

Lessons learned from the NNLO calculation of $e^+e^- \rightarrow 3$ jets

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1. INTRODUCTION

The process $e^+e^- \rightarrow 3$ jets is of particular interest for the measurement of the strong coupling α_s . Three-jet events are well suited for this task because the leading term in a perturbative calculation of three-jet observables is already proportional to the strong coupling. For a precise extraction of the strong coupling one needs in addition to a precise measurement of three-jet observables in the experiment a precise prediction for this process from theory. This implies the calculation of higher order corrections. The process $e^+e^- \rightarrow 3$ jets has been calculated recently at next-to-next-to-leading order (NNLO) in QCD [1–7]. This was a very challenging calculation and I will report on some of the complications which occurred during this computation. The lessons we learned from this process have implications to other processes which will be calculated at NNLO. The two processes closest related to $e^+e^- \rightarrow 3$ jets are $e^-p \rightarrow e^- + 2$ jets and $pp \rightarrow Z/W + \text{jet}$. These are obtained from crossing final and initial state particles. But also for processes like $pp \rightarrow 2$ jets and $pp \rightarrow t\bar{t}$ many techniques can be transferred.

2. THE CALCULATION

The master formula for the calculation of a three-jet observable at an electron-positron collider is

$$\langle \mathcal{O} \rangle = \frac{1}{8s} \sum_{n \geq 3} \int d\phi_n \mathcal{O}_n(p_1, \dots, p_n, q_1, q_2) \sum_{\text{helicity}} |\mathcal{A}_n|^2, \quad (1)$$

where q_1 and q_2 are the momenta of the initial-state particles and $1/(8s)$ corresponds to the flux factor and the average over the spins of the initial state particles. The observable has to be infrared safe, in particular this implies that in single and double unresolved limits we must have

$$\begin{aligned} \mathcal{O}_4(p_1, \dots, p_4, q_1, q_2) &\rightarrow \mathcal{O}_3(p'_1, \dots, p'_3, q_1, q_2) && \text{for single unresolved limits,} \\ \mathcal{O}_5(p_1, \dots, p_5, q_1, q_2) &\rightarrow \mathcal{O}_3(p'_1, \dots, p'_3, q_1, q_2) && \text{for double unresolved limits.} \end{aligned} \quad (2)$$

\mathcal{A}_n is the amplitude with n final-state partons. At NNLO we need the following perturbative expansions of the amplitudes:

$$\begin{aligned} |\mathcal{A}_3|^2 &= \mathcal{A}_3^{(0)*} \mathcal{A}_3^{(0)} + \left(\mathcal{A}_3^{(0)*} \mathcal{A}_3^{(1)} + \mathcal{A}_3^{(1)*} \mathcal{A}_3^{(0)} \right) + \left(\mathcal{A}_3^{(0)*} \mathcal{A}_3^{(2)} + \mathcal{A}_3^{(2)*} \mathcal{A}_3^{(0)} + \mathcal{A}_3^{(1)*} \mathcal{A}_3^{(1)} \right), \\ |\mathcal{A}_4|^2 &= \mathcal{A}_4^{(0)*} \mathcal{A}_4^{(0)} + \left(\mathcal{A}_4^{(0)*} \mathcal{A}_4^{(1)} + \mathcal{A}_4^{(1)*} \mathcal{A}_4^{(0)} \right), \\ |\mathcal{A}_5|^2 &= \mathcal{A}_5^{(0)*} \mathcal{A}_5^{(0)}. \end{aligned} \quad (3)$$

Here $\mathcal{A}_n^{(l)}$ denotes an amplitude with n final-state partons and l loops. We can rewrite symbolically the LO, NLO and NNLO contribution as

$$\begin{aligned} \langle \mathcal{O} \rangle^{LO} &= \int \mathcal{O}_3 d\sigma_3^{(0)}, \\ \langle \mathcal{O} \rangle^{NLO} &= \int \mathcal{O}_4 d\sigma_4^{(0)} + \int \mathcal{O}_3 d\sigma_3^{(1)}, \\ \langle \mathcal{O} \rangle^{NNLO} &= \int \mathcal{O}_5 d\sigma_5^{(0)} + \int \mathcal{O}_4 d\sigma_4^{(1)} + \int \mathcal{O}_3 d\sigma_3^{(2)}. \end{aligned} \quad (4)$$

The computation of the NNLO correction for the process $e^+e^- \rightarrow 3$ jets requires the knowledge of the amplitudes for the three-parton final state $e^+e^- \rightarrow \bar{q}qg$ up to two-loops [8, 9], the amplitudes of

the four-parton final states $e^+e^- \rightarrow \bar{q}qgg$ and $e^+e^- \rightarrow \bar{q}q\bar{q}'q'$ up to one-loop [10–13] and the five-parton final states $e^+e^- \rightarrow \bar{q}qggg$ and $e^+e^- \rightarrow \bar{q}q\bar{q}'q'g$ at tree level [14–16]. The most complicated amplitude is of course the two-loop amplitude. For the calculation of the two-loop amplitude special integration techniques have been invented [17–22]. The analytic result can be expressed in terms of multiple polylogarithms, which in turn requires routines for the numerical evaluation of these functions [23–25].

3. SUBTRACTION AND SLICING

It is well known that the individual pieces in the NLO and in the NNLO contribution of eq. (4) are infrared divergent. To render them finite, a mixture of subtraction and slicing is employed. The NNLO contribution is written as

$$\begin{aligned} \langle \mathcal{O} \rangle^{NNLO} &= \int \left(\mathcal{O}_5 d\sigma_5^{(0)} - \mathcal{O}_4 \circ d\alpha_4^{single} - \mathcal{O}_3 \circ d\alpha_3^{(0,2)} \right) \\ &+ \int \left(\mathcal{O}_4 d\sigma_4^{(1)} + \mathcal{O}_4 \circ d\alpha_4^{single} - \mathcal{O}_3 \circ d\alpha_3^{(1,1)} \right) \\ &+ \int \left(\mathcal{O}_3 d\sigma_3^{(2)} + \mathcal{O}_3 \circ d\alpha_3^{(0,2)} + \mathcal{O}_3 \circ d\alpha_3^{(1,1)} \right). \end{aligned} \quad (5)$$

$d\alpha_4^{single}$ is the NLO subtraction term for 4-parton configurations, $d\alpha_3^{(0,2)}$ and $d\alpha_3^{(1,1)}$ are generic NNLO subtraction terms, which can be further decomposed into

$$\begin{aligned} d\alpha_3^{(0,2)} &= d\alpha_3^{double} + d\alpha_3^{almost} + d\alpha_3^{soft} - d\alpha_3^{iterated}, \\ d\alpha_3^{(1,1)} &= d\alpha_3^{loop} + d\alpha_3^{product} - d\alpha_3^{almost} - d\alpha_3^{soft} + d\alpha_3^{iterated}. \end{aligned} \quad (6)$$

In a hybrid scheme of subtraction and slicing the subtraction terms have to satisfy weaker conditions as compared to a strict subtraction scheme. It is just required that

- (a) the explicit poles in the dimensional regularisation parameter ε in the second line of eq. (5) cancel after integration over unresolved phase spaces for each point of the resolved phase space.
- (b) the phase space singularities in the first and in the second line of eq. (5) cancel after azimuthal averaging has been performed.

Point (b) allows the determination of the subtraction terms from spin-averaged matrix elements. The subtraction terms can be found in [26–28]. The subtraction term $d\alpha_3^{(0,2)}$ without $d\alpha_3^{soft}$ would approximate all singularities except a soft single unresolved singularity. The subtraction term $d\alpha_3^{soft}$ takes care of this last piece [5, 29]. The azimuthal average is not performed in the Monte Carlo integration. Instead a slicing parameter η is introduced to regulate the phase space singularities related to spin-dependent terms. It is important to note that there are no numerically large contributions proportional to a power of $\ln \eta$ which cancel between the 5-, 4- or 3-parton contributions. Each contribution itself is independent of η in the limit $\eta \rightarrow 0$.

4. MONTE CARLO INTEGRATION

The integration over the phase space is performed numerically with Monte Carlo techniques. Efficiency of the Monte Carlo integration is an important issue, especially for the first moments of the event shape observables. Some of these moments receive sizable contributions from the close-to-two-jet region. In the 5-parton configuration this corresponds to (almost) three unresolved partons. The generation of the phase space is done sequentially, starting from a 2-parton configuration. In each step an additional particle is inserted. In going from n partons to $n + 1$ partons, the $n + 1$ -parton phase space is partitioned into different channels. Within one channel, the phase space is generated iteratively according to

$$d\phi_{n+1} = d\phi_n d\phi_{unresolved\ i,j,k} \quad (7)$$

The indices i , j and k indicate that the new particle j is inserted between the hard radiators i and k . For each channel we require that the product of invariants $s_{ij}s_{jk}$ is the smallest among all considered channels. For the unresolved phase space measure we have

$$d\phi_{unresolved\ i,j,k} = \frac{s_{ijk}}{32\pi^3} \int_0^1 dx_1 \int_0^1 dx_2 \int_0^{2\pi} d\varphi \Theta(1 - x_1 - x_2) \quad (8)$$

We are not interested in generating invariants smaller than (ηs) , these configurations will be rejected by the slicing procedure. Instead we are interested in generating invariants with values larger than (ηs) with a distribution which mimics the one of a typical matrix element. We therefore generate the $(n+1)$ -parton configuration from the n -parton configuration by using three random numbers u_1 , u_2 , u_3 uniformly distributed in $[0, 1]$ and by setting

$$x_1 = \eta_{PS}^{u_1}, \quad x_2 = \eta_{PS}^{u_2}, \quad \varphi = 2\pi u_3. \quad (9)$$

The phase space parameter η_{PS} is an adjustable parameter of the order of the slicing parameter η . The invariants are defined as

$$s_{ij} = x_1 s_{ijk}, \quad s_{jk} = x_2 s_{ijk}, \quad s_{ik} = (1 - x_1 - x_2) s_{ijk}. \quad (10)$$

From these invariants and the value of φ we can reconstruct the four-momenta of the $(n+1)$ -parton configuration [30]. The additional phase space weight due to the insertion of the $(n+1)$ -th particle is

$$w = \frac{1}{16\pi^2} \frac{s_{ij}s_{jk}}{s_{ijk}} \ln^2 \eta_{PS}. \quad (11)$$

Note that the phase space weight compensates the typical eikonal factor $s_{ijk}/(s_{ij}s_{jk})$ of a single emission. As mentioned above, the full phase space is constructed iteratively from these single emissions.

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