [\vec{j}(x), \phi(x')] = 0$$

$$\partial_0 \int d^3x [j^0(x), \phi(x')] - \int d\vec{S} \cdot [\vec{j}(x), \phi(x')] = 0 \quad (\text{using Divergence theorem})$$

if  $\underset{\text{O}}{\parallel}$  over the surface field operator  $\downarrow$

then  $\partial_0 [Q(t), \phi(x')] = 0 \Rightarrow [Q, \phi(x')] = \text{constant}, C$

- TAKE EXPECTATION VALUE OF THIS QUANTITY IN VACUUM...

$$\langle 0 | [Q, \phi(x')] | 0 \rangle = \langle 0 | C | 0 \rangle \quad \text{without identifying this quantity, yet.}$$

- USE COMPLETENESS TO INSERT THE SPECTRUM OF A COMPLETE SET OF INTERMEDIATE STATES OF THE  $\phi$ 's,  $|n\rangle \dots$

$$\sum_n [\langle 0 | Q | n \rangle \langle n | \phi(x') | 0 \rangle - \langle 0 | \phi(x') | n \rangle \langle n | Q | 0 \rangle] = \langle 0 | C | 0 \rangle$$

- WRITE Q IN TERMS OF  $j(x)$  & SHIFT SPACETIME ARGUMENT USING

$$j^0(x) = e^{-iPx} j^0(0) e^{iPx} \quad \text{with} \quad e^{iPx} |n\rangle = e^{ik_n x} |n\rangle$$
$$e^{iPx} |0\rangle = |0\rangle$$

$$\int d^3x \left\{ \sum_n \langle 0 | j^0(0) | n \rangle \langle n | \phi(x') | 0 \rangle e^{ik_n x} - \langle 0 | \phi(x') | n \rangle \langle n | j^0(0) | 0 \rangle e^{ik_n x} \right\} =$$

- INTEGRATE

exponentials contain only  $\vec{x}$  dependence  $\rightarrow \delta(\vec{k}_n)$

Goldstone theorem 3:

Only time dependence

$$\sum_n (2\pi)^3 \delta(\vec{k}_n) \left[ \langle 0 | j^0(0) | n \rangle \langle n | \phi(x') | 0 \rangle e^{-iE_n t} - \langle 0 | \phi(x') | n \rangle \langle n | j^0(0) | 0 \rangle e^{iE_n t} \right] = \langle 0 | c | 0 \rangle \equiv \text{RHS}$$

GO BACK:  $\langle 0 | [Q, \phi(x')] | 0 \rangle = \langle 0 | Q \phi'(x') | 0 \rangle - \langle 0 | \phi(x') Q | 0 \rangle \equiv \text{LHS}$

LEAVING 2 CONSEQUENCES, DEPENDING ON VACUUM & GROUP.

a.)  $U(Q)|0\rangle = |0\rangle \Rightarrow Q|0\rangle = 0$  "Weyl Symmetry"

OR

b.)  $U(Q)|0\rangle \neq |0\rangle \Rightarrow Q|0\rangle \neq 0$  "Goldstone Symmetry"

a.) IS THE USUAL SITUATION... the vacuum "carries the trivial, one dimensional representation of all symmetry groups." Roman

b.) HAPPENS ALL THE TIME IN CMP...  $|0\rangle \equiv$  GROUND STATE ★

IF b) IS THE SITUATION...

NAMBU

$$\text{LHS} = \langle 0 | [Q, \phi(x')] | 0 \rangle \neq 0$$

RHS = independent of time  $\Rightarrow$  in  $e^{\pm i E_n t}$  terms  $E_n \rightarrow 0$

HERE WE GO AGAIN: AS  $\vec{k}_n \rightarrow 0, E_n \rightarrow 0 \Rightarrow$  MASSLESS  $|n\rangle$ 's.

NOTE: IF  $\phi(x)$  IS NOT A SINGLET UNDER THE GROUP

$$[Q, \phi(x')] = \phi'(x') \dots \text{some } \phi' \text{ must exist}$$

THEN our  $\langle 0 | c | 0 \rangle \rightarrow \underbrace{\langle 0 | \phi'(x') | 0 \rangle}_{\text{VEV OF FIELD ITSELF}}$

VACUUM  $\bullet$   $\phi'(x)$   $\bullet$  VACUUM  $\phi$  CONNECTS VACUUM TO ITSELF...  
VACUUM IS FULL OF  $\phi$ 'S.

OBSERVATION THAT VEV OF FIELD  $\neq 0$  IS A TRIGGER FOR GOLDSTONE THEOREM.

Bose gas !!

# DILUTE BOSE GAS: STATISTICAL MECHANICS

$$n_i > 0 \Rightarrow \\ \varepsilon_i - \mu \geq 0 \Rightarrow \\ \mu \leq 0$$

RECALL: # OCCUPATION NUMBER FOR BOSONS  $n_i = \frac{g_i}{e^{(\varepsilon_i - \mu)/kT} - 1}$  (BE)

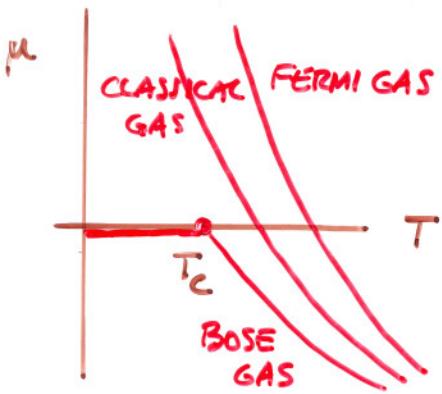
TOTAL OCCUPATION:  $N = 2mV(2\pi)^{3/2} \int_0^\infty \frac{\varepsilon^{3/2} d\varepsilon}{e^{(\varepsilon - \mu)/kT} - 1}$   
 continuum limit  $\rightarrow$

$$g(\varepsilon) d\varepsilon = 2\pi V(2\pi)^{3/2} \varepsilon^{3/2} d\varepsilon N = V(2\pi m k T)^{3/2} \left[ \sum_{j=1}^{\infty} \frac{1}{j^{3/2}} e^{j\mu/kT} \right]$$

non-relativistic

as  $T \rightarrow \infty, N \rightarrow e^{-\mu/kT} \rightarrow M.B.$   $\mu \rightarrow -\infty$   
 hot  $\rightarrow$  classical  $T \rightarrow 0$

@  $\mu = 0$ , CALL  $T \equiv T_c$ :  $T_c = \frac{1}{[f(3/2)]^{1/3}} \left( \frac{N}{V} \right)^{1/3} \frac{1}{2\pi m k}$



BELOW  $T_c$ ? SEPARATE GROUND STATE  
 FROM EXCITED STATES...

$$N = n_0 + n_\varepsilon$$

Ground state

$$\varepsilon = 0 \quad n_0 = \frac{g_0}{e^{-\mu/kT} - 1} \quad \mu = 0^- \text{ below } T_c \quad (\text{to keep } n+)$$

Excited states

$$\varepsilon \neq 0 \quad n_\varepsilon = \frac{g_\varepsilon}{e^{(\varepsilon - \mu)/kT} - 1} \quad \mu = 0 \quad \text{at } T = T_c$$

$$N = n_0 + V(2\pi m k T)^{3/2} \sum \frac{1}{j^{3/2}} e^{j\mu/kT}$$

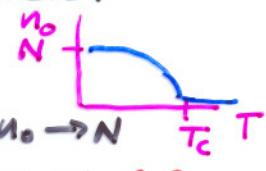
FOR  $T < T_c \quad \mu = 0$

IN SECOND TERM

$$N = n_0 + V(2\pi m k T)^{3/2} f(3/2)$$

$$N = n_0 + N \left( \frac{T}{T_c} \right)^{3/2} \Rightarrow n_0 = N \left[ 1 - \left( \frac{T}{T_c} \right)^{3/2} \right]$$

AS  $T \rightarrow 0 \quad n_0 \rightarrow N$   
 CONDENSATE IN G.S.



Bose gas 2:

# DILUTE BOSE GAS: QUANTUM MECHANICS

- CONDENSATION INTO GROUND STATE IS A PROBLEM FOR A FIELD THEORY

RECALL: USE WICK'S THEOREM TO ORDER  $a$ 's AND  $a^\dagger$ 's IN VEV's ... NEED  $\langle a | 0 \rangle = 0 \notin \langle 0 | a^\dagger = 0$  TO BUILD A PERTURBATION THEORY...

need an empty vacuum - Bose-Einstein Condensate is a full vacuum!

$|0\rangle_N$  = VACUUM STATE WITH N PARTICLES  
as a Fock state...

$|0\rangle_N = |N, 0, 0 \dots 0\rangle$   
ALL OCCUPY THE  $\epsilon=0$  STATE AT  $T=0$

- A WAY OUT INVENTED BY BOGOLIUBOV...

$$H = \int d^3x \psi^\dagger(x) \left[ -\frac{\hbar^2}{2m} \nabla^2 \right] \psi(x) \quad \text{K.E. term}$$

$$+ \int d^3x \int d^3x' \psi^\dagger(x) \psi^\dagger(x') v(x, x') \psi(x) \psi(x') \quad \text{P.E. term } \Psi^4$$

$$+ \mu \int d^3x \psi^\dagger(x) \psi(x) \quad \text{C.P. term } \Psi^2$$

$\mu=0$  IN CONDENSATE:  $\star$  [Reminiscent of Landau free energy with  
 $\psi_0$ s as order parameter]  $\star$

$$H = \sum \frac{\hbar^2 k^2}{2m} a_k^\dagger a_k + \sum \sum \sum \sum \delta_{k_1+k_2, k_3+k_4} f(\vec{k}_2 - \vec{k}_4) a_{k_1}^\dagger a_{k_2}^\dagger a_{k_3} a_{k_4}$$

conserves momentum

SINCE  $\langle 0 | a^\dagger a | 0 \rangle_N \neq 0 \notin \langle a | 0 \rangle_N = N^{\frac{1}{2}} \langle 0 \rangle_{N-1} \stackrel{\text{large } N}{\approx} N^{\frac{1}{2}} \langle 0 \rangle_N$

WE HAVE  $\langle 0 | \psi | 0 \rangle_N = \langle 0 | \psi^\dagger | 0 \rangle_N \neq 0$  FOR FIELDS OF SYSTEM... SHOULD EXPECT TO SEE GOLDSTONE KICKING IN?

Bose gas 3:

- NEED A BROKEN SYMMETRY...  $U = e^{i\lambda N}$  IS TRIVIALLY SATISFIED BY HAMILTONIAN BUT  $U|0\rangle_N \neq |0\rangle_N$  SINCE  $N|0\rangle_N \neq 0$

YUP.. WE GOT GOLDSTONE.

BUT WE ALSO HAVE THE FIELD THEORY PROBLEM...

- BOGOLIUBOV NOTED  $a^\dagger$  AND  $a$  ARE ALMOST C-numbers...

SIMPLE EXERCISE 4 : SHOW THAT  $[a^\dagger, a] \approx 0$  IN CONDENSATE GROUND STATE AND THAT  $\underbrace{a^\dagger \approx a \approx \sqrt{n_0}}_{\sim \text{C-NUMBERS}}$ .

BOGOLIUBOV TRANSFORMATION :

two parts -

### ① SHIFT AWAY FROM GROUND STATE

$$\psi(x) = e^{ik\sqrt{n_0}} + \chi(x)$$

original field op's... eg  ${}^4\text{He}$  atoms    G.S. stuff: number    EXCITED STATE STUFF

$$\chi(x) = \frac{1}{\sqrt{V}} \sum_{\vec{k} \neq 0} a_{\vec{k}} e^{i\vec{k} \cdot \vec{x}}$$

IN CONDENSATE :  $\langle 0 | \chi(x) | 0 \rangle_N = 0$

SUBSTITUTE THIS INTO HAMILTONIAN...

$$H = N^2 + \sum_{\vec{k} \neq 0} \omega_{\vec{k}} a_{\vec{k}}^\dagger a_{\vec{k}} + N \sum_{\vec{k} \neq 0} (a_{\vec{k}} a_{-\vec{k}} + a_{\vec{k}}^\dagger a_{-\vec{k}}^\dagger)$$

where  $\omega_{\vec{k}} = \frac{\hbar^2 k^2}{2m} + 2Nf(\vec{k})$

MESSY EXERCISE 1: SHOW THIS.

NOTE: PRICE IS NON-DIAGONAL INTERACTION --

## Bose gas 4:

### ② DIAGONALIZE WITH A CANONICAL TRANSFORMATION

$$\begin{aligned}\alpha_h &= u_h a_h^\dagger + v_h a_{-h}^\dagger \\ \alpha_{-h} &= u_{-h} a_{-h} + v_{-h} a_h^\dagger\end{aligned}\quad \left. \begin{array}{l} \alpha's \text{ have same commutation} \\ \text{relations as } a's. \end{array} \right\}$$

$\alpha$ 's CREATE & ANNIHILATE A NEW PARTICLE SPECTRUM

... A "QUASI-PARTICLE" SPECTRUM  $\nmid \alpha_h |0\rangle_N = 0 \forall h \neq 0$

$$H = N^2 - \frac{1}{2} \sum_{h \neq 0} (\omega_h - \varepsilon_h) + \frac{1}{2} \sum_{h \neq 0} \varepsilon_h \alpha_h^\dagger \alpha_h$$

G.S. energy level lowered

energy spectrum of  
quasi particles near ground state

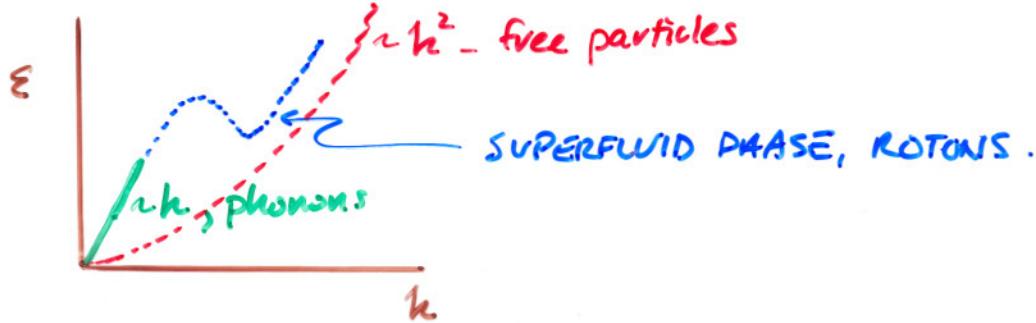
where  $\varepsilon_h = \sqrt{\omega_h^2 - 4N^2 f^2(h)}$

$$\varepsilon_h = \sqrt{\frac{\hbar^4 k^4}{4m^2} + \frac{4\hbar^2 k^2 f(h)}{2m}} \quad \text{DISPERSION}$$

AS  $k \rightarrow \text{LARGE}$ ,  $\varepsilon_h \sim \hbar^2$  such as free particles...

AS  $k \rightarrow \text{SMALL}$ ,  $\varepsilon_h \sim \hbar \sqrt{\frac{4\hbar^2 f(0)}{2m}} \propto k$  LIKE PHONONS...

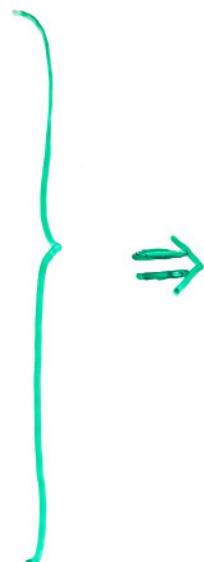
\* THE MASSLESS QUASI EXCITATIONS  $\rightarrow 0$  as  $k \rightarrow 0$  } massless  
ARE PHONONS ... GOLDSTONE BOSONS OF BOSE GAS



REMEMBER THE BODGOLIUBOV SOLUTION...

Recap:

MANY PHENOMENA  
INVOLVE BROKEN  
SYMMETRIES:  
full symmetry is  
“really” there...  
natural manifestation  
hides that fact



GROUND STATE FULL:  $\langle 0 | \phi | 0 \rangle \neq 0$   
BROKEN CONTINUOUS SYMMETRY  
 $\Rightarrow$  MASSLESS GOLDSTONE  
BOSONS



SHIFT FIELD OPERATORS INTO  
c-number vacuum + term      quasi-particle operator term



INSERT INTO MODEL &  
TRANSFORM INTO QUASIPARTICLE  
SPECTRUM

GINSBURG - LANDAU  
PHENOMENOLOGY:  
identify order parameter  
& mechanically induce  
phase transition



PUT THESE IDEAS  
TOGETHER WITH THE  
ASSUMPTION THAT THE  
MANY-BODY GROUND STATE  
IS ANALOGOUS TO THE ELEM.  
PARTICLE VACUUM &  $\eta \equiv \phi$

Plunging down below  
the coextensive curve.

relativistic Goldstone !:

# TOY THEORY:

... mix these ideas up!

- INCORPORATE ALL OF ABOVE NOTIONS INTO A RELATIVISTIC QUANTUM FIELD THEORY... Goldstone 1960  
"Field Theories with 'Superconductor' Solutions"
- FOLLOWING LANDAU FORM... OR EQUIVALENTLY, THE BOSE GAS:

$$\mathcal{L} = \underbrace{\frac{1}{2} \partial_\mu \phi \partial^\mu \phi}_{\text{KE term}} - \underbrace{\frac{a}{2} \mu^2 \phi^2}_{\text{mass term}} - \underbrace{\frac{1}{4} \lambda \phi^4}_{\text{self interaction}}$$

(like  $\mu$  in  
Bose gas)

Euler-Lagrange equations of motion:  $\partial_\mu \partial^\mu \phi + a \mu^2 \phi = \lambda \phi^3$  ✓  
 $\phi$  has mass  $\sqrt{a} \mu$  ...

- INVESTIGATE SYMMETRY -.

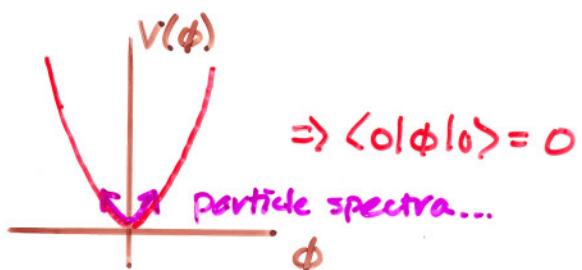
REFLECTION SYMMETRY  $\phi \rightarrow -\phi$  LEAVES  $\mathcal{L}$  ALONE

- IDENTIFY LANDAU FREE ENERGY WITH PE TERM OF  $\mathcal{L}$

$$V(\phi) = \frac{a \mu^2}{2} \phi^2 + \frac{\lambda}{4} \phi^4$$

- MINIMIZE TO FIND GROUND STATE:

$$\text{minimum } \nabla V(\phi) = 0$$



- BUT, ala' LANDAU, ALLOW A 2nd ORDER "PHASE TRANSFORMATION" -.  
 $a \rightarrow -|a|$

$$V(\phi) = -\frac{a \mu^2}{2} \phi^2 + \frac{\lambda}{4} \phi^4$$

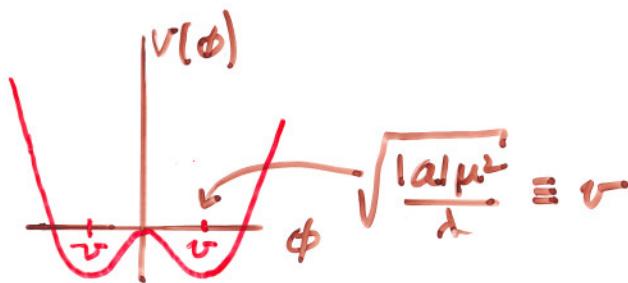
... mass interpretation for  
 $\sqrt{a} \mu$  is destroyed... now  
a complicated interaction  
for massless  $\phi$  particles

$$\partial_\mu \partial^\mu \phi - a \mu^2 \phi = \lambda \phi^3$$

↑ wrong for mass  
term in K.G. equation

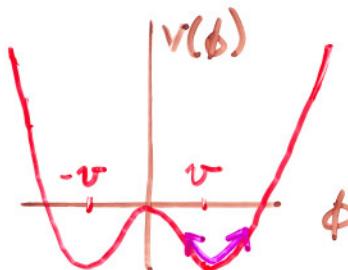
## Relativistic Goldstone 2:

- MINIMIZE



"VACUUM" OCCURS AT FINITE  $\phi \Rightarrow \langle 0|\phi|0\rangle \neq 0$   
 $= \pm v$

- FULLY REALIZE THE THEORY AS BROKEN, BY CHOOSING ONE OF THE VACUA AS THE VACUUM FROM WHICH THE PARTICLE SPECTRUM IS BUILT..



$\Rightarrow$  A BOGOLIUBOV-LIKE SHIFT  $\phi(x) = \langle 0|\phi|0\rangle + \chi(x)$   
 $= v + \chi(x)$

$\dagger$  SUBSTITUTE BACK..

$$\mathcal{L}(\chi) = \frac{1}{2} \partial_\mu \chi \partial^\mu \chi - |a| \mu^2 \chi^2 + \text{quartic \& cubic self interactions}$$

Hold the phone... this is the Lagrangian for a

$\chi$  field of mass  $\sqrt{2|a|\mu} \rightarrow$  WHERE HAS GOLSTONE GONE?

MESSY EXERCISE 2: SHOW  $\mathcal{L}(\chi)$ .

WHAT'S WRONG WITH THE  
GOLSTONE THEOREM?  
nothing ↴

HERE, THE SYMMETRY WAS A BROKEN DISCRETE SYMMETRY..

GOLSTONE THEOREM INVOLVED BROKEN CONTINUOUS SYMMETRIES.

notch it up one step..

# NEW TOY:

- FOR A CONTINUOUS SYMMETRY.. NEED MORE THAN 1-COMPONENT

OBJECT:  $\varphi_1 \neq \varphi_2$  or  $\varphi \neq \varphi^+ = \frac{\varphi_1 \pm i\varphi_2}{\sqrt{2}}$

$$\mathcal{L}(\varphi) = \frac{1}{2} \partial_\mu \varphi^\dagger \partial^\mu \varphi - \frac{1}{2} a \varphi^\dagger \varphi - \frac{1}{4} \lambda (\varphi^+ \varphi)^2$$

SYMMETRY:  $\varphi \rightarrow \varphi' = e^{i\theta} \varphi$  LEAVES  $\mathcal{L}$  ALONE...

or  $\begin{pmatrix} \varphi_1 \\ \varphi_2 \end{pmatrix} \rightarrow \begin{pmatrix} \varphi_1 \\ \varphi_2 \end{pmatrix}' = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix} \begin{pmatrix} \varphi_1 \\ \varphi_2 \end{pmatrix}$

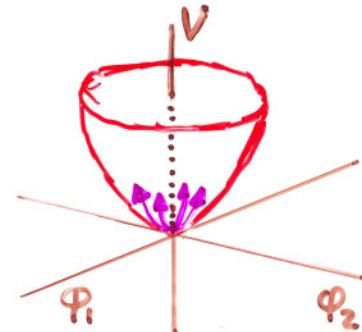
... Global U(1) or SO(2), which are isomorphic.

2 COMPONENT "ISODoublet-LIKE" MORE INSTRUCTIVE:

$$\mathcal{L}(\varphi) = \frac{1}{2} \partial_\mu \varphi_1 \partial^\mu \varphi_1 + \frac{1}{2} \partial_\mu \varphi_2 \partial^\mu \varphi_2 - \frac{a \mu^2}{2} (\varphi_1^2 + \varphi_2^2) - \frac{\lambda}{4} (\varphi_1^2 + \varphi_2^2)^2$$

$$V(\varphi_1, \varphi_2) = \frac{a \mu^2}{2} (\varphi_1^2 + \varphi_2^2) + \frac{\lambda}{4} (\varphi_1^2 + \varphi_2^2)^2$$

MINIMIZATION LEADS TO:



- NOW,  $a \rightarrow -|\lambda|$

MINIMIZATION LEADS TO:  $\varphi_1^2 + \varphi_2^2 = \frac{|a \mu^2|}{\lambda}$  ... a loci which is a circle

number of vacua is now infinite

→ CHOICE OF ONE INVOLVES

A SLICE IN  $\varphi_1 - \varphi_2 \neq$

BREAKS THE SO(2)

SYMMETRY

@ RADIUS  
 $r = \sqrt{\frac{a \mu^2}{\lambda}}$

